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## Sensitivity of L-band NWP forward modelling to soil roughness

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This paper investigates the sensitivity of the European Centre for Medium-Range  
Weather Forecasts (ECMWF) simulated L-band brightness temperatures (TB) 5  
in response to different soil roughness parameterisations. To this end, the  
ECMWF operational conditions during the year 2004 have been used to force the  
Hydrology Tiled ECMWF Scheme for Surface Exchanges over Land (HTESSEL)  
coupled to the Community Microwave Emission Model (CMEM). The coupled 10  
HTESSEL-CMEM system is then run at five different incident angles  
(20°, 30°, 40°, 50° and 60°) and for five soil roughness parameterisations available  
in CMEM. The performance of the simulated TB is analysed at ground point  
scale over the Surface Monitoring Of Soil Reservoir EXperiment (SMOSREX) site  
in south-west of France. For this particular data set, both ground-based vertical 15  
profile of soil moisture and L-band radiometric observations are available for eval-  
uation of the ECMWF forecast system nearest grid box. In particular, the results  
show that the simple Choudhury parameterisation best fits the observations for  
both horizontal (H-pol) and vertical (V-pol) polarisation and for most of the inci-  
dence angles tested. The best forward modelling configuration is at 50° for the 20  
V-pol, with coefficient of determination between modelled and observed TB of  
82.9% and root mean squared error of 7.9 K. The sensitivity of the L-band TB  
errors to the empirical soil roughness parameter is also investigated. Strong sensi-  
tivity to this parameter is shown, mainly at H-pol for the least rough surfaces.  
The investigation carried out in this paper gives an insight into the soil roughness 25  
model to be used in the operational configuration of the CMEM L-band forward  
operator, for future assimilation of the Soil Moisture and Ocean Salinity (SMOS)  
satellite data of the European Space Agency.

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### 1. Introduction

The Soil Moisture and Ocean Salinity (SMOS) mission of the European Space Agency 30  
(ESA) (Kerr *et al.*, 2001) is a response to (a) the lack of a ground-based global homo-  
geneous network of soil moisture measurements, and (b) the growing need for an  
accurate estimation of the root-zone soil water content for short- and medium-range  
meteorological modelling, hydrological modelling and extreme events forecasting, 35  
such as floods. SMOS is expected to be fully operational during the year 2010, pro-  
viding for the first time global coverage of the Earth's natural microwave emission  
in L-band, where the signal is the most sensitive to the superficial soil water con-  
tent. As a Numerical Weather Prediction (NWP) centre, the European Centre for

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Medium-Range Weather Forecasts (ECMWF) is implementing the direct assimilation of near real time brightness temperatures (TB) in L-band over snow-free areas. The generation and *a posteriori* assimilation of a soil moisture retrieval product would cause a latency incompatible with NWP time constraints. The assimilation of SMOS TB observations is expected to enhance significantly the forecast skill, both at short (Drusch and Viterbo, 2007) and medium range (Fischer *et al.*, 2007), by providing accurate soil moisture initial conditions for NWP systems.

The assimilation of observed TB data will only be effective if realistic and dynamically consistent fields of TB are simulated as a function of the land surface conditions. In this context ECMWF has developed the Community Microwave Emission Model (CMEM) forward operator for low frequency passive microwave TB (from 1 GHz to 20 GHz) of the surface (Drusch *et al.*, 2009; Holmes *et al.*, 2008). The CMEM forward operator is designed to have a highly modular structure, accounting for the natural emissions from soil, vegetation, snow and atmosphere, contributing to the top of the atmosphere TB. For each component of the emission model, a choice of different parameterisations is available, which guarantees great flexibility with regard to the combination of all the model choices. Furthermore, it is straightforward to integrate state of the art parameterisations for each component of the emission model. Based on spaceborne observations from the S-194 passive microwave radiometer onboard the Skylab space station, Drusch *et al.* (2009) showed that the Kirdyashev *et al.* (1979) model was best adapted to simulate the vegetation opacity effect on the L-band radiometric signal in several regions of North and South America. This result was confirmed by de Rosnay *et al.* (2009) for the African Monsoon Multidisciplinary Analysis (AMMA) Land Surface Intercomparison Project (ALMIP), who performed an intercomparison exercise of several land surface models at regional scale for a complete annual cycle. Concerning soil roughness, although the study of Drusch *et al.* (2009) provided a first indication of the possible (calibrated) value of soil roughness parameter at continental scale, the number of roughness models used was very limited, whereas the de Rosnay *et al.* (2009) study was undertaken at C-band and thus just one roughness model implemented in CMEM was applicable. However, soil roughness remains one of the most important parameters to affect forward TB in L-band. Choudhury *et al.* (1979) indicated that the roughness effect on brightness temperature for wet soils could be as large as 50 K when compared with a smooth surface. In general, surface roughness decreases the soil reflectivity (thus increasing the brightness temperature) and the difference between the vertical and horizontal polarization. Furthermore, as the surface roughness increases, the sensitivity of brightness temperatures to soil moisture is reduced (Engman and Chauhan, 1995; Njoku and Entekhabi, 1996). Soil roughness is, however, difficult to measure and only a few field experiments provide local estimates of roughness values for validation. For global scale applications it still remains unknown, whereas it will be a crucial parameter to account for NWP applications.

Therefore, based on the significant influence of soil roughness in the forward TB, this paper focuses on this component, and investigates the response of ECMWF simulated L-band TB errors (background error) to different soil roughness microwave modelling approaches implemented in CMEM. The year 2004 was selected due to its very contrasted climatic conditions, with an average wet winter, a very dry summer and autumn and an unusual double cycle of vegetation. Furthermore, by using just a year of data the atmospheric forcing used in this study is obtained under the same ECMWF

operational conditions. Several one-year simulations are generated at  $\sim 25$  km horizontal spatial resolution (T799 spectral resolution) using different choices for soil roughness, while keeping the other contributions to the total microwave land surface emission constant in CMEM. Simulated first-guess TB at L-band are validated using the Surface Monitoring Of Soil Reservoir EXperiment (SMOSREX) data set (de Rosnay *et al.*, 2006). 90

## 2. Methodology

The ECMWF modelled TB in L-band is obtained in two steps: (1) the integration of the ECMWF operational HTESSEL (Hydrology Tiled ECMWF Scheme for Surface Exchanges over Land) land surface scheme (Balsamo *et al.*, 2009) during the year 2004 provides the input for the uppermost surface soil moisture and temperature fields for the land emission model; and (2) the CMEM provides multi-angular and polarised fields of low frequency microwave TB fields. Due to the multiple choice structure of CMEM microwave emission components, an important step towards the generation of TB at both polarisation states is the choice of a CMEM configuration. Discussion of these steps as well as the validation approach undertaken in this paper is the objective of this section. 95 100

### 2.1 CMEM configuration and soil roughness model

CMEM physics is based on the parameterisations used in the L-Band Microwave Emission of the Biosphere (Wigneron *et al.*, 2007) and Land Surface Microwave Emission Model (Drusch *et al.*, 2001). Several parameterisations for soil dielectric constant, effective temperature, soil roughness, vegetation opacity and atmospheric contribution are considered. In this study the vegetation opacity model of Kirdyashev *et al.* (1979) is used, in combination with the Wang and Schmugge (1980) dielectric model and the Wigneron *et al.* (2001) effective temperature model. The atmospheric contribution is accounted for as in Pellarin *et al.* (2003). This combination of parameterisations has been shown to be well suited for TB modelling (Drusch *et al.*, 2009; de Rosnay *et al.*, 2009). 105 110

For soil roughness, physically-based models have recently been proposed (Shi *et al.*, 2002; Schneeberger *et al.*, 2004), some of which have addressed roughness in L-band at different scales. However their usage at global scale is complicated by the high number of parameters involved, the significant computing burden and the need for detailed ground truth information. In this context, semi-empirical approaches are best adapted to be implemented for NWP applications. 115 120

In CMEM five parameterisations are available to model the effect of soil roughness with a minimum number of parameters. A semi-empirical approach was proposed by Wang and Choudhury (1981) to represent soil roughness effects on the microwave emission as a function of the smooth emissivity  $r_{s,p}$  and three parameters  $Q$ ,  $h$ , and  $N$ :

$$r_{r,p} = [Qr_{s,p} + (1 - Q)r_{s,q}] \exp(-h \cos^N \Theta) \quad (1)$$

where  $q$  and  $p$  refer to the polarisation states,  $Q$  is the polarisation mixing factor,  $N$  describes the angular dependence,  $h$  is the roughness parameter and  $\Theta$  is the incidence angle. The mixing factor  $Q$  is considered to be very low at low frequencies and generally set to 0 at L-band. Most of the models considered in this study (Wigneron 125

Table 1. Soil roughness parameterisations available in CMEM, particularized for L-band and C3-grass vegetation type. In this table ‘ $N$ ’ is the parameter expressing the angular dependence of soil emission to soil roughness, ‘ $h$ ’ is the soil roughness parameter, ‘H’ is H-pol, ‘V’ is V-pol,  $\sigma$  is the soil roughness standard deviation of height (cm),  $L_c$  is the correlation length (cm),  $k = 2\pi/\lambda$  is the wavenumber ( $\text{cm}^{-1}$ ), ‘ $w_p$ ’ is the wilting point ( $\text{m}^3 \text{m}^{-3}$ ), ‘ $w_{fc}$ ’ is the field capacity ( $\text{m}^3 \text{m}^{-3}$ ), ‘ $f_{\text{CLAY}}$ ’ is the soil clay fraction (%) and ‘ $w_g$ ’ is the superficial soil moisture ( $\text{m}^3 \text{m}^{-3}$ ).

Soil roughness model	$N$	$h$
(Wigneron <i>et al.</i> , 2001)	$N_H = 0$ $N_V = 0$	$1.3972(\sigma/L_c)^{0.5879}$
(Choudhury <i>et al.</i> , 1979)	$N_H = 0$ $N_V = 0$	$(2k\sigma)^2$
(Wegmüller and Mätzler, 1999)	$N_H = 0$ $N_V = 0.655$	$k\sigma$
(SMOS ATBD, 2007)	$N_H = 1$ $N_V = 0$	$w_t = 0.49w_p + 0.165$ $w_{fc} = w_t + 0.10(f_{\text{CLAY}})$ if $w_t < w_g < w_{fc}$ , $h = 0.1 - 0.05(\frac{w_g - w_t}{w_{fc} - w_t})$ if $w_g < w_t$ , $h = 0.10$
(Wigneron <i>et al.</i> , 2007)	$N_H = 1$ $N_V = 0$	$1.3 - 1.13w_g$

*et al.*, 2001; Choudhury *et al.*, 1979; SMOS ATBD, 2007; Wigneron *et al.*, 2007) at L-band are based on the previous equation (the so-called ‘ $Q$ - $h$ , $N$ ’ parameterisation) and they differ from the different approaches used to model the  $N$  and  $h$  parameters. While the Choudhury *et al.* (1979) approach is the simplest model, as the soil roughness parameter  $h$  is controlled by only two parameters, Wigneron *et al.* (2001, 2007) and SMOS ATBD (2007) are more complex and they include dependencies on other physical parameters and soil properties, such as the correlation length, the clay fraction or the superficial soil moisture (see table 1). Only Wegmüller and Mätzler (1999) have chosen a different approach in which the V-pol depends on the computed H-pol expressed only as a function of  $h$  and  $\Theta$ :

$$r_{r,H} = r_{s,H} \exp\left(-h^{\sqrt{0.1 \cos \Theta}}\right) \quad (2)$$

$$r_{r,V} = r_{r,H} \cos^N \Theta \quad (\text{only for } \Theta < 60^\circ). \quad (3)$$

Table 1 presents the values of the parameter  $N$  and the  $h$  approach, for each of the soil roughness models tested at L-band and for the fallow type vegetation considered in this study.

## 2.2 ECMWF background L-band TB

The set-up of the experiments carried out in this paper reproduces the ECMWF operational configuration in the year 2004 at  $\sim 25$  km horizontal spatial resolution. The land surface scheme HTESSEL is forced at 30 min time steps with meteorological fields of surface pressure, specific humidity, air temperature and wind speed at the lowest atmospheric level. The instantaneous forcings are linearly interpolated in time

from the operational 3 hour resolution short range forecasted fields. The surface radiation and precipitation flux represent 3-hour averages, and they are kept constant over a 3 hour period to ensure conservation. The integration of HTESSEL in 2004 provides the uppermost surface soil moisture and soil temperature fields (within the first 7 cm of soil), as well as snow depth and snow density fields, which are then coupled with CMEM to simulate ECMWF first-guess L-band TB. Additional land surface information needed is soil texture data obtained from the Food and Agriculture Organization (FAO) data set, whereas sand and clay fractions have been computed from a lookup table according to Salgado (1999). The soil roughness standard deviation of height ( $\sigma$ ) parameter in CMEM is set to 2.2 cm as in Holmes *et al.* (2008). Vegetation type and leaf area index (LAI) are derived from the ECOCLIMAP database (Masson *et al.*, 2003), which is also used to derive the vegetation water content for grasslands and crops. The coupled HTESSEL-CMEM system is then run at five different incident angles ( $20^\circ$ ,  $30^\circ$ ,  $40^\circ$ ,  $50^\circ$  and  $60^\circ$ ) and for five soil roughness parameterisations available in CMEM. Thus, 25 computed 1D time series of the ECMWF first-guess L-band TB for the year 2004 are obtained for both horizontal and vertical polarisation.

### 2.3 Validation approach

In order to evaluate the performance of the ECMWF forecast system of background L-band TB, a set of independent observations is needed. Apart from a few historic observations over North and South America at 110 km resolution from the S-194 passive microwave radiometer onboard the Skylab space station in 1973 (Eagleman and Lin, 1976), only a few field experiments provide accurate L-band radiometric measurements. In this study the simulated ECMWF first-guess TB at L-band are evaluated against *in situ* radiometric observations of the Surface Monitoring Of Soil Reservoir EXperiment (SMOSREX) data set (de Rosnay *et al.*, 2006). SMOSREX was selected since it currently contains one of the most consistent and continuous data sets of radiometric L-band observations over natural grass at different incident angles. This field experiment was designed with the main objectives of modelling the microwave emission at L-band (de Rosnay *et al.*, 2006; Escorihuela *et al.*, 2007), improving the SMOS retrieval algorithm (SMOS ATBD, 2007; Saleh *et al.*, 2006) and assimilation of multi-spectral remote sensing data (Sabater *et al.*, 2007, 2008). On this site, measurements at L-band have been obtained on a regular basis since January 2003 by the LEWIS (L-band radiometer for Estimating Water In Soils) radiometer (Lemaitre *et al.*, 2004) over two samples of *fallow* and bare soil at both horizontal (H-pol) and vertical polarisation (V-pol). In this study only the fallow land sample is considered. The L-band radiometer scans the surface at different incidence angles ( $20^\circ$ ,  $30^\circ$ ,  $40^\circ$ ,  $50^\circ$  and  $60^\circ$ ) but with different temporal sampling. While background TB are obtained with a sampling time of one hour, the time frequency of the observed TB depends on the incidence angle (observations are available every 10 minutes at  $40^\circ$  incidence angle, whereas the fallow sample is sensed twice every 3 hours for the rest of the incidence angles). Both simulated and observed TB data sets are collocated in time (permitting a maximum time difference of 30 minutes) in order to allow a quantitative comparison.

### 2.4 CMEM main input forcing fields

In this paper, simulated L-band TB from the coupled HTESSEL-CMEM system in a numerical grid box of approximately  $25 \times 25$  km are compared against point-scale

observations. The validation approach is then limited by the different spatial scale of simulated and observed data sets. In order to validate the comparison, the CMEM main input forcing fields are analysed and compared against *in situ* data. Jones *et al.* (2004) classified the main variables and parameters which input the passive microwave land surface emission models according to their impact on the modelled top of the atmosphere TB. They found that the variables which had the biggest impact in the modelled radiometric signal are related to volumetric soil moisture, vegetation opacity, soil roughness and soil temperature, respectively.

In figure 1, the observed superficial volumetric soil moisture (in the first 7 cm) in the SMOSREX site is overlapped with the CMEM input soil moisture field for the corresponding ECMWF forecast model grid box. It is shown that the ECMWF forecasted soil moisture captures very well the temporal dynamics of the observations, thus obtaining a very good coefficient of determination ( $R^2 = 0.80$ ) between both data sets for the year 2004. However, the summer and first half of autumn of the year 2004 were exceptionally dry, obtaining *in situ* volumetric soil moisture values lower than  $0.1 \text{ m}^3 \text{ m}^{-3}$ . HTESSEL is limited by the wilting point value fixed to  $0.151 \text{ m}^3 \text{ m}^{-3}$  (for a medium texture soil as in this study [Balsamo *et al.* (2009)]), since at lower soil water content evapotranspiration is halted, and thus this dry event is poorly reproduced by the forecasted model at T799 spectral resolution. Even though not shown here, the overestimation of soil moisture in summer consequently penalizes the modelled TB, by decreasing the modelled TB. Figure 2 shows a scatter plot where soil temperature obtained by HTESSEL (averaged over the first 7 cm) in the SMOSREX pixel is compared to ground-based soil temperature sensors available at 1 and 5 cm. Both data sets show an excellent correlation and a low root mean squared error.

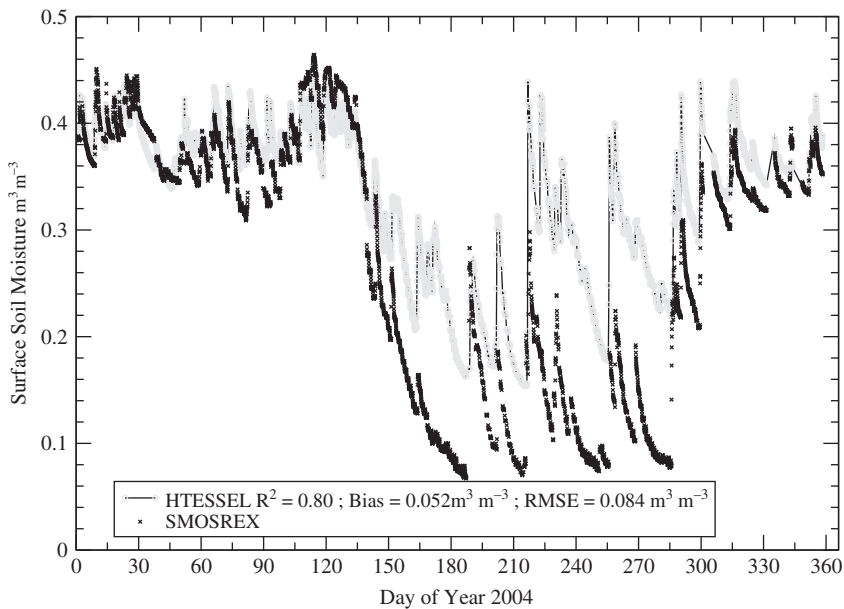


Figure 1. Observed superficial soil moisture at the SMOSREX fallow sample overlapped to simulated superficial soil moisture by HTESSEL land scheme (0–7 cm) at the SMOSREX grid box.



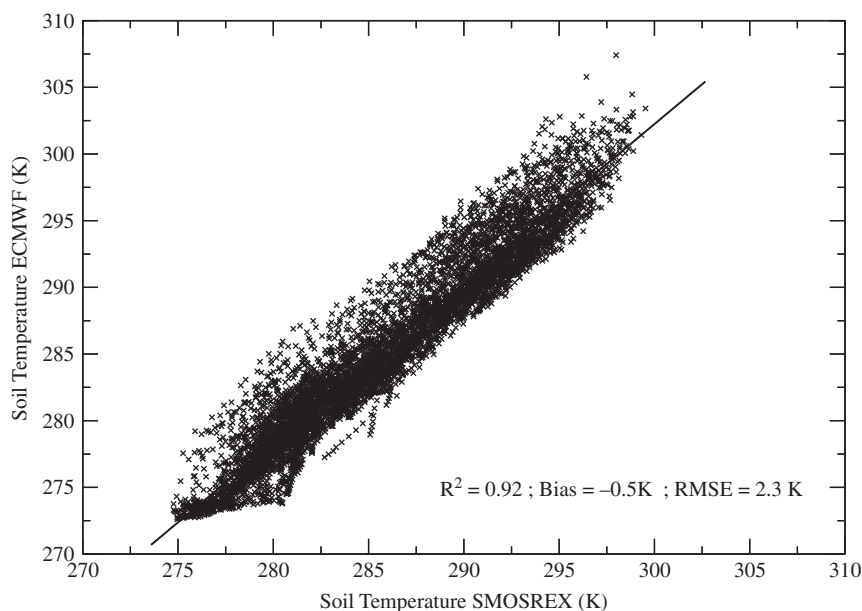


Figure 2. Soil temperature obtained by HTESSSEL (0–7cm) forced by ECMWF operational forecast versus SMOSREX ground measurements (mean value based on measurements at 1 cm and at 5 cm), for the year 2004.

Compared to volumetric soil moisture, modelled TB is far less sensitive to initial soil temperature, and errors in this variable have a relatively weak impact in the simulated TB. Concerning the sensitivity to vegetation, for the SMOSREX grid box, up to 93% of the vegetation type is the same as in the SMOSREX vegetation sample. Although not shown here, the low-vegetation type leaf area index used from the ECOCLIMAP database (used as input in CMEM for the vegetation modelling) accurately reproduces the maximum observed in the SMOSREX site. However, the year 2004 showed a double cycle of LAI, obtaining very low or null values of green active vegetation during the dry period. This event is not well reproduced in the ECOCLIMAP data set, which does not take into account interannual variability. An increase in modelled vegetation consequently increases emission due to the vegetated canopy whereas it attenuates the underlying soil emission. This effect is opposite to an overestimation of soil moisture and can partially counteract initial soil moisture errors. Furthermore, Gruhier *et al.* (2008) showed that superficial soil moisture on the SMOSREX site, located on medium loamy texture soil, slightly overestimated the superficial soil moisture measured by nearby stations presenting different soil texture.

As a result of matching point-scale observations with the model equivalent in a larger numerical grid-box, the previous analysed source of bias should be considered when analysing the background TB error. In addition, vegetation data does not account for interannual vegetation characteristics. Data assimilation studies in the context of the SMOS mission will have to deal with these limitations. In general, the good correlation shown between the first-guess soil moisture and soil temperature with the ground observations as well as the relatively good representativity of the SMOSREX site within the modelled grid box, make this site suitable to investigate background errors in the simulated ECMWF TB in L-band.

### 3. L-band TB background error analysis

#### 3.1 Dependency on soil roughness, incidence angle and polarisation state

It is observed that, for the test period (2004), by using the model proposed by Choudhury *et al.* (1979) the modelled background TB gives the best agreement with the available validation data set, obtaining the best temporal correlations and the lowest differences for most incidence angles and for both polarisation states (figure 3). This figure shows the coefficient of determination ( $R^2$ ) and the Root Mean Squared Error (RMSE) between the ECMWF modelled background TB in L-band and the observed TB as a function of the incidence angle, at H-pol and V-pol and for five different parameterisations of soil roughness implemented in CMEM (§2.1). As expected, the background TB in H-pol is seen to be very sensitive to the incidence angle, rapidly decreasing the correlation with the observations, as the incidence angle is increased. For this polarisation none of the parameterisations tested produce satisfactory results for large incidence angles, progressively losing the sensitivity to soil moisture. Radiometric L-band TB in H-pol are very sensitive to soil water content, and at large incidence angles volume effects (as well as roughness and vegetation effects) are more significant and less known, thus these models have not yet found a good fit with the observations. The best configuration at H-pol is Choudhury *et al.* (1979)

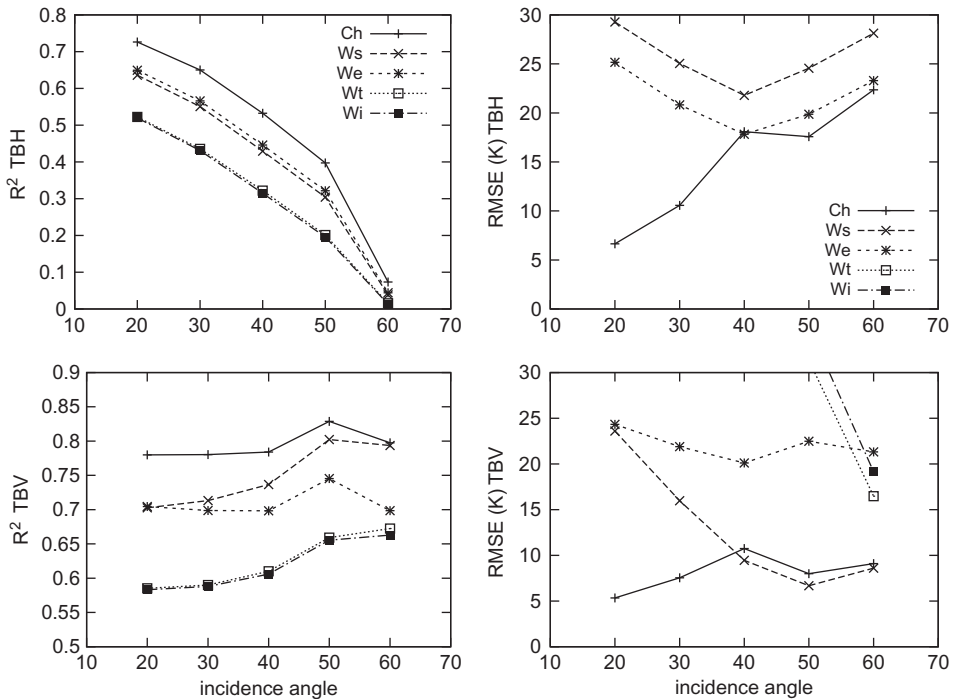


Figure 3. L-band TB background error ( $R^2$  and RMSE) for the year 2004 using different models for soil roughness: Ch = (Choudhury *et al.*, 1979), Ws = (Wigneron *et al.*, 2001), We = (Wegmüller and Mätzler, 1999), Wt = (SMOS ATBD, 2007) and Wi = (Wigneron *et al.*, 2007). The top panels correspond to the H-pol (TBH) and the bottom panels to the V-pol (TBV).

at  $20^\circ$  with  $R^2 = 72.6\%$  and  $\text{RMSE} = 6.6$  K. The obtained background TB using the parameterisations depending on soil moisture (Wigneron *et al.*, 2007) and soil texture (SMOS ATBD, 2007) diverge greatly from the observed data set (with RMSE greater than 30 K and thus not shown in the top right panel). This result must be taken with caution since, apart from the significant contribution of the forecast model error to the total background error, at this pixel resolution ( $\sim 25 \times 25$  km) the single-point effects might not be well captured or they compensate each other and, at the SMOSREX field site, these effects have been shown to be significant. 265

The dependency of the TB background errors on the incidence angle is less marked at V-pol for which, in contrast to H-pol, slightly better performances are obtained at all angles, and best at  $50^\circ$ . For angles from  $40^\circ$  to  $60^\circ$  the parameterisations of Choudhury *et al.* (1979) and Wigneron *et al.* (2001) have nearly similar performance. In this case the best results are obtained at  $50^\circ$  incidence angle, with  $R^2 = 82.9\%$  and  $\text{RMSE} = 7.9$  K for the Choudhury *et al.* (1979) approach and  $R^2 = 80.2\%$  and  $\text{RMSE} = 6.7$  K for the Wigneron *et al.* (2001) model. 270 275

### 3.2 Dependency on soil roughness parameter

Several experiments were performed by combining the best modelling and observing configuration using the Choudhury *et al.* (1979) parameterisation (at  $20^\circ$  incidence angle for H-pol and at  $50^\circ$  for V-pol). The sensitivity of the ECMWF TB background error as a function of the soil roughness standard deviation of height ( $\sigma$ ) has been investigated. Figure 4 shows the RMSE and  $R^2$  between modelled and observed TB for the year 2004 as a function of  $\sigma$ , varying it between 1 and 3 cm. According to table 1 this corresponds, at L-band, to values of the empirical soil roughness parameter between 0.34 and 3.09 for the Choudhury *et al.* (1979) parameterisation. Background errors in the simulated TB at H-pol are seen to be very sensitive to soil roughness for  $\sigma$  lower than 2.2 cm. This could be a consequence of the poor knowledge of the effect of soil roughness in the modelled TB at H-pol, mainly for coarse spatial scales where the effect of several soil roughness conditions is present at the same time for a numerical grid box. For soil roughness standard deviation height ( $\sigma$ ) 2.2 cm, the TB background error is minimum and equal to 6.6 K. The sensitivity to  $\sigma$  is less marked for the V-pol, however very low values of the roughness parameter (and then a probable underestimation of the soil emissivity) yield a larger discrepancy between modelled and observed TB. Nonetheless, as observed in the bottom panel of figure 2, the best correlations are obtained for  $\sigma$  larger than 2.5 cm (corresponding to a value of  $h = 2.1$ ) and in the same proportion for both polarisation states. In these roughness conditions, forecasted TB are in better agreement with observations in terms of temporal variability and RMSE. Higher values of  $\sigma$  result in small changes in the RMSE and  $R^2$ . Therefore the optimal range of the soil roughness parameter is identified to be between 1.67 and 2.15. 280 285 290 295

## 4. Discussion and conclusions

This study investigates the sensitivity of future operational ECMWF modelled background TB at 1.4 GHz to semi-empirical soil roughness parameterisations available in the ECMWF CMEM forward operator. For this purpose, CMEM is well adapted, and the current available parameterisations for the representation of soil roughness meet NWP requirements. 300 305

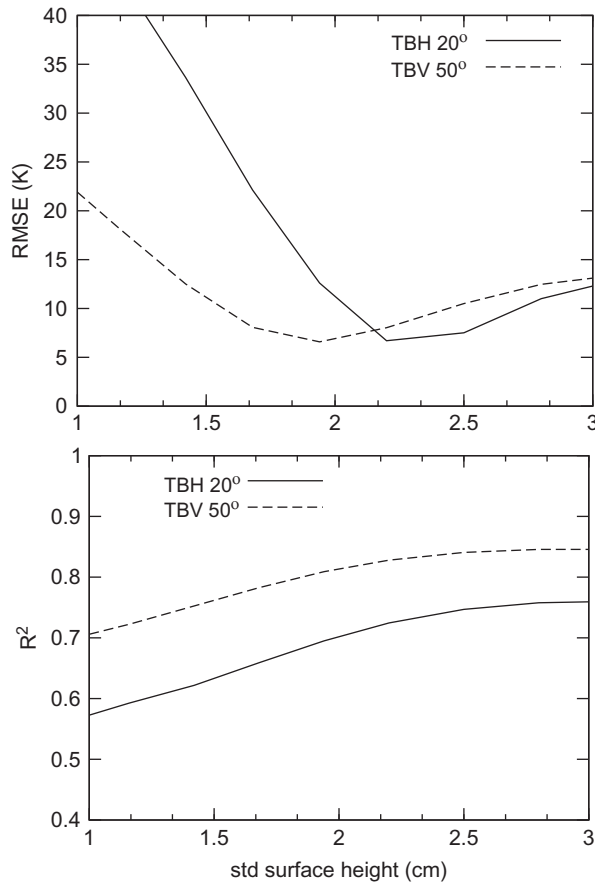


Figure 4. L-band TB background error (RMSE and  $R^2$ ) as a function of the soil roughness standard deviation height  $\sigma$ . The parameterisation used for soil roughness is Choudhury *et al.* (1979) for the best incidence angles at each polarisation state.

The analysis carried out in this paper is built on previous studies, which concluded that the use of the Kirdyashev *et al.* (1979) approach to model the effect of the vegetation opacity, in combination with the Wang and Schmugge (1980) model for the soil dielectric contribution, were most suitable to simulate TB in L-band. As a complement to those studies and given the significant contribution of soil roughness to the soil emissivity, this study focuses on soil roughness and investigates which available parameterisation in CMEM is best adapted to simulate TB for NWP applications. The operational forecasted atmospheric fields for the year 2004 are the input forcing for the coupled HTESEL-CMEM scheme. It is found that for both H-pol and V-pol the Choudhury *et al.* (1979) simple parameterisation, depending on just the frequency and soil roughness standard deviation of height  $\sigma$ , performs better for most of the tested incidence angles when compared to the available observations. This result confirms that for global scale applications, simple parameterisations are favoured at the expense of more physical based approaches accounting for high resolution land conditions. The performance of first guess TB decreases rapidly with the increase of

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the incidence angle for H-pol, which is very sensitive to soil water content, whereas less sensitivity is shown at V-pol. Best results are found for V-pol, being also more flexible with regard to the parameterisation used and within the range of all tested incidence angles. Although the parameterisation of Wigneron *et al.* (2001) for angles greater than  $40^\circ$  at V-pol produced results as good as Choudhury *et al.* (1979), it is suggested that Choudhury *et al.* (1979) be used, firstly because it is valid over the whole range of tested incidence angles, and secondly because this parameterisation is flexible concerning the range of frequency use, widening the possibilities to perform multi-frequency NWP data assimilation studies. Based on the analysed correlation values, an important result of this study is that the best incidence angle to minimize background TB errors at L-band is different depending on the polarisation state ( $20^\circ$  for H-pol and  $50^\circ$  for V-pol). This makes it possible to discriminate unwanted effects on the microwave signal through the definition of multi-angular polarisation rates, as already suggested by Saleh *et al.* (2006). This is the main driving factor of the multi-angular configuration design that will be provided by the SMOS observation system.

Background TB at H-pol using the Choudhury *et al.* (1979) approach for soil roughness is very sensitive to values of the soil roughness parameter  $h$  lower than 1.6. For V-pol the soil roughness parameter specification is also important but the dynamical range of variation is lower. Best agreement between modelled and observed TB is found for values of the soil roughness standard deviation of height larger than 2.5 cm. Since the microwave emission is very sensitive to the specification of this empirical parameter, a calibration of this parameter may be performed for SMOS after launch at global scale as a part of a simple bias correction scheme.

The results shown in this paper have some limitations. Due to the scarce availability of validation data sets, in this paper TB simulated with the coupled HTESSEL-CMEM system at  $25 \times 25$  km horizontal spatial resolution are compared to single point observations obtained at the SMOSREX site. Although the spatial scales under comparison are quite different, a good correlation between the single point observations and the modelled soil moisture and soil temperature fields is shown. The validation site was also shown to be quite representative of the surrounding area. Furthermore, according to the ECOCLIMAP database used in this study, up to 93% of the vegetation within the grid box analysed in this paper is a C3 grass low vegetation type, the same as at the SMOSREX site. Even though single point effects (such as mulch effect, water interception by plants or soil moisture influence in the soil roughness) are embedded in the SMOSREX radiometric signal and their effect can be significant, they are likely to be filtered out at coarse resolutions. This might be one source of error when comparing the simulated TB with the observation data set. Another significant source of error (and more relevant for large scale applications) concerns the forecast error, both due to inaccuracies in the forcing meteorological variables such as precipitation or air temperature and to the model physics itself. All these sources of error may affect the local variability of soil moisture. Despite all these limitations, the agreement between modelled TB and the observation system is shown to be reasonably good.

The study carried out in this paper has made it possible to identify a modelling configuration for the soil roughness which reproduces with good accuracy the background TB observed in SMOSREX. The results are very encouraging for using the Choudhury *et al.* (1979) parameterisation for soil roughness at large scales to obtain global maps of TB. The SMOS satellite will make it possible to validate the CMEM current configuration through first-guess departure monitoring, as well as

opening new possibilities to test this and other configurations under very different soil roughness conditions. 370

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