

# Adaptive SM bias-correction

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# Background

## ASCAT and SMOS bias-correction

- ASCAT and SMOS level 2 surface soil moisture (SM) observations assimilated in ECMWF SM analysis
- ASCAT level 2 SSM bias-correction (BC) based on seasonal CDF matching (Scipal et. al. 2008, De Rosnay et. al. 2013) - local (pointwise) BC
- SMOS neural network product trained on ECMWF soil moisture analysis (Rodriguez-Fernandez et. al. 2019) - implicit global BC
- Adaptive SM BC allows for spatial and temporal variability e.g. satellite changes, model upgrades

# SEKF SM analysis

De Rosnay et. al. 2013

State update:

$$\mathbf{x}^a(t_i) = \mathbf{x}^b(t_i) + \mathbf{K}_i[\mathbf{y}^o(t_i) - H_i(\mathbf{x}^b)], \quad (1)$$

Bias-free Kalman gain:

$$\mathbf{K}_i = [\mathbf{B}^{-1} + \mathbf{H}_i^T \mathbf{R}^{-1} \mathbf{H}_i]^{-1} \mathbf{H}_i^T \mathbf{R}^{-1}, \quad (2)$$

The Jacobian linking the  $k^{th}$  observation to analysed soil moisture layer  $j$ :

$$\mathbf{H}_{k,j} = \frac{cov(H_k(\mathbf{x}^{eda}), \mathbf{x}_j^{eda})}{var(\mathbf{x}_j^{eda})} \cdot c_j, \quad (3)$$

where  $c_j = 1/(1 + (j - 1) \cdot \alpha_{sekf})$  are empirical tapering coefficients ( $\alpha_{sekf} = 0.6$ )

## Two-stage bias filter

Adapted from De Lannoy et. al. 2007 and Draper et. al. 2013

Biased observations ( $\tilde{\mathbf{y}}^o$ ) partitioned into the analysed bias ( $\mathbf{z}^a(t_i)$ ) and the non-biased term ( $\mathbf{y}^o(t_i)$ ):

$$\tilde{\mathbf{y}}^o(t_i) = \mathbf{z}^a(t_i) + \mathbf{y}^o(t_i). \quad (4)$$

State update:

$$\mathbf{x}^a(t_i) = \mathbf{x}^b(t_i) + \mathbf{K}_i[\tilde{\mathbf{y}}^o(t_i) - \mathbf{z}^a(t_i) - H_i(\mathbf{x}^b)]. \quad (5)$$

The bias update is calculated as follows:

$$\mathbf{z}_l^a(t_i) = \mathbf{z}_l^b(t_i) + \mathbf{L}_{i,l}[\tilde{\mathbf{y}}_l^o(t_i) - \mathbf{z}_l^b - H_{i,l}(\mathbf{x}^b)], \quad (6)$$

where  $l$  is the observation type (ASCAT or SMOS NN)

## Two-stage bias filter

Adapted from De Lannoy et. al. 2007 and Draper et. al. 2013

Bias state Kalman gain (in observation space):

$$\mathbf{L}_{i,l} = [\mathbf{B}_l^z][\mathbf{R}_l + \mathbf{B}_l^z + \mathbf{H}_{i,l}\mathbf{B}\mathbf{H}_{i,l}^T]^{-1}, \quad (7)$$

Bias covariance matrix  $\mathbf{B}_l^z$  assumed to be proportional to  $\mathbf{B}$ :

$$\mathbf{B}_l^z = \frac{\gamma}{1 - \gamma} \mathbf{H}_{i,l}\mathbf{B}\mathbf{H}_{i,l}^T, \quad (8)$$

with  $\gamma = 0.25$  chosen for these experiments. Persistence model for bias forecast:

$$\mathbf{z}_l^b(t_{i+1}) = \mathbf{z}_l^a(t_i). \quad (9)$$

# Experimental setup

## Adaptive SM bias-correction

- Experiments performed with stand-alone surface analysis (SSA, Fairbairn et. al. 2019)

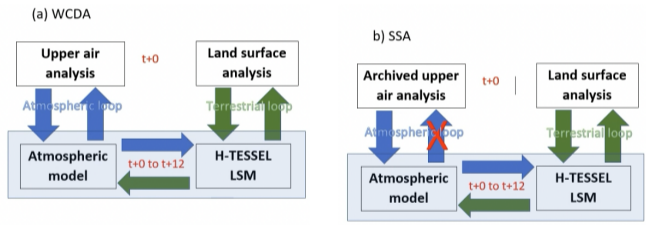


Figure: Weakly coupled (WCDA) setup (left) and SSA setup (right)

- Resolution Tco399 (25 km), period 2019-2022
- Experiment with adaptive BC for ASCAT and SMOS

# Experimental results

## Global mean ASCAT SM departures

- ASCAT-C introduced (green vertical), ASCAT-A retired (red vertical)

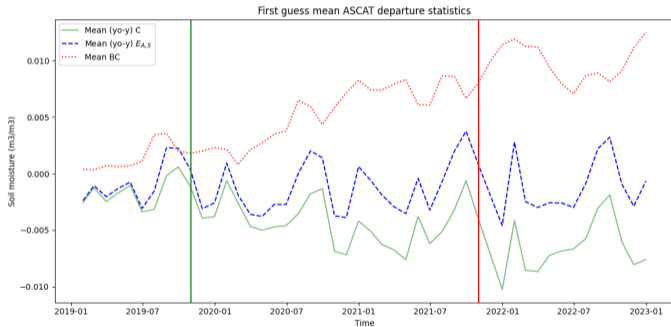


Figure: ASCAT SM departures with/without adaptive BC

# Experimental results

## Global ASCAT obs count

- ASCAT-C introduced (green vertical), ASCAT-A retired (red vertical)

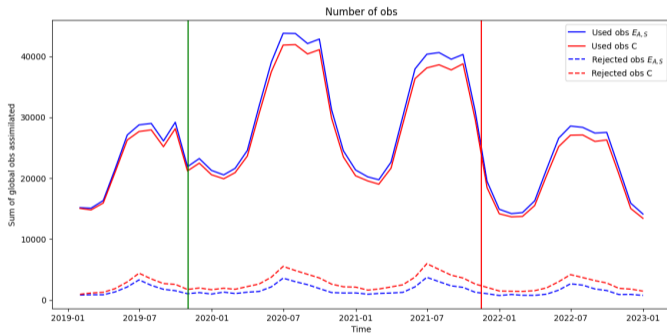


Figure: ASCAT SM obs count with/without adaptive BC



# Experimental results

SMOS SM departures, global, August 2022

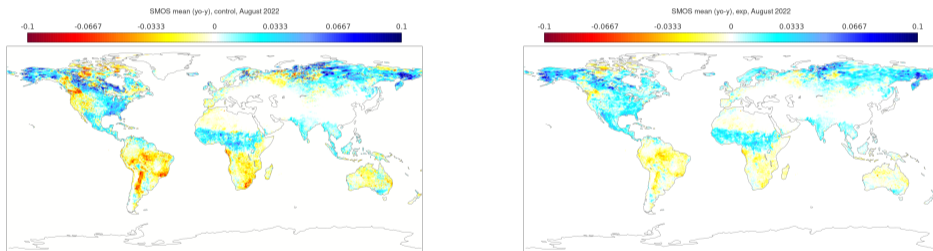


Figure: SMOS SM departures without (left) and with (right) adaptive BC

# Experimental results

SMOS SM departures, lat/lon=25°S/140°E (Eastern Australia)

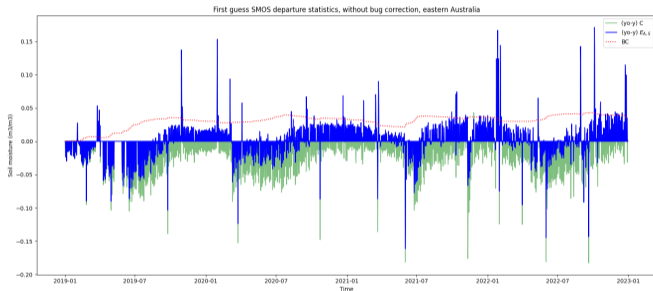


Figure: SMOS SM departures: control (green), experiment (blue) and bias correction (red dotted)

# Summary

- Separate ASCAT and SMOS NN BC developed using two-stage bias filter
- Adaptive BC corrects biases introduced by Metop-ASCAT satellite changes
- Adaptive BC corrects some local biases in SMOS NN
- Adaptive BC leads to small forecast improvements in RH2m RMSE in the Tropics (see extra slides)

# References

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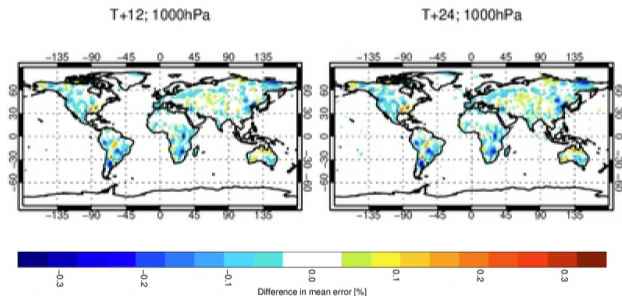
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# Validation

## Global mean RH forecast error

- Mean 1000 hPa RH forecast error in experiment vs control (validated against ECMWF operational analysis over 2022):



# Validation

## RMSE, Tropics

- RMSE averaged over the Tropics (validated against ECMWF operational analysis over 2022):

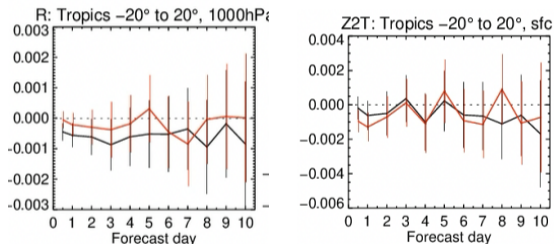


Figure: Relative RMSE (vs control) days 1-10, Black line=adaptive BC, left RH 1000hPa, right T2m.