



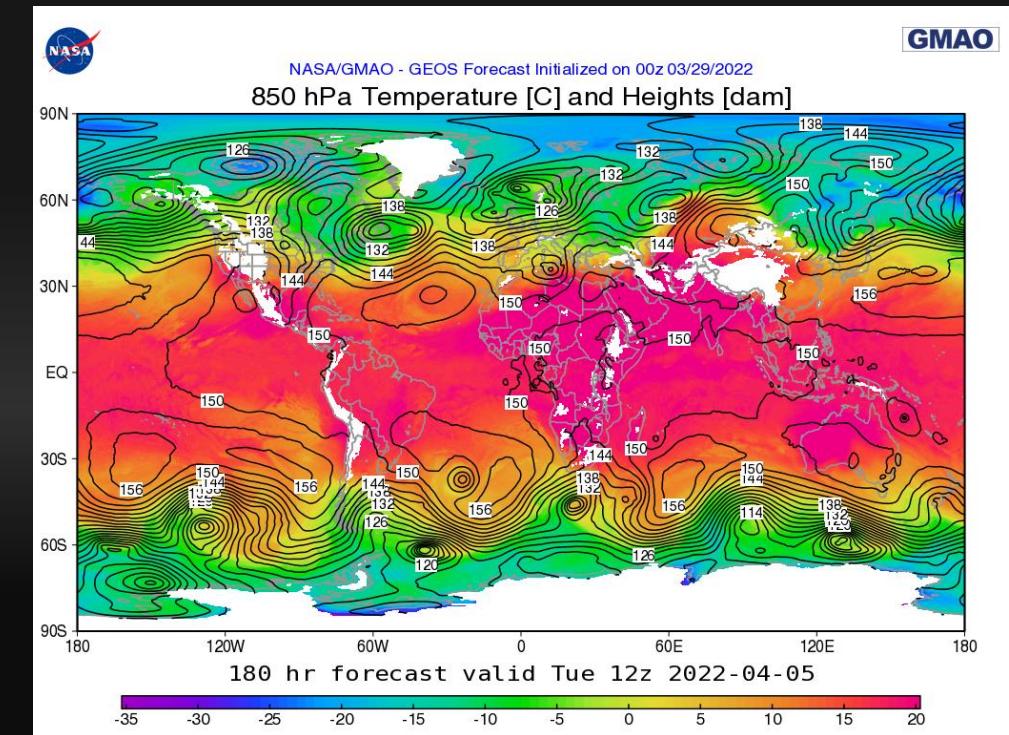
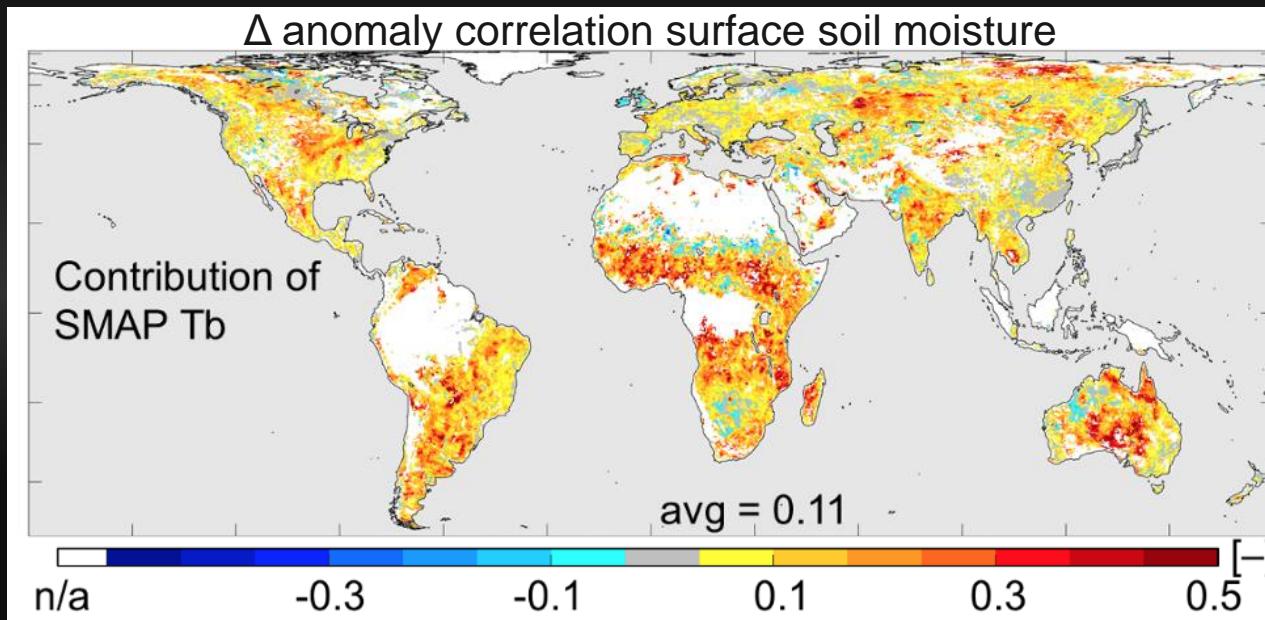
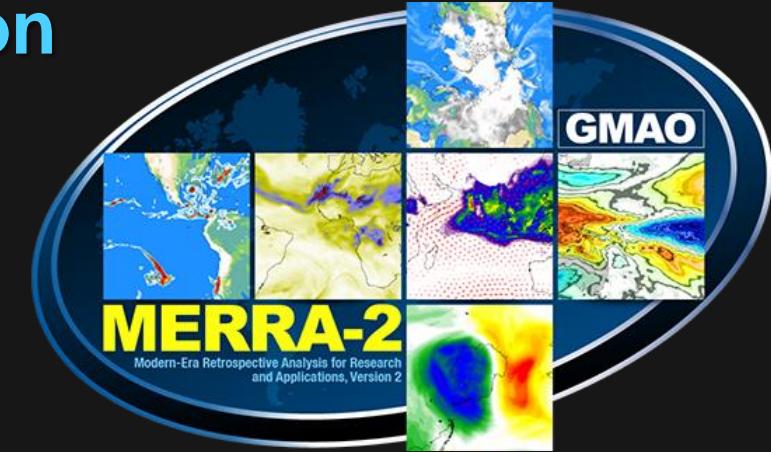
# **Assimilation of SMAP L-band Radiances Improves Near-Surface Atmospheric Humidity and Temperature in the GEOS Weather Analysis and Forecasting System**

Rolf Reichle, Sara Zhang, Qing Liu, Clara Draper,  
Jana Kolassa, and Ricardo Todling

# Background and Motivation

Goddard Earth Observing System (GEOS) products:

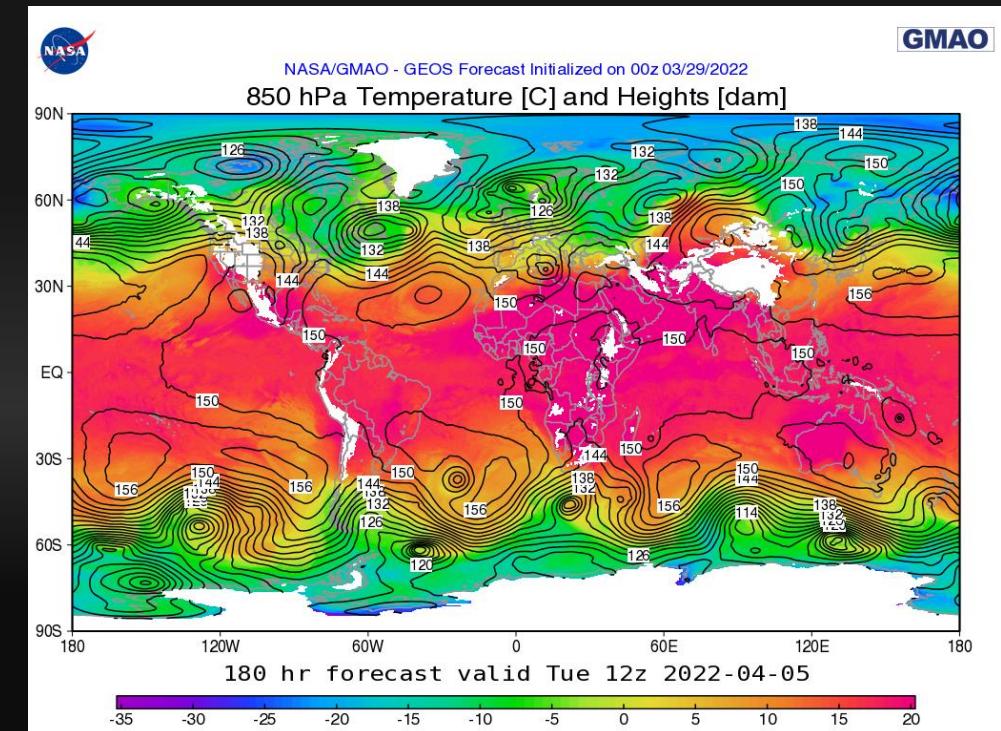
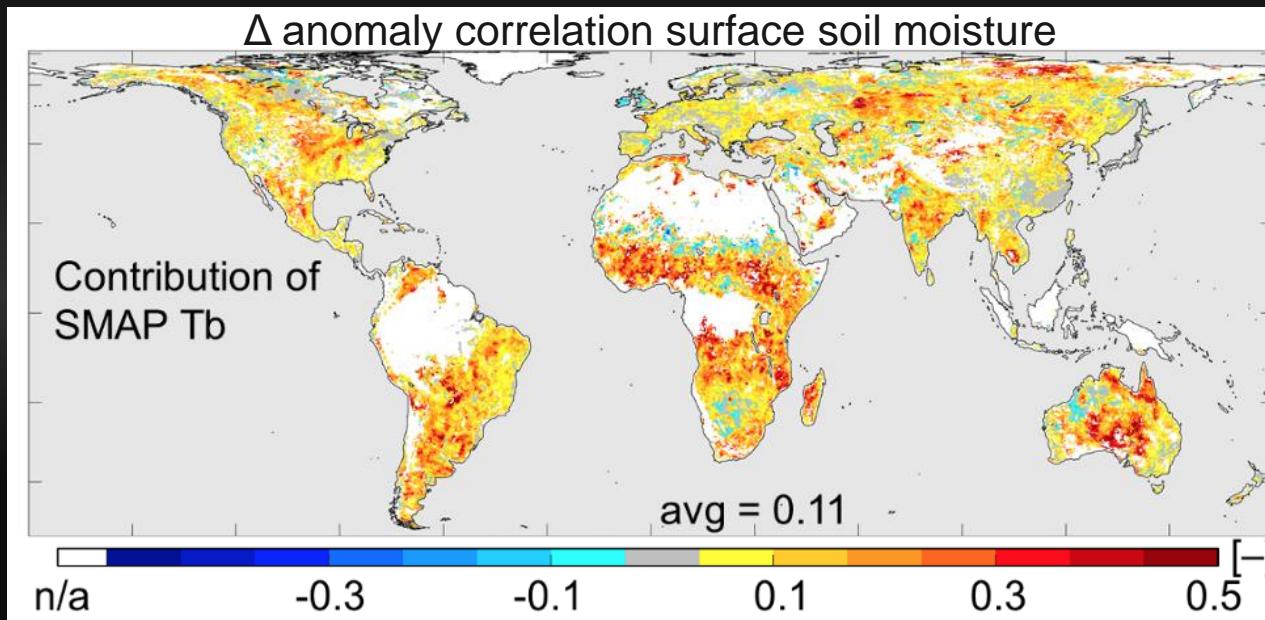
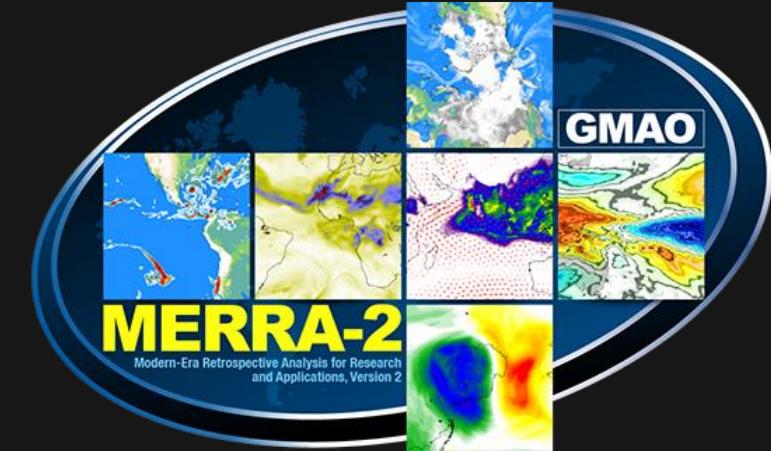
- Reanalysis
- Weather analysis and prediction (no land analysis)
- SMAP Level-4 Soil Moisture (land-only!)



# Objective

Goddard Earth Observing System (GEOS) products:

- • Reanalysis
- • Weather analysis and prediction (no land analysis)
- SMAP Level-4 Soil Moisture (land-only!)



# Development Overview

## Draper and Reichle 2019 (MWR):

- First GEOS Land-Atmosphere DAS (LADAS)
- ~GEOS 5.12.4 (MERRA-2) model and ADAS (3D-Var)
- SMOS and ASCAT soil moisture retrieval assimilation (1D-EnKF)
- Experiment: MJJA 2013 at 0.5 deg

## Reichle et al. 2021 (IEEE):

- GEOS 5.26.4 in 3D-Var configuration
- SMAP radiance (Tb) assimilation as in SMAP L4\_SM (3D-EnKF; Reichle et al. 2019)
- Experiment: JJA 2017 at 0.5 deg

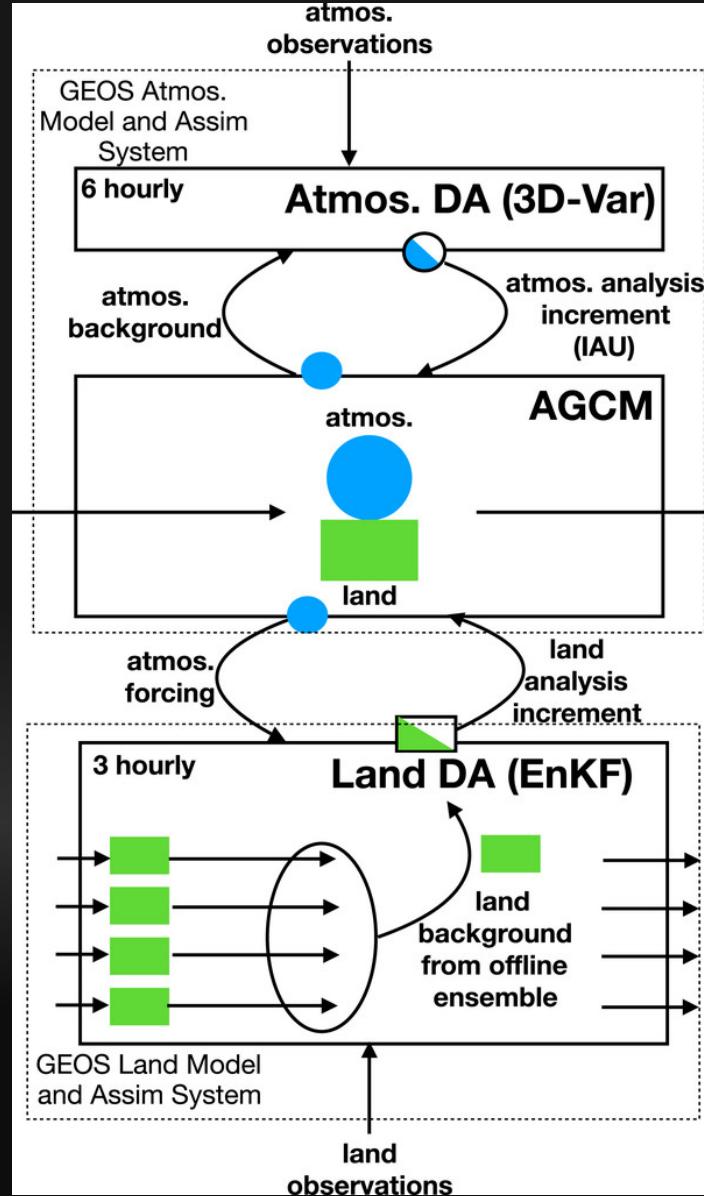
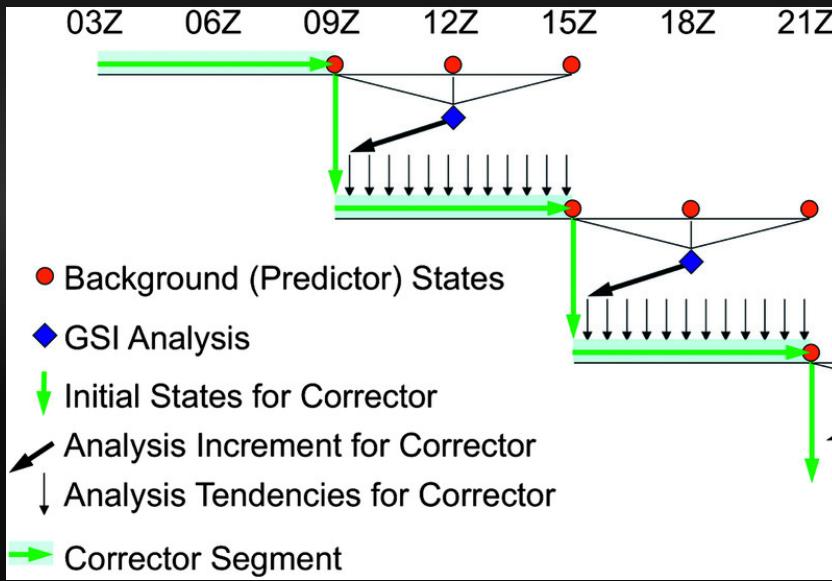
## Recently:

- GEOS 5.29.x in 4D-Hybrid-EnVar configuration
- SMAP radiance (Tb) assimilation as in SMAP L4\_SM (3D-EnKF)
- Experiment: JJA 2017 at 0.5 deg (incl. forecasts)

# Land-Atmosphere Data Assimilation System (LADAS)

## ADAS (3D-Var):

1. **Predictor:** 6-hour AGCM forecast from previous cycle.
2. **Atmospheric analysis (GSI):** Compute atmospheric analysis increments.
3. **Corrector:** Re-integrate 6-hour segment with atmos. corrections.



## LADAS:

1. As in ADAS.
2. Add land analysis (EnKF) to produce soil moisture increments.
3. Add soil moisture corrections.

Atmosphere and land analysis are weakly coupled through model physics.

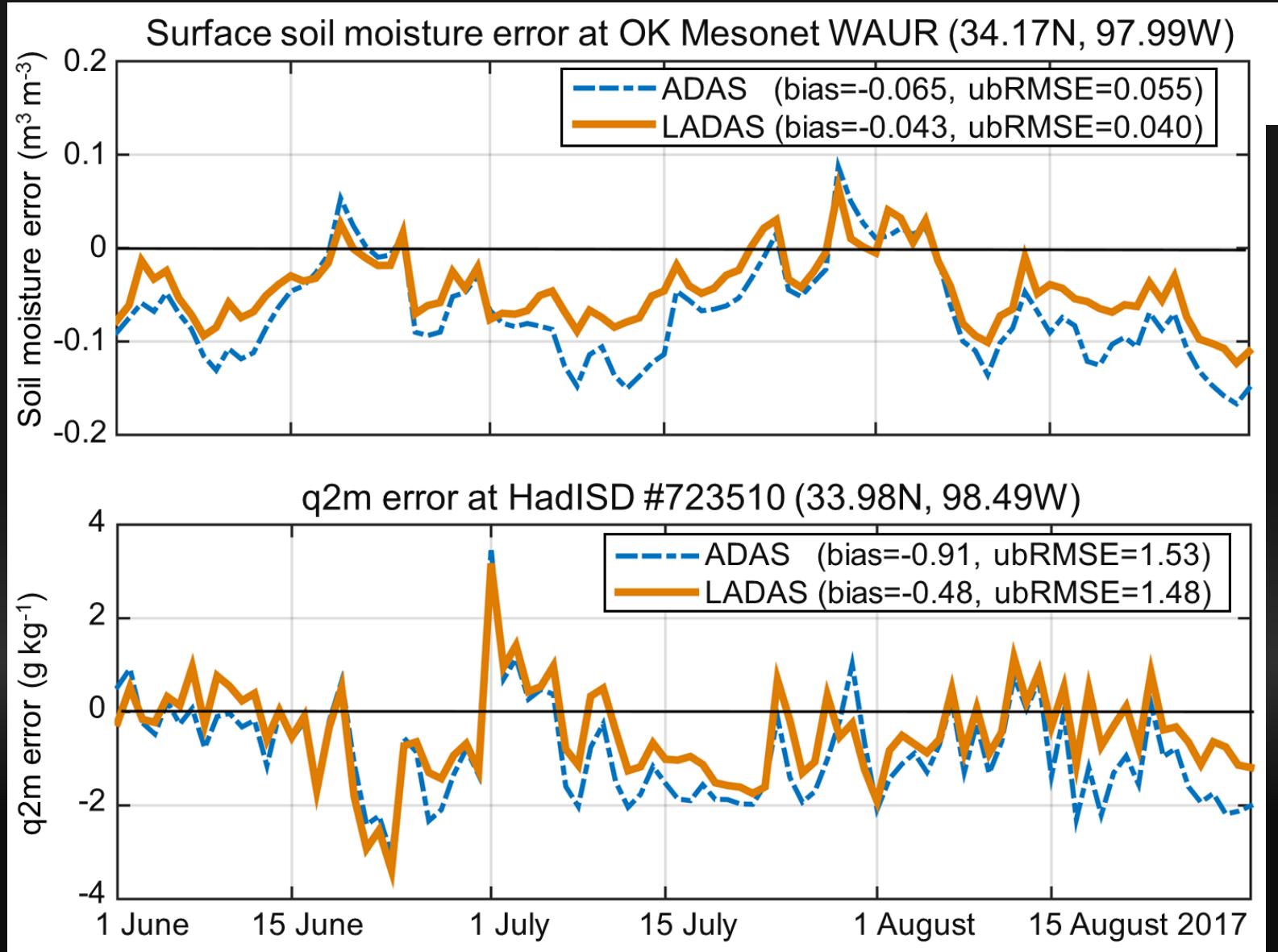
Same general approach with 4D-Hybrid-EnVar (but need two LDAS instances).



# Notation for Results Slides

“ADAS”      $\equiv$  CTRL     (no SMAP assim.)  
“LADAS”      $\equiv$  Experiment (with SMAP assim.)

# Impact on Screen-Level Specific Humidity (q2m)

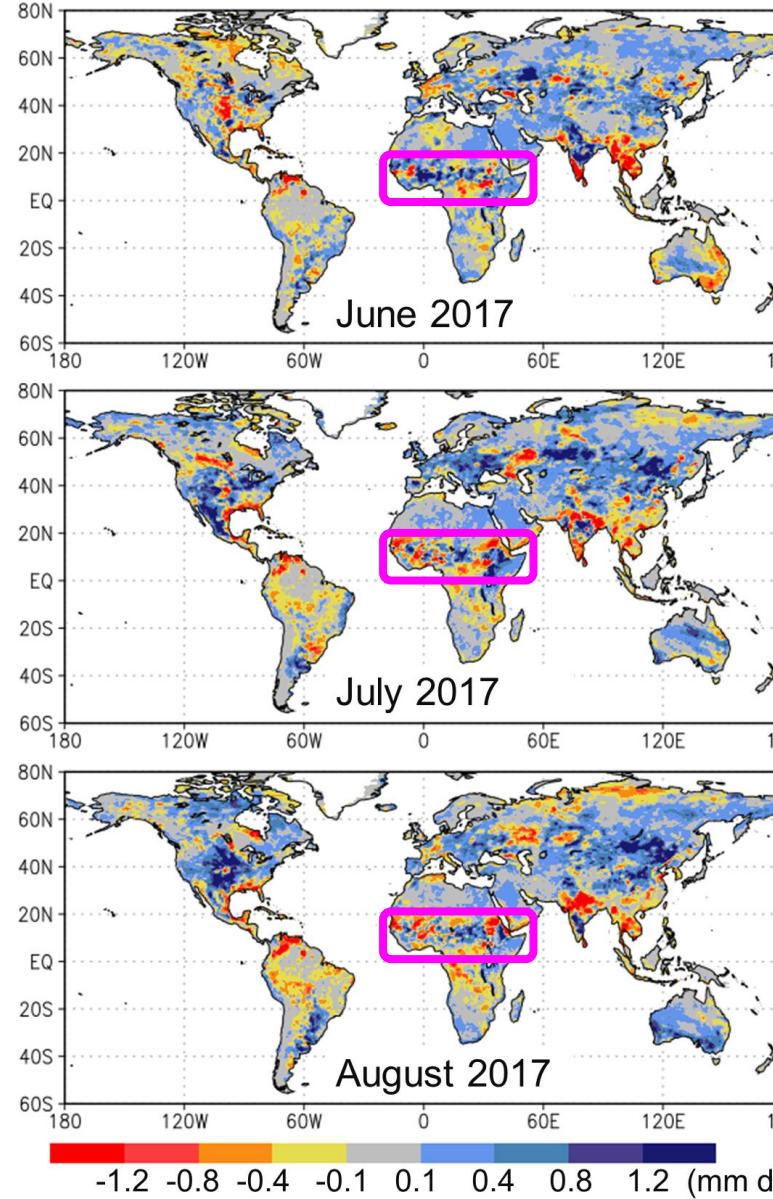


Reichle  
et al.  
2021

Improved soil  
moisture translates  
into improved q2m.

# Soil Moisture Analysis Increments

Monthly Mean Profile Soil Moisture Increments

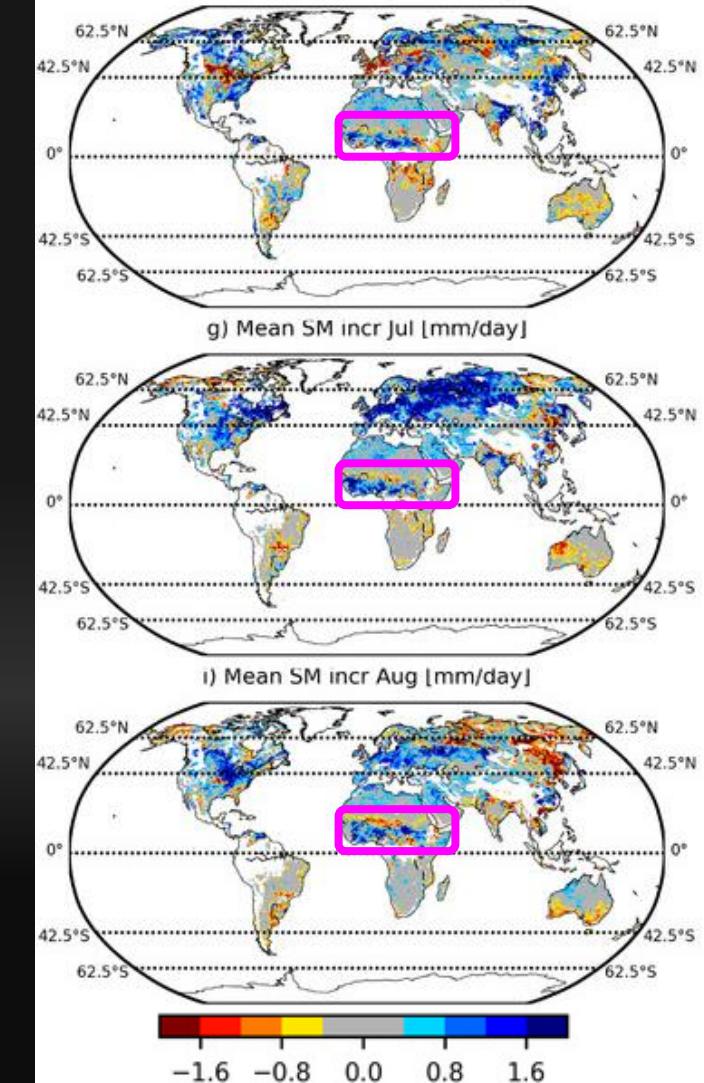


Reichle  
et al.  
2021

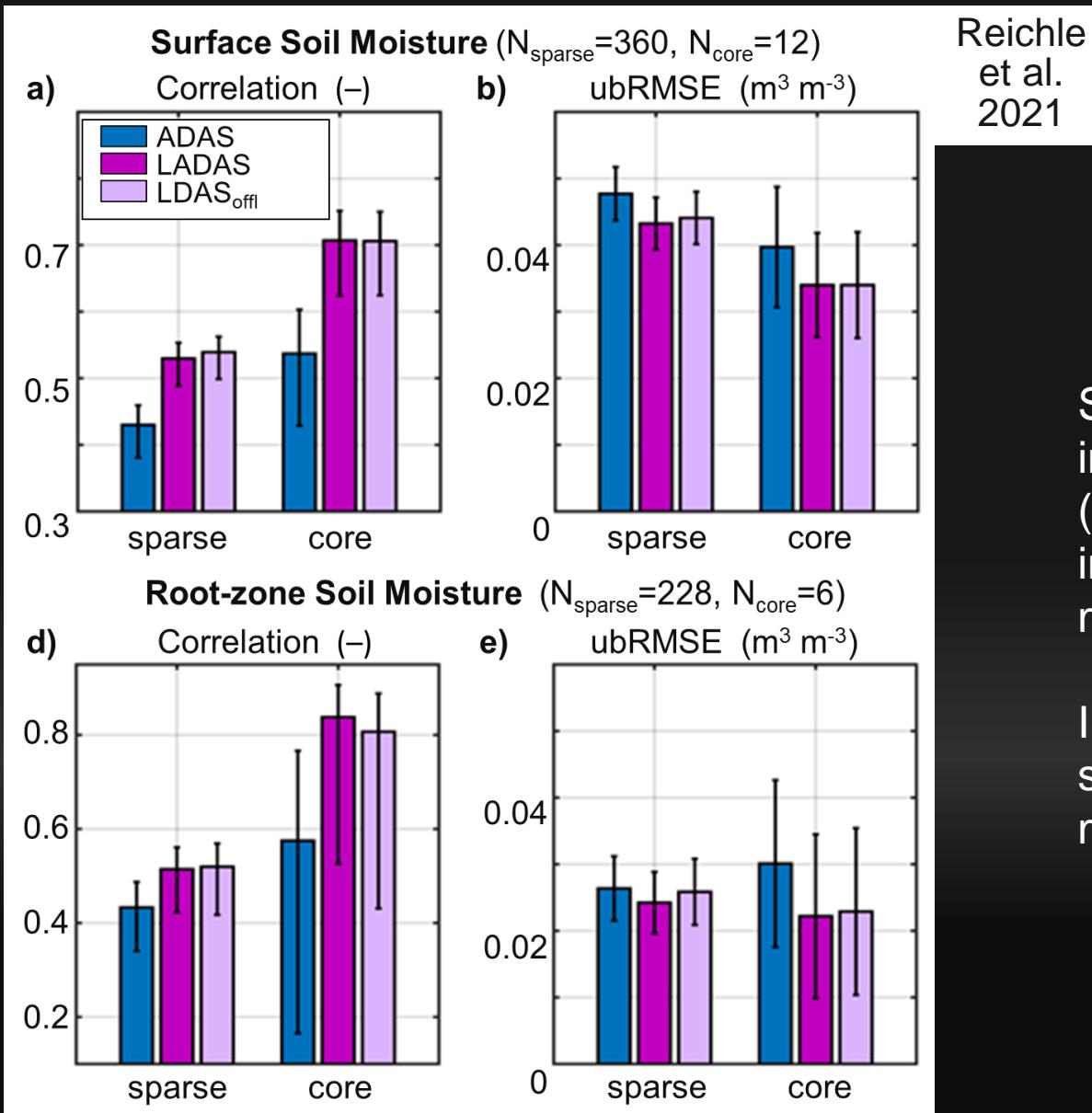
Consistent with L4\_SM  
diagnostics.

More spatio-temporal variability  
than seen in (Draper & Reichle  
2019).

Draper & Reichle 2019  
SMOS+ASCAT DA for 2013  
e) Mean SM incr Jun [mm/day]



# In Situ Soil Moisture Validation



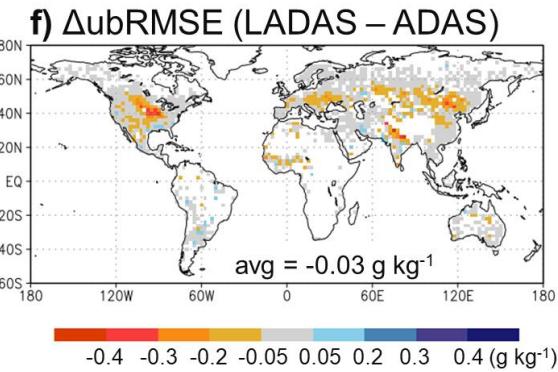
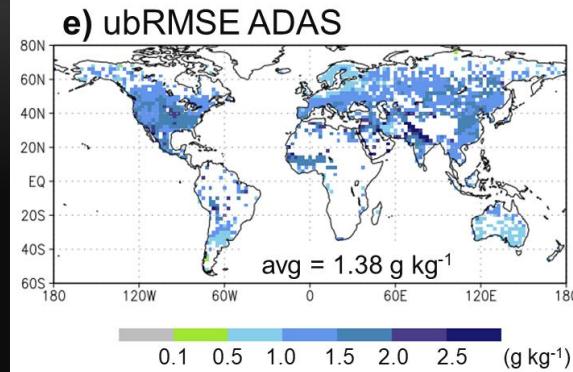
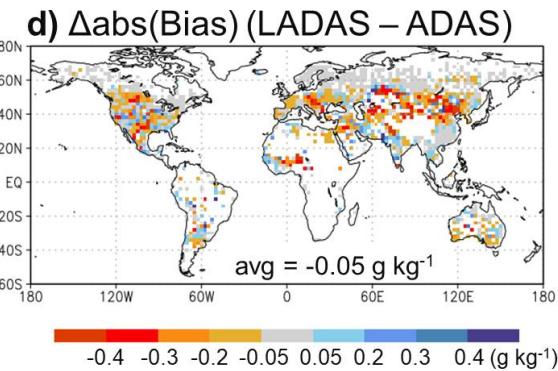
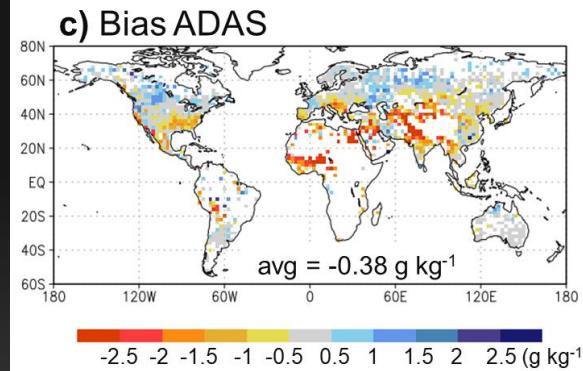
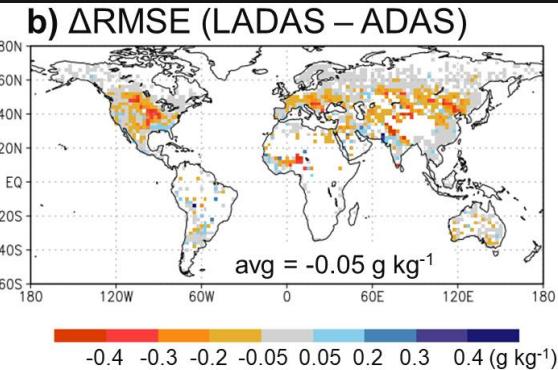
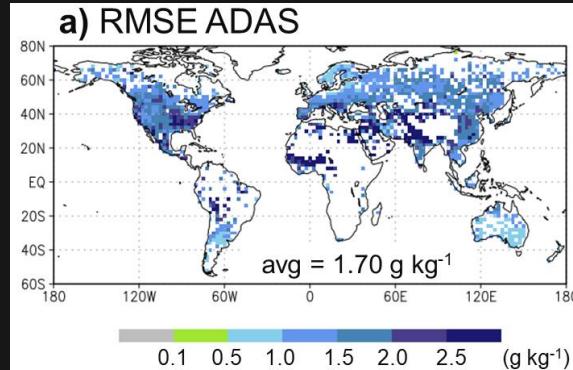
Reichle  
et al.  
2021

Soil moisture skill  
improvements over ADAS  
(consistent with L4\_SM  
improvements over land  
model-only simulation).

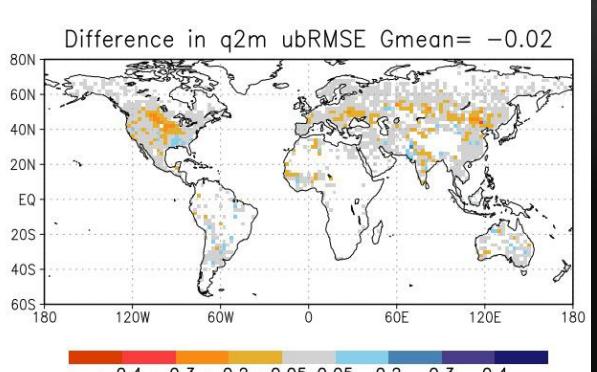
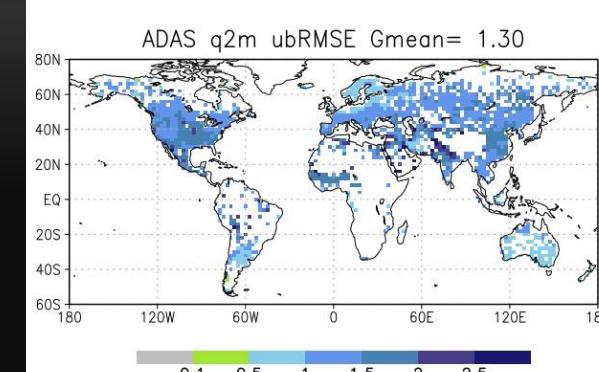
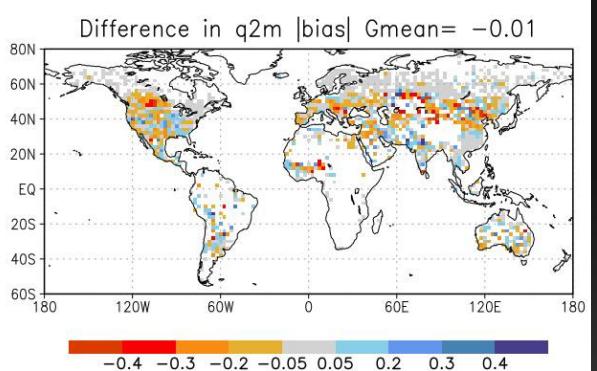
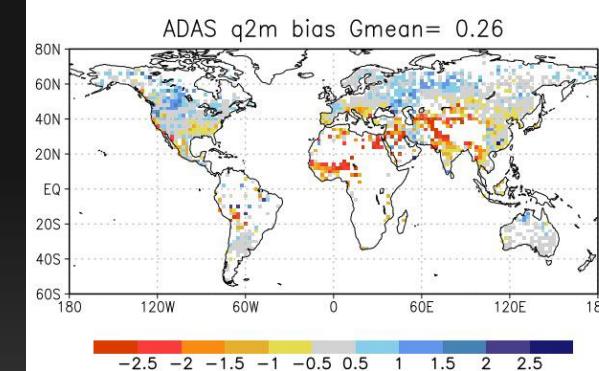
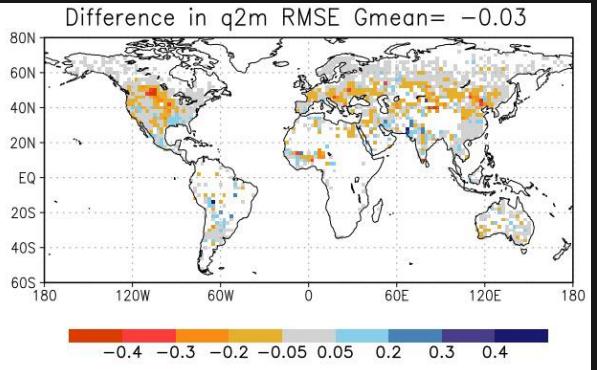
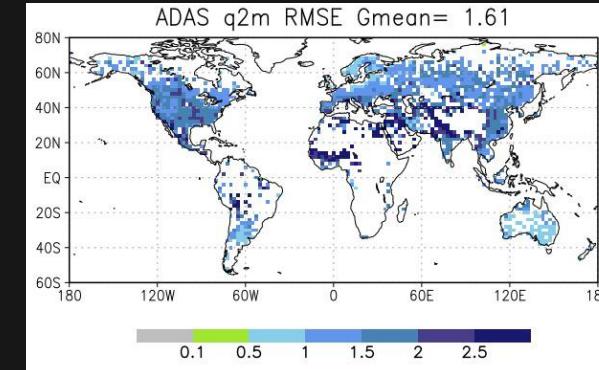
Improvements statistically  
significant for surface soil  
moisture correlation.

# Screen-Level Humidity ( $q_{2m}$ ) vs. HadISD

3D-Var (Reichle et al. 2021)



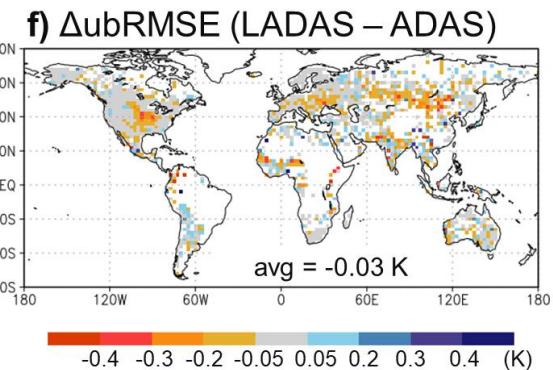
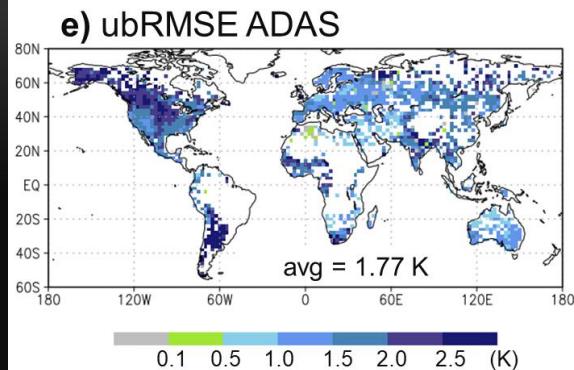
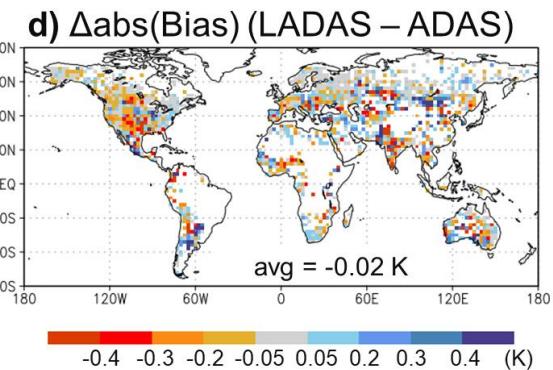
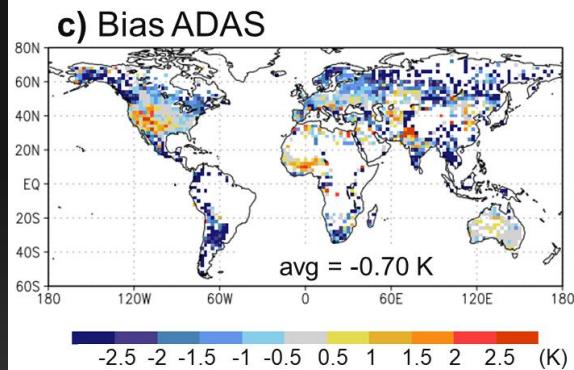
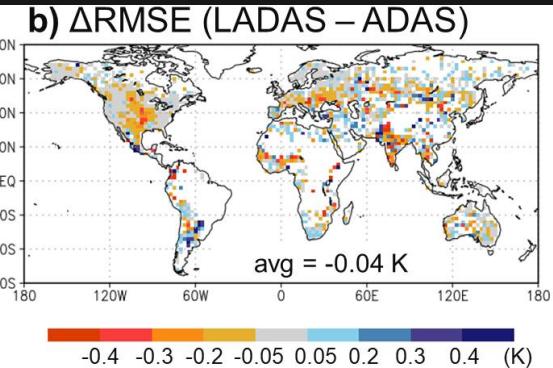
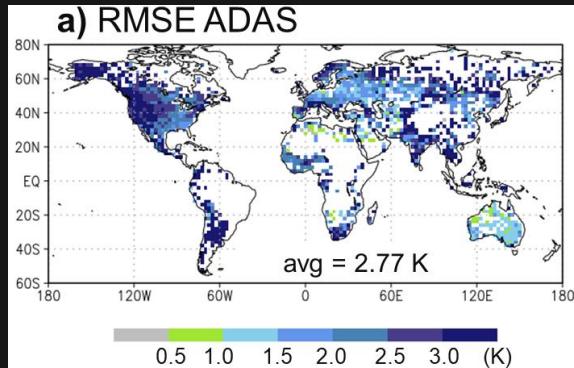
4D-Hybrid-EnVar (in prep)



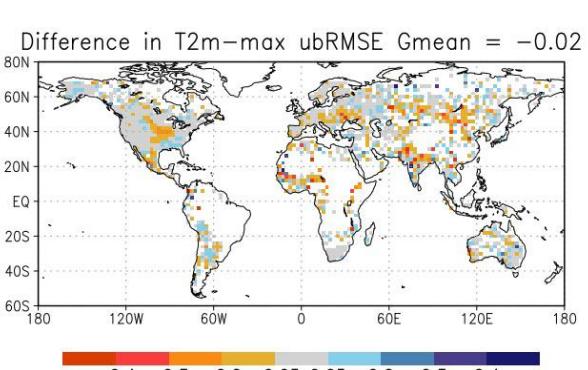
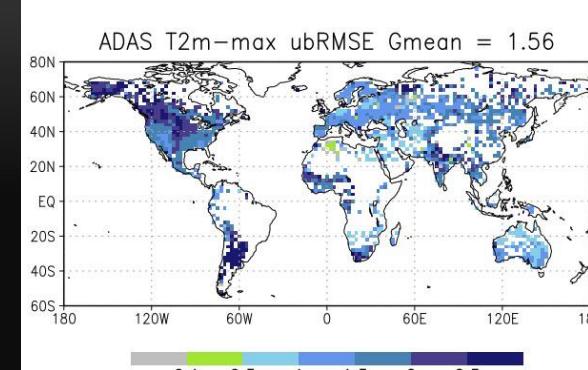
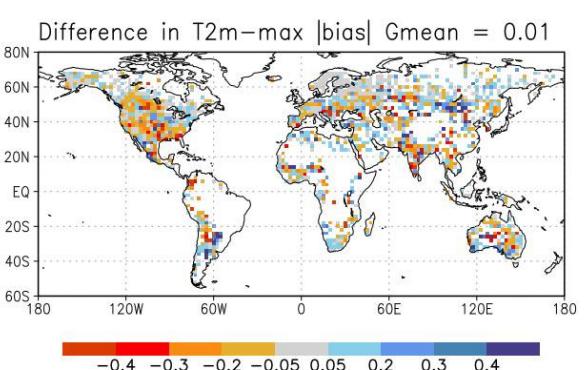
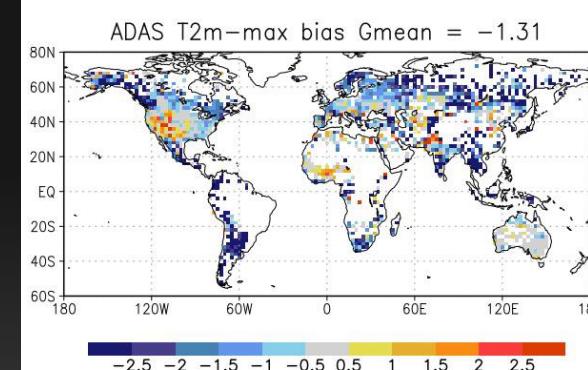
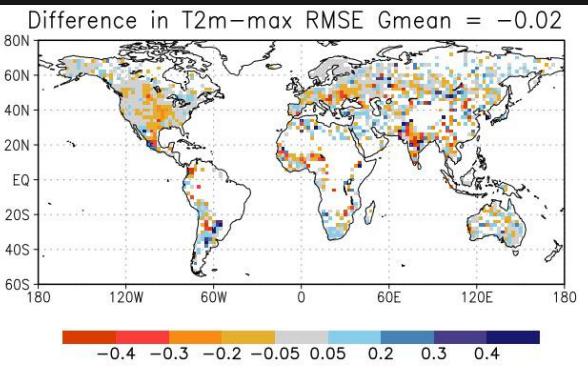
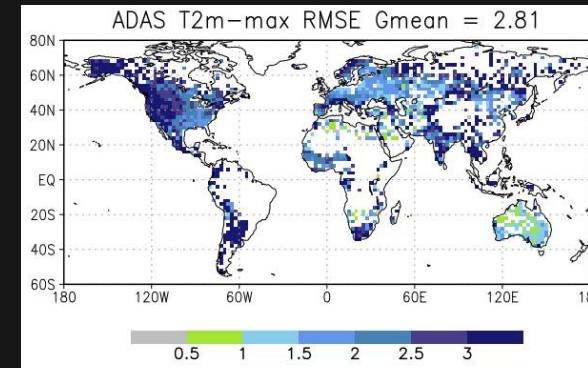
# Screen-Level Daily Max Air Temperature ( $T_{2m}^{\max}$ ) vs. GHCN



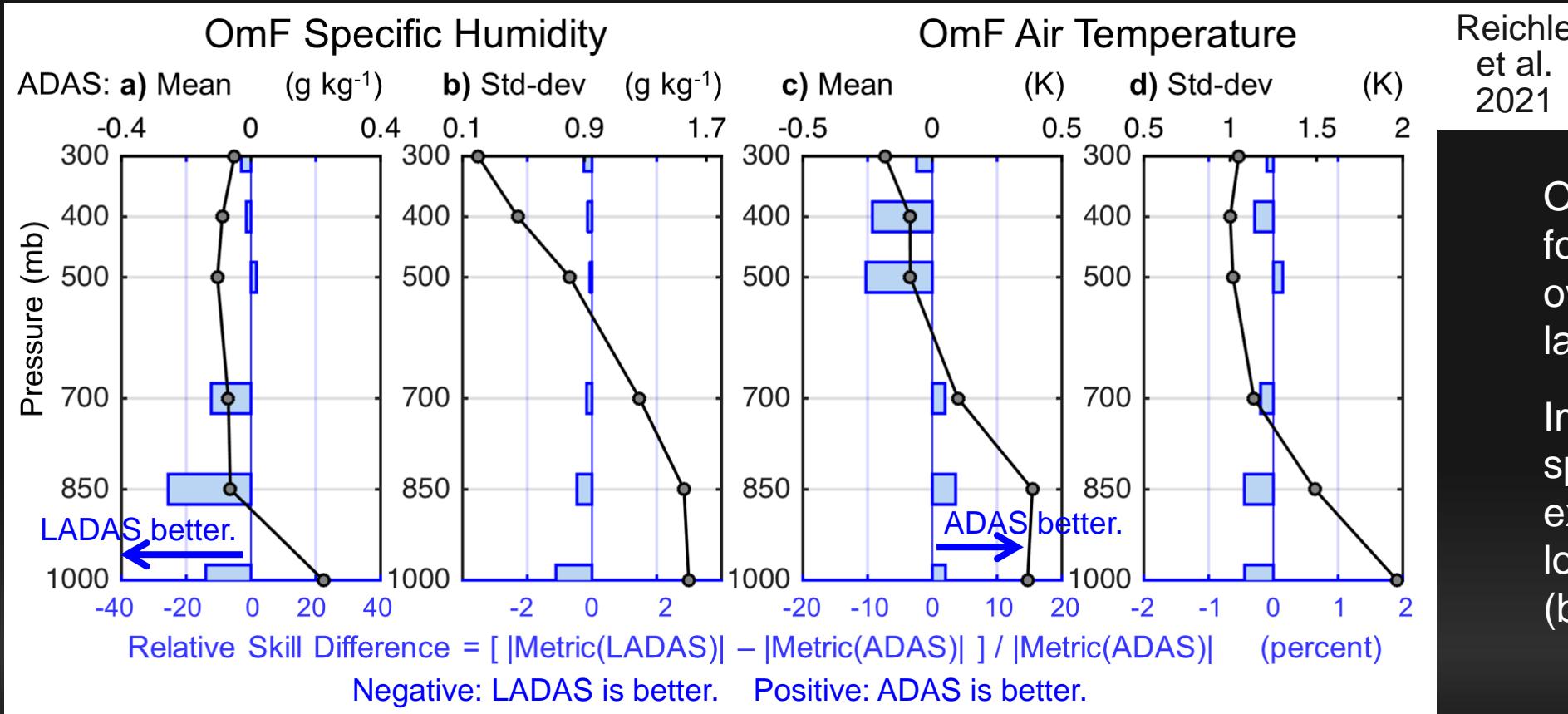
3D-Var (Reichle et al. 2021)



4D-Hybrid-EnVar (in prep)



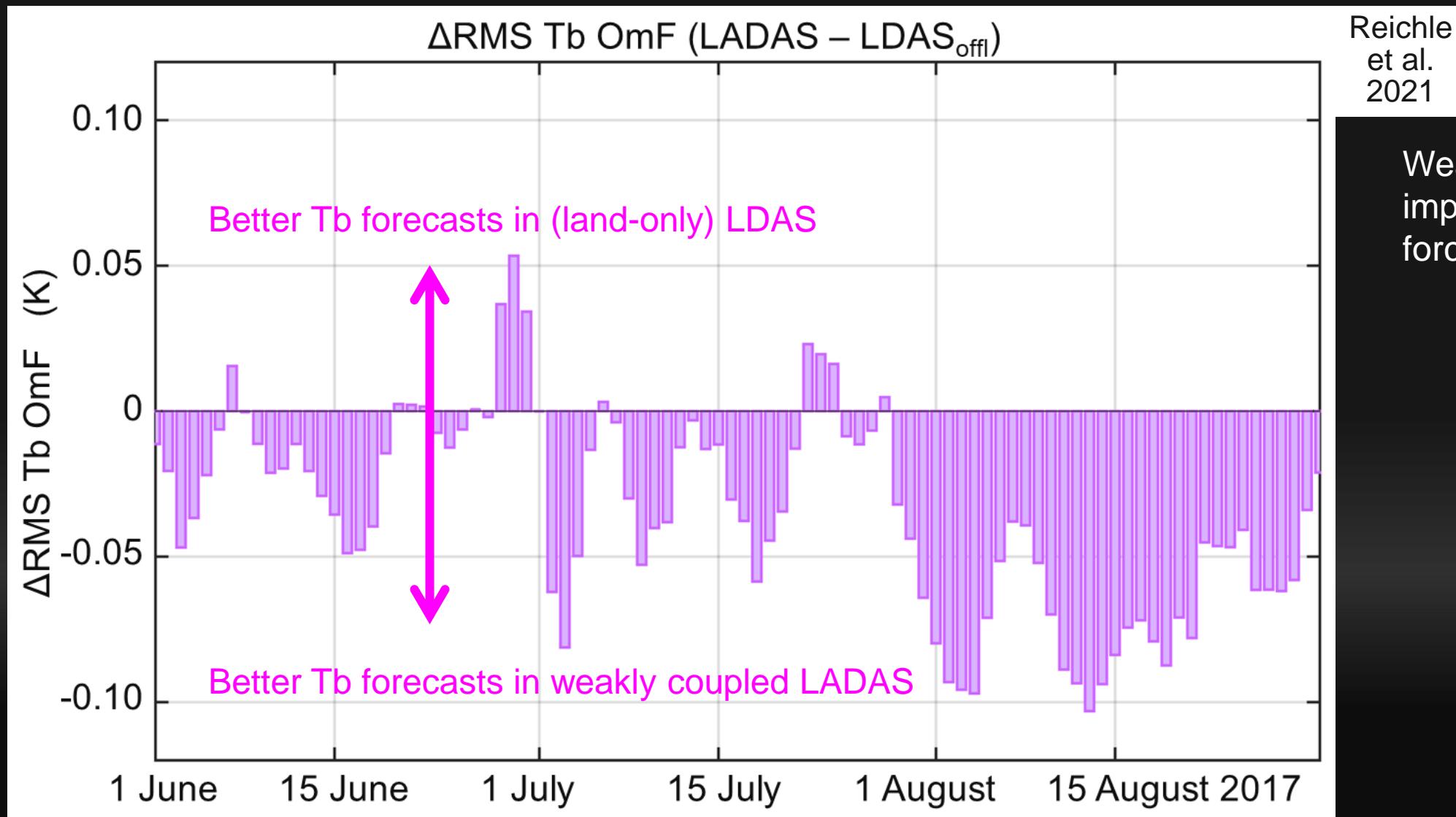
# Atmospheric Profiles



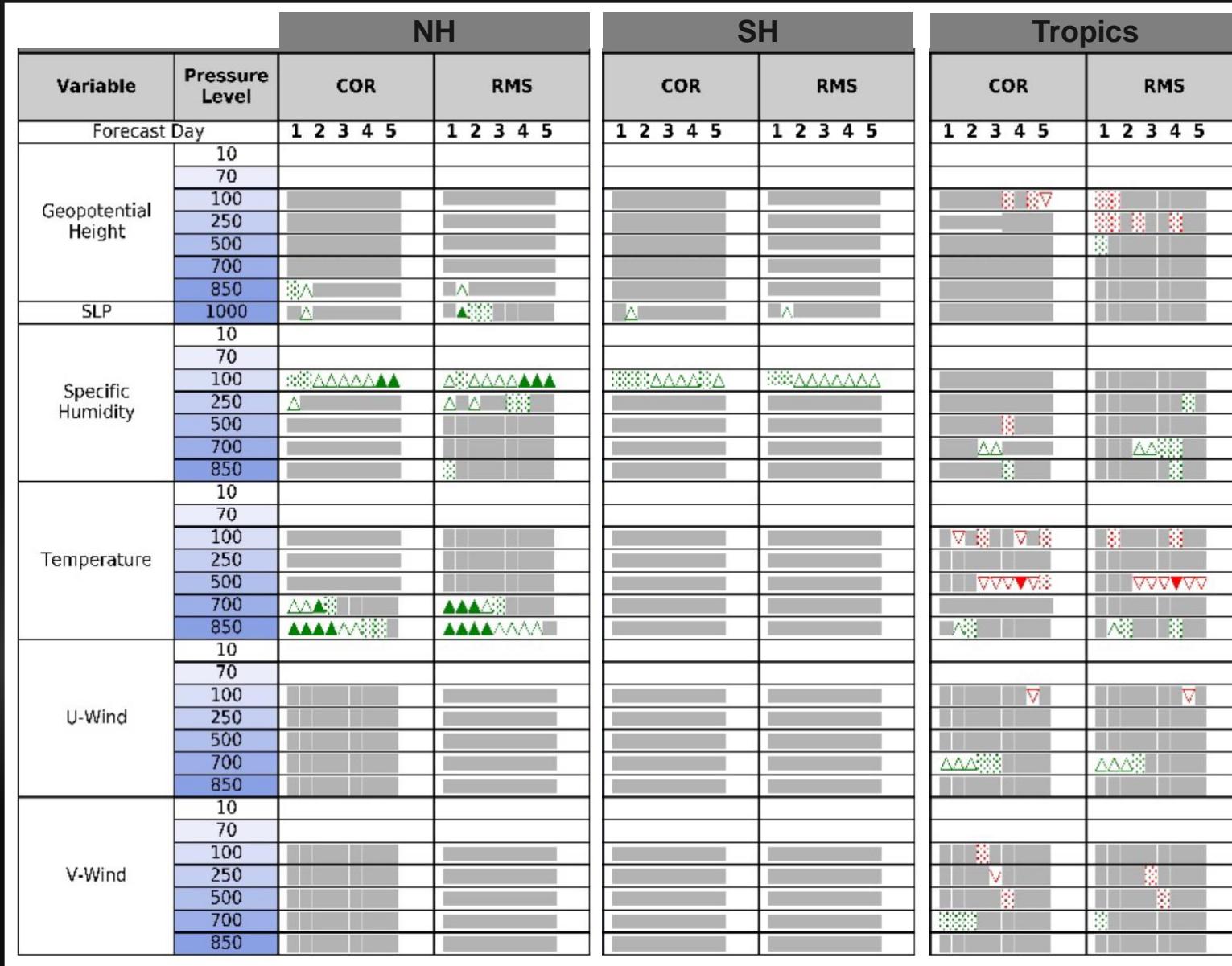
O-minus-F stats  
for radiosondes  
over continental  
land.

Improvements in  
specific humidity  
extend into the  
lower troposphere  
(below  $\sim 700$  mb).

# Weakly-coupled LADAS vs. land-only LDAS



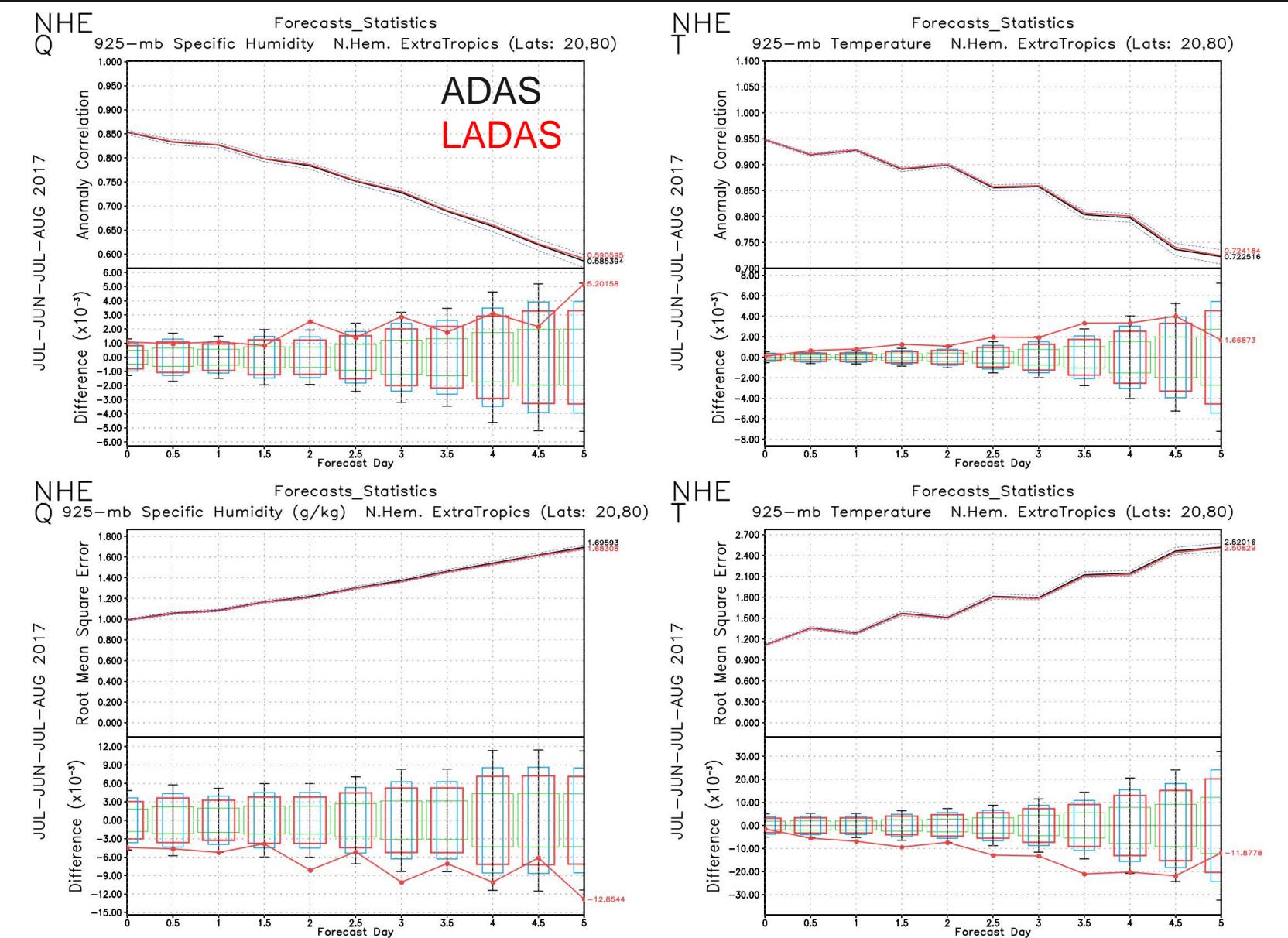
# 4D-Hybrid-EnVar LADAS and CTRL vs ECMWF (Jun 21-Aug 31, 2017)



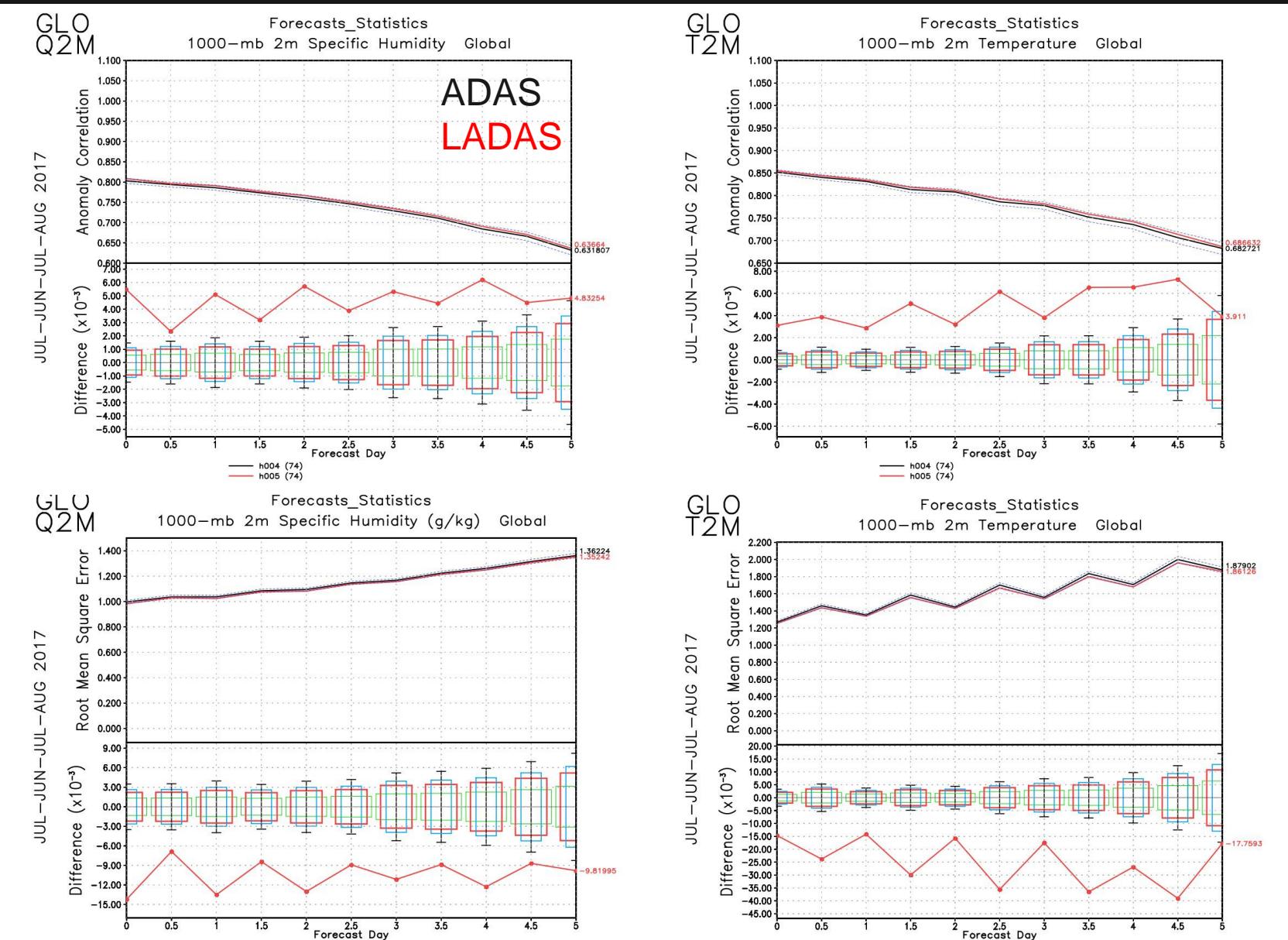
Standard GEOS score card does not get close to the surface...

- ▲ far better, significant (99.99% confidence)
- △ better, significant (99% confidence)
- slightly better, significant (95% confidence)
- no significant difference
- ◆ slightly worse, significant (95% confidence)
- ▼ worse, significant (99% confidence)
- ▼ far worse, significant (99.99% confidence)

# 4D-Hybrid-EnVar LADAS and CTRL vs ECMWF (925 mb, NH)



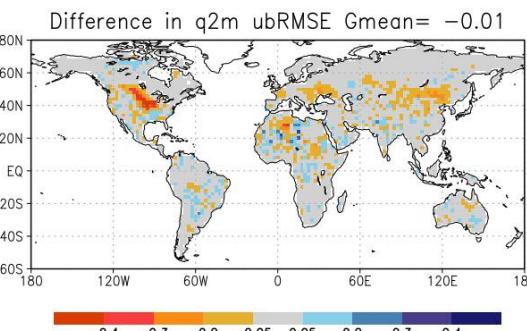
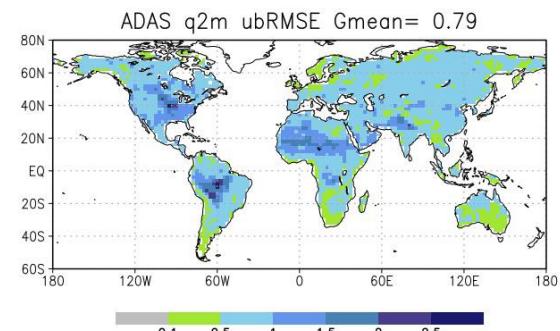
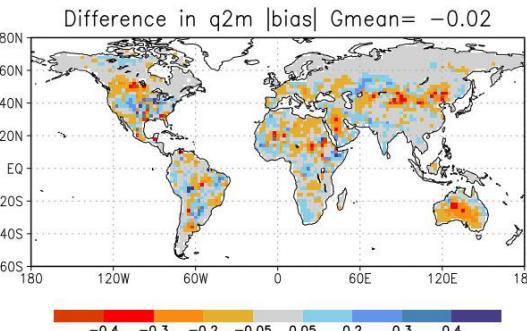
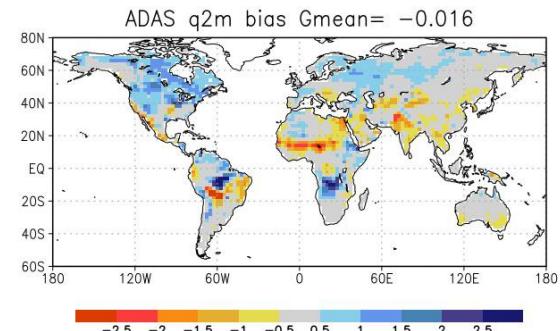
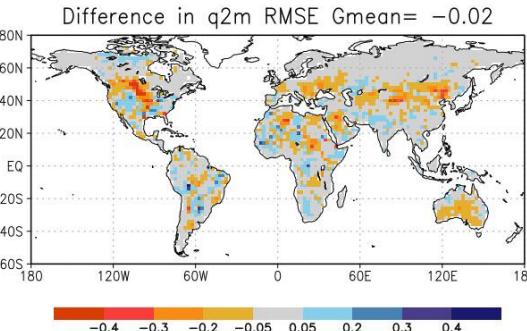
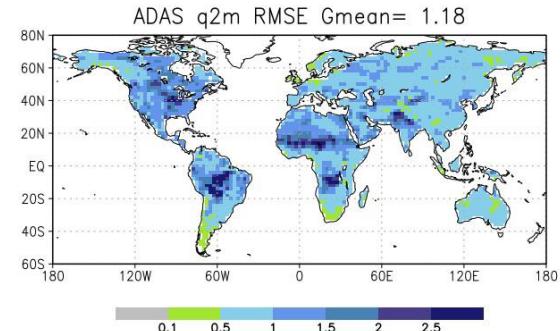
# 4D-Hybrid-EnVar LADAS and CTRL vs ECMWF (Screen-Level, Global)



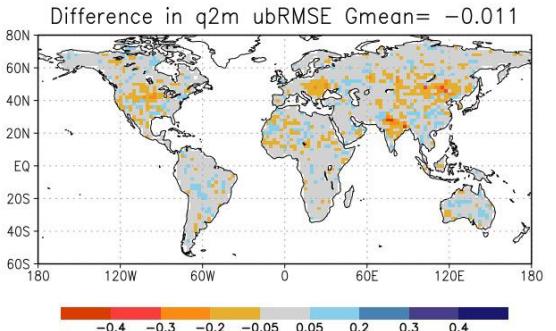
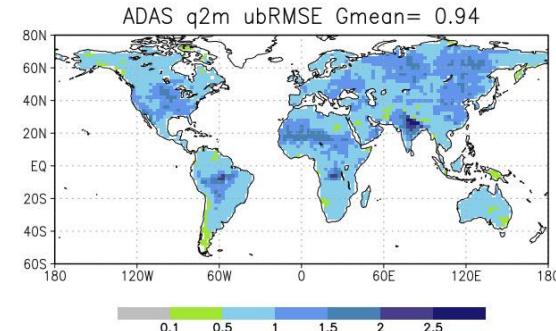
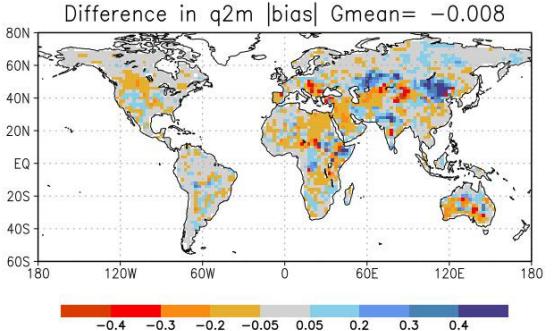
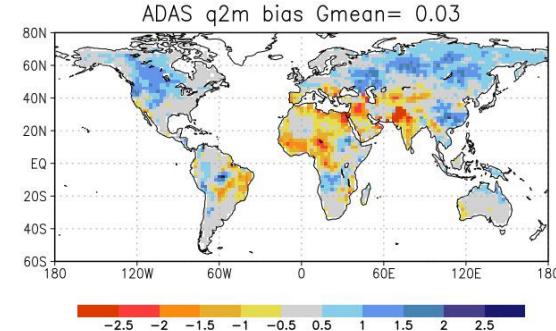
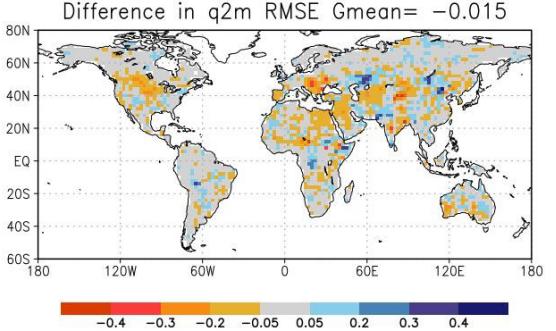
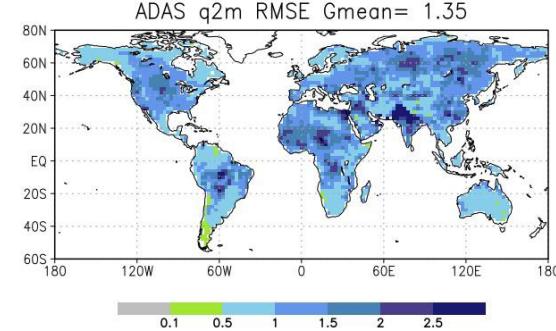
# Screen-Level Specific Humidity ( $q_{2m}$ ) vs. ECMWF



## Analysis (0z)



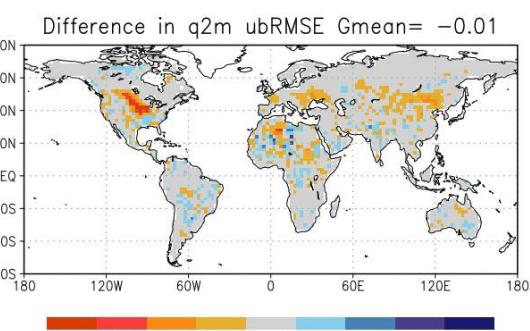
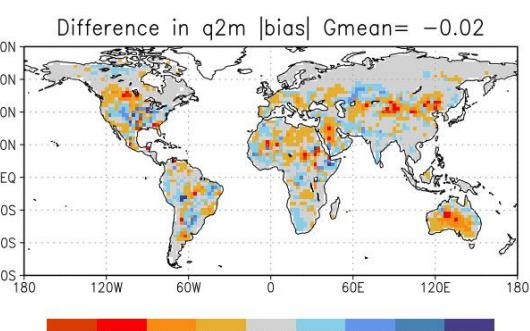
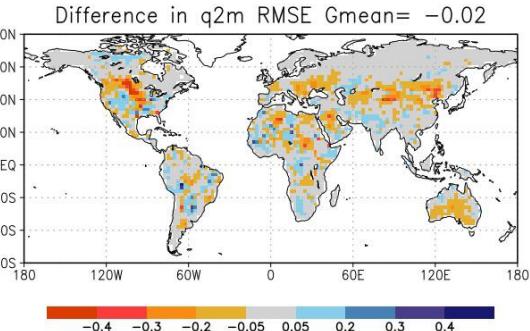
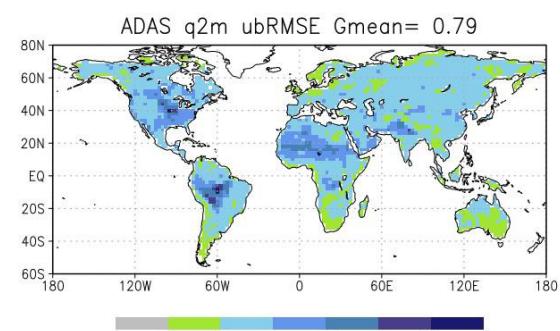
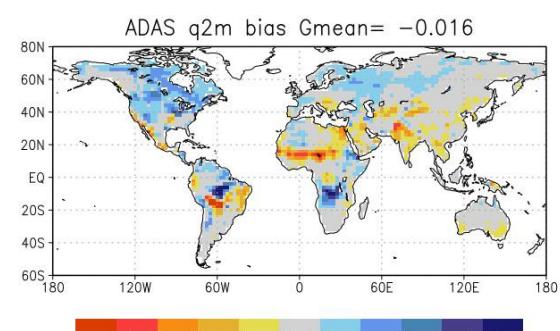
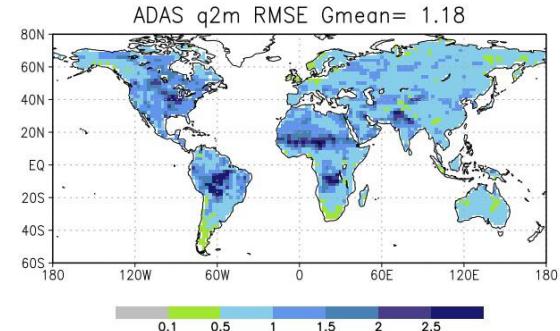
## 2.5-day Forecast



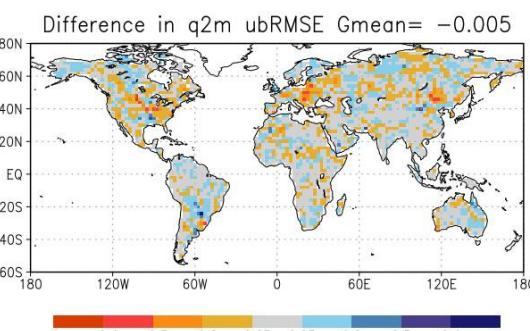
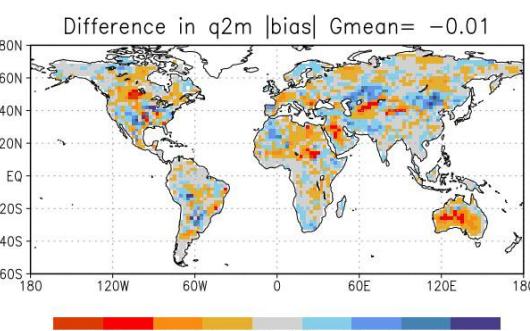
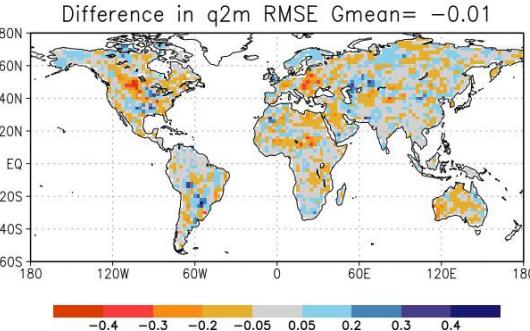
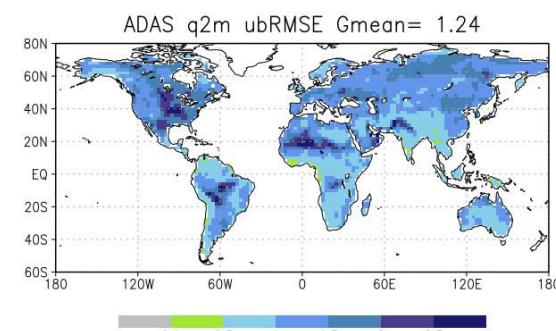
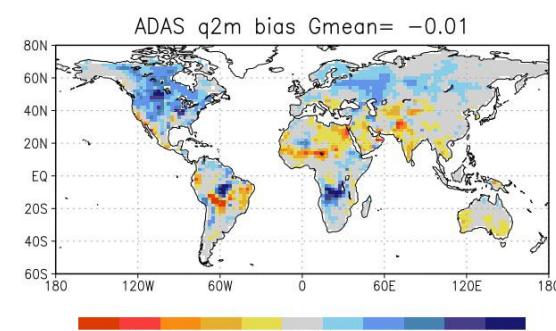
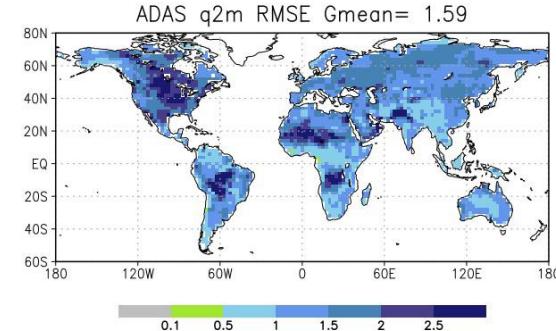
# Screen-Level Specific Humidity ( $q_{2m}$ ) vs. ECMWF



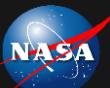
## Analysis (0z)



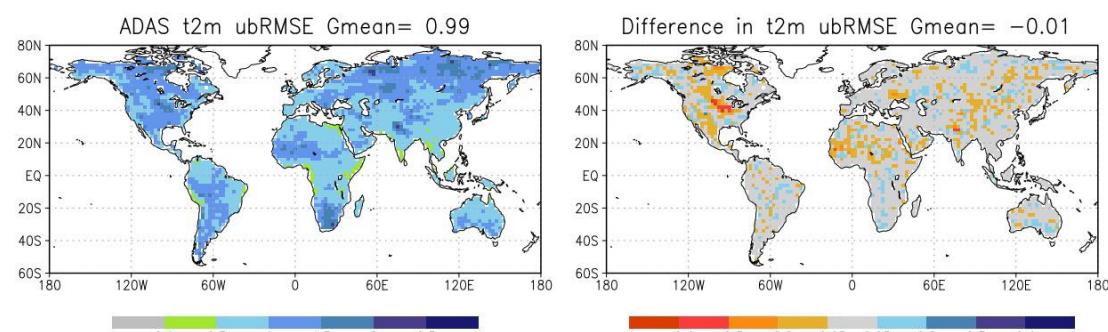
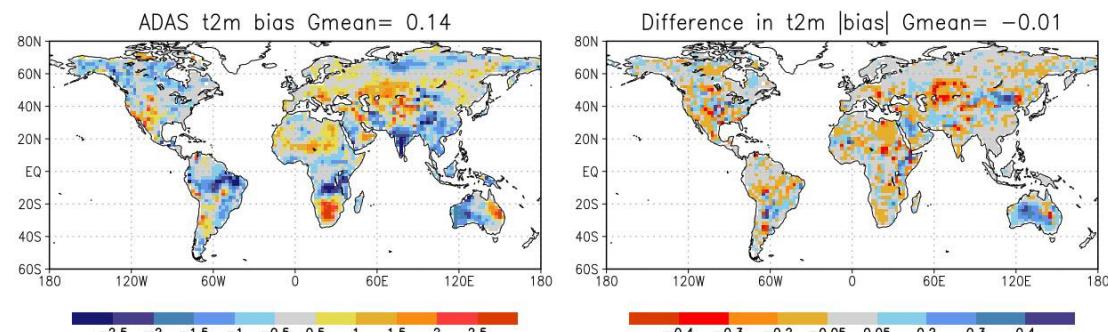
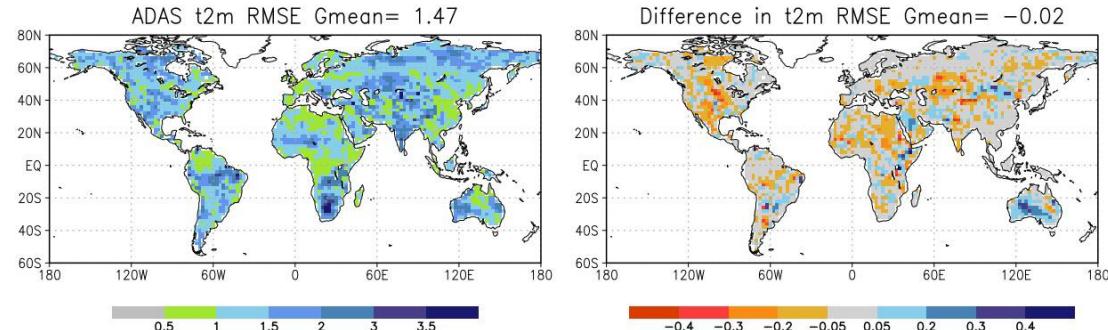
## 5-day Forecast



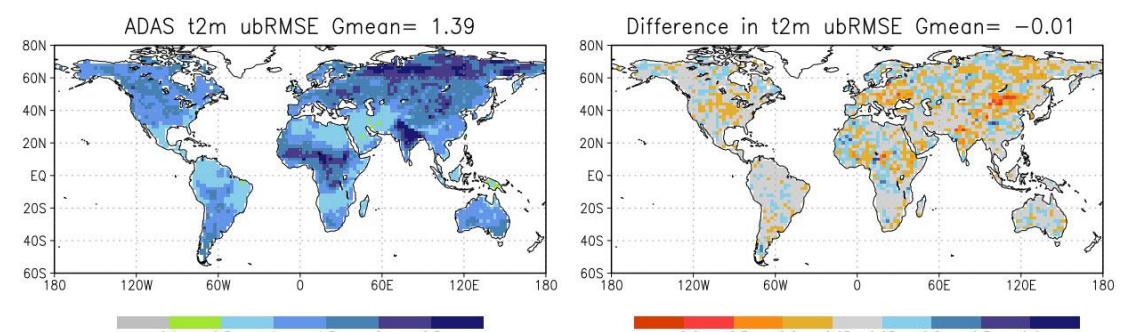
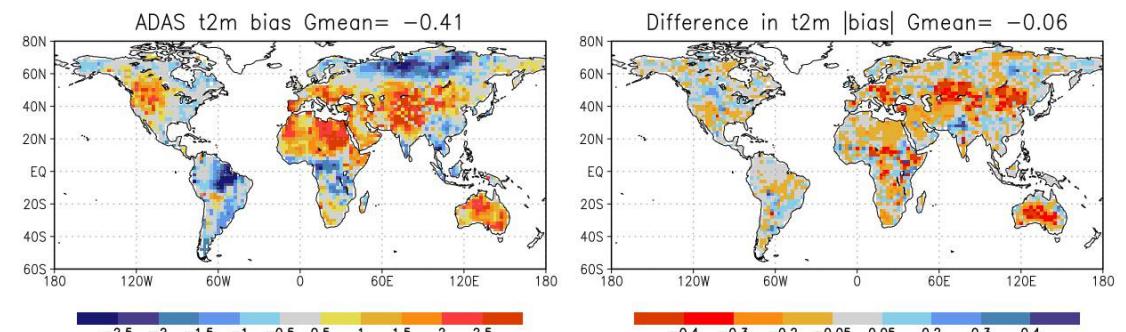
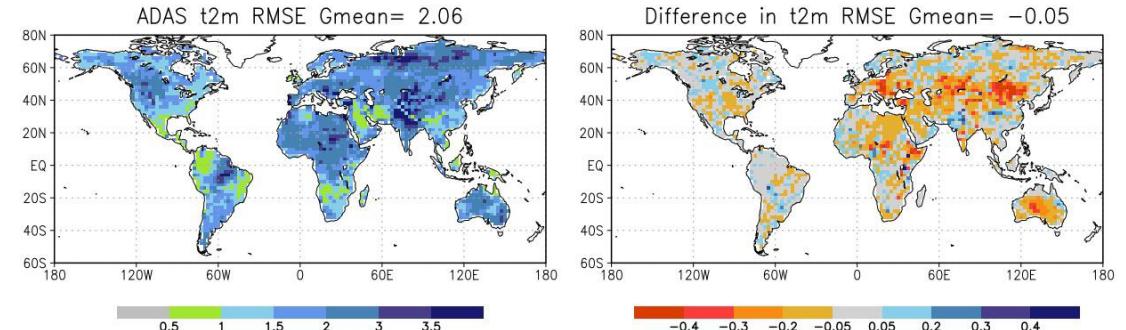
# Screen-Level Air Temperature (T2m) vs. ECMWF



## Analysis (0z)



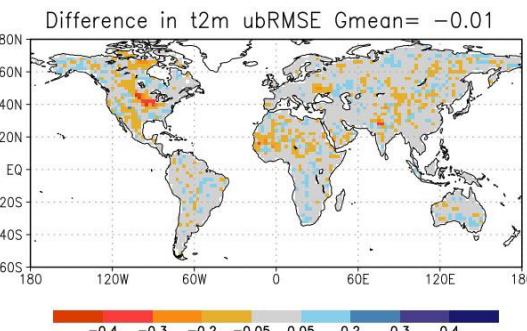
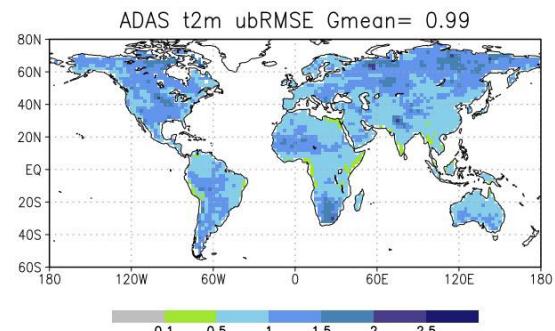
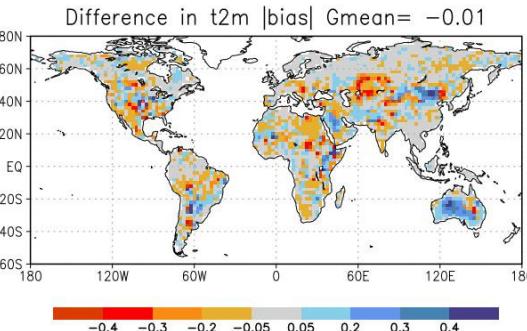
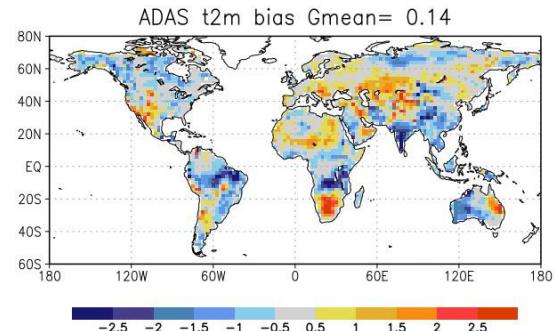
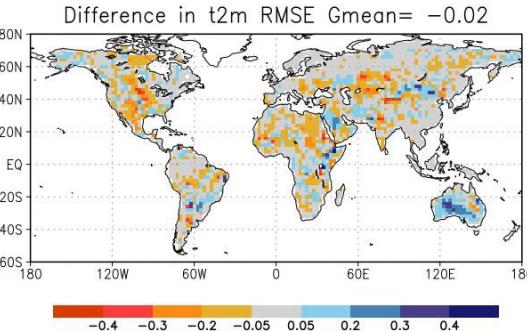
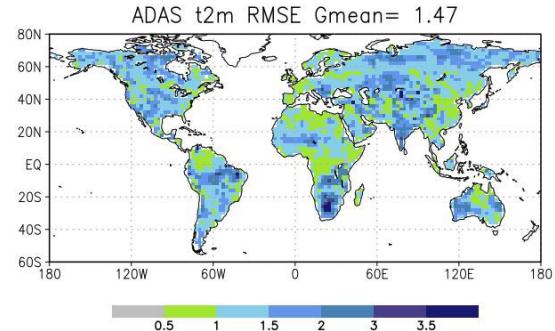
## 2.5-day Forecast



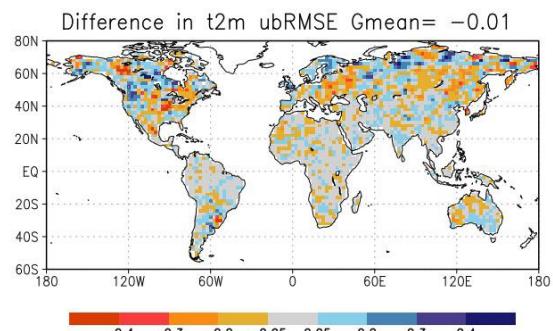
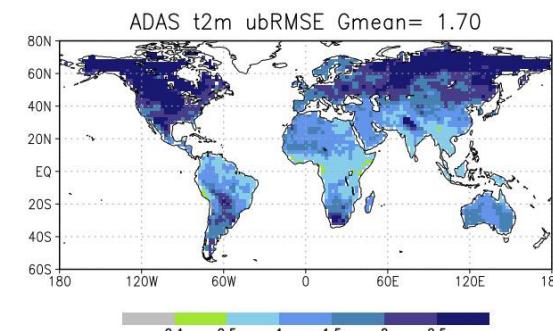
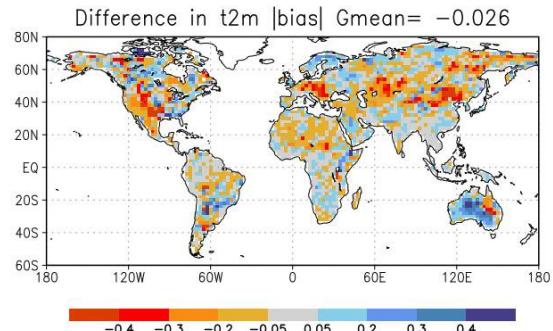
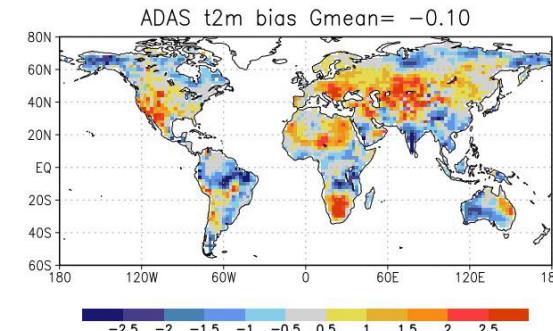
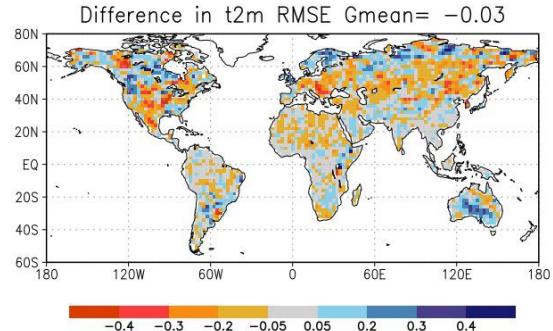
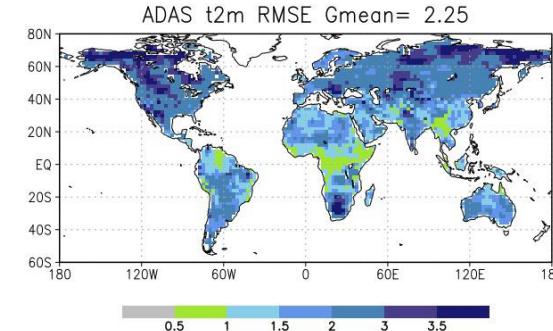
# Screen-Level Air Temperature (T2m) vs. ECMWF



## Analysis (0z)



## 5-day Forecast





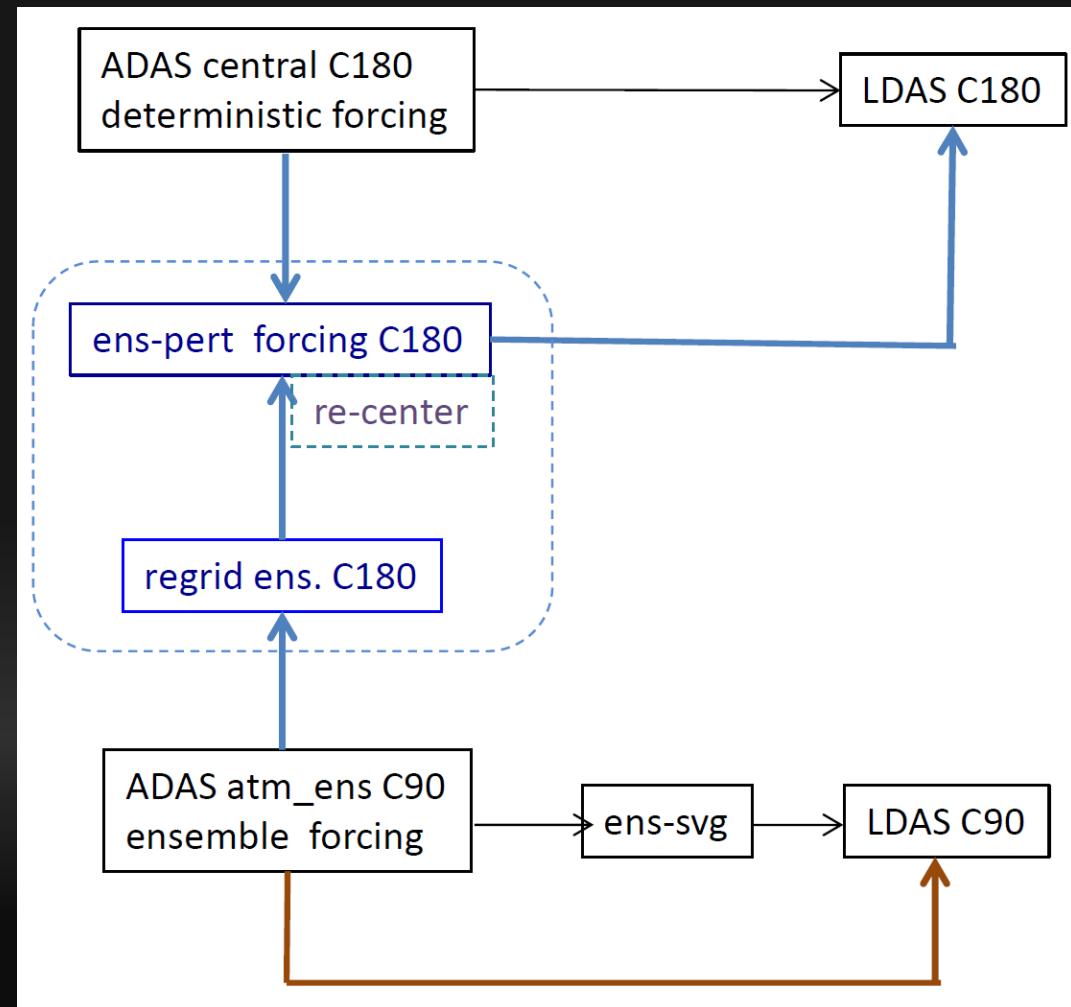
# Current Work and Next Steps

- Conducting 4D-Hybrid-EnVar experiments at 0.25 deg resolution for SMAP Tropical Cyclone project.
- Adding screen-level verification into standard package for die-off curves and score card.
- Connecting ADAS and LDAS ensembles.
- Investigate surface turbulent flux estimates.
- Include and verify in formal “X” experiment for system development.
- Add plumbing for near-real time SMAP Tb.
- Test in “parallel” operations.



# EXTRA SLIDES

# Connect ADAS and LDAS Ensembles



# Experiment Setup

## Reichle et al. 2021

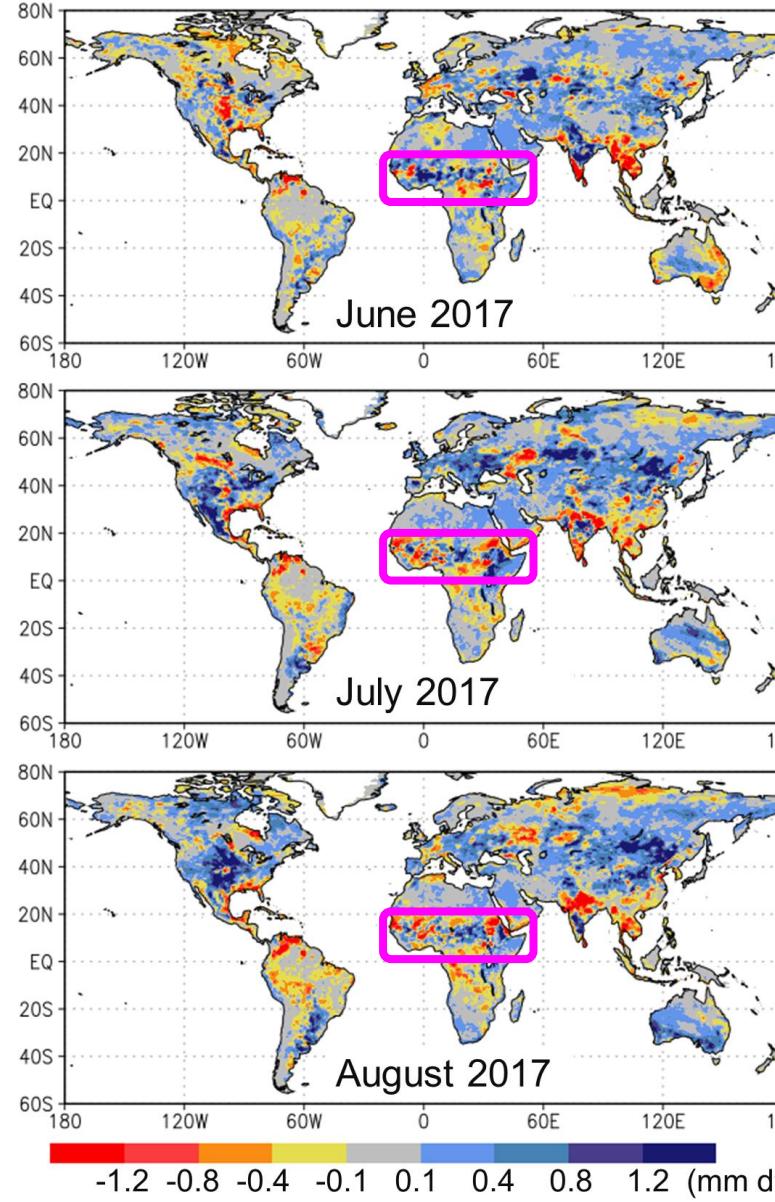
- GEOS ADAS version 5.26.4 (ca. 2020):
  - AGCM:  $\frac{1}{2}$ -deg resolution, 72 levels (to 0.01 hPa)
  - Atmos. analysis: GSI, 3D-Var
- Land analysis (as in L4\_SM Version 4):
  - 3d-EnKF
  - SMAP L1C\_TB
  - Seasonally-varying Tb scaling
- Experiment period: JJA 2017 (LADAS init. Apr 1)
- Validation:
  - SMAP core validation sites
  - Sparse networks
  - HadISD q2m
  - GCHN T2m
  - Radiosonde observations

## Draper & Reichle 2019

- GEOS ADAS version 5.12.4 (ca. 2014):
  - Land analysis:
    - 1d-EnKF
    - SMOS + ASCAT s.m. retrievals
    - Cdf-matching (impose obs seasonal cycle)
- Experiment period: MJJA 2013
- Validation:
  - ~~SMAP core validation sites~~
  - Sparse networks
  - HadISD q2m
  - GCHN T2m
  - ~~Radiosonde observations~~

# Soil Moisture Analysis Increments

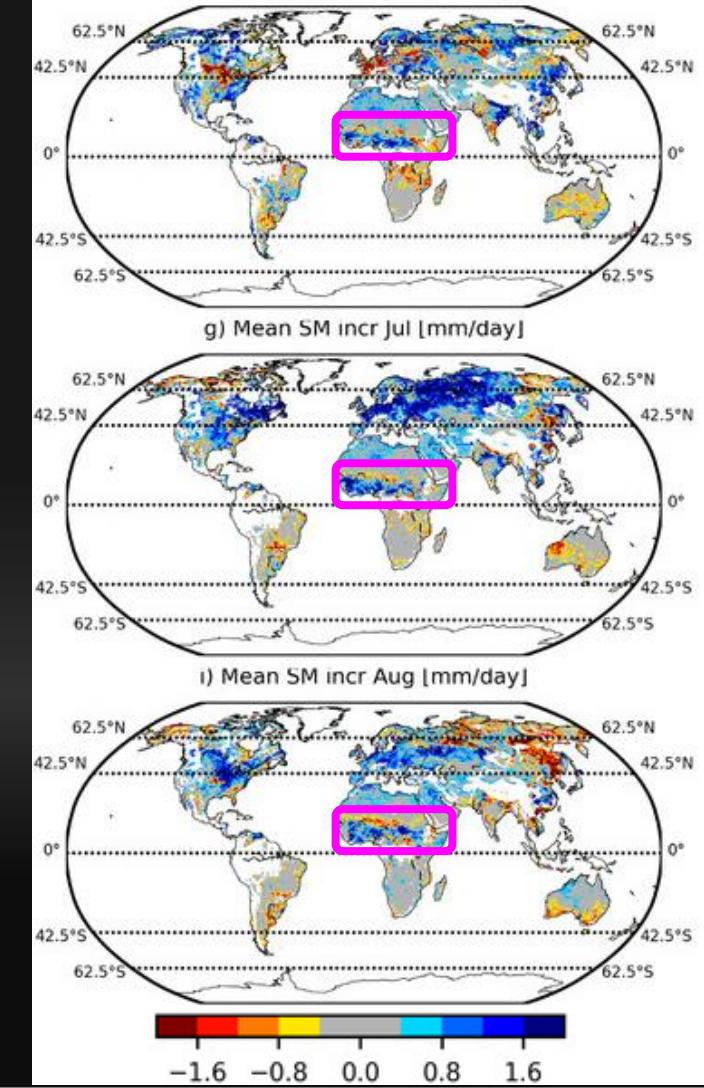
Monthly Mean Profile Soil Moisture Increments



Consistent with L4\_SM diagnostics.

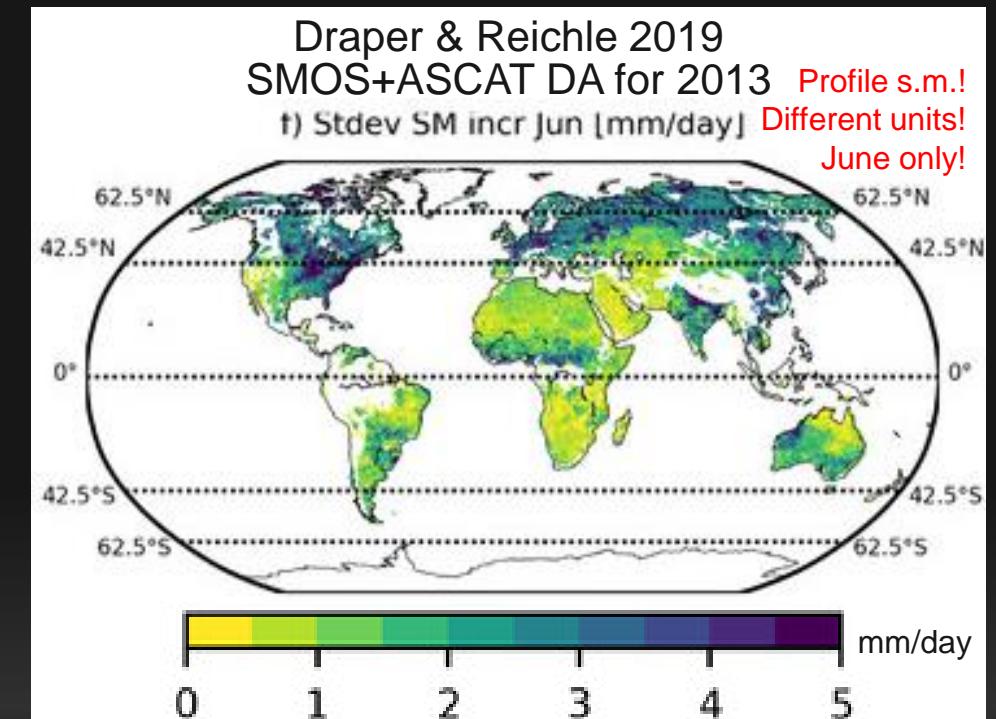
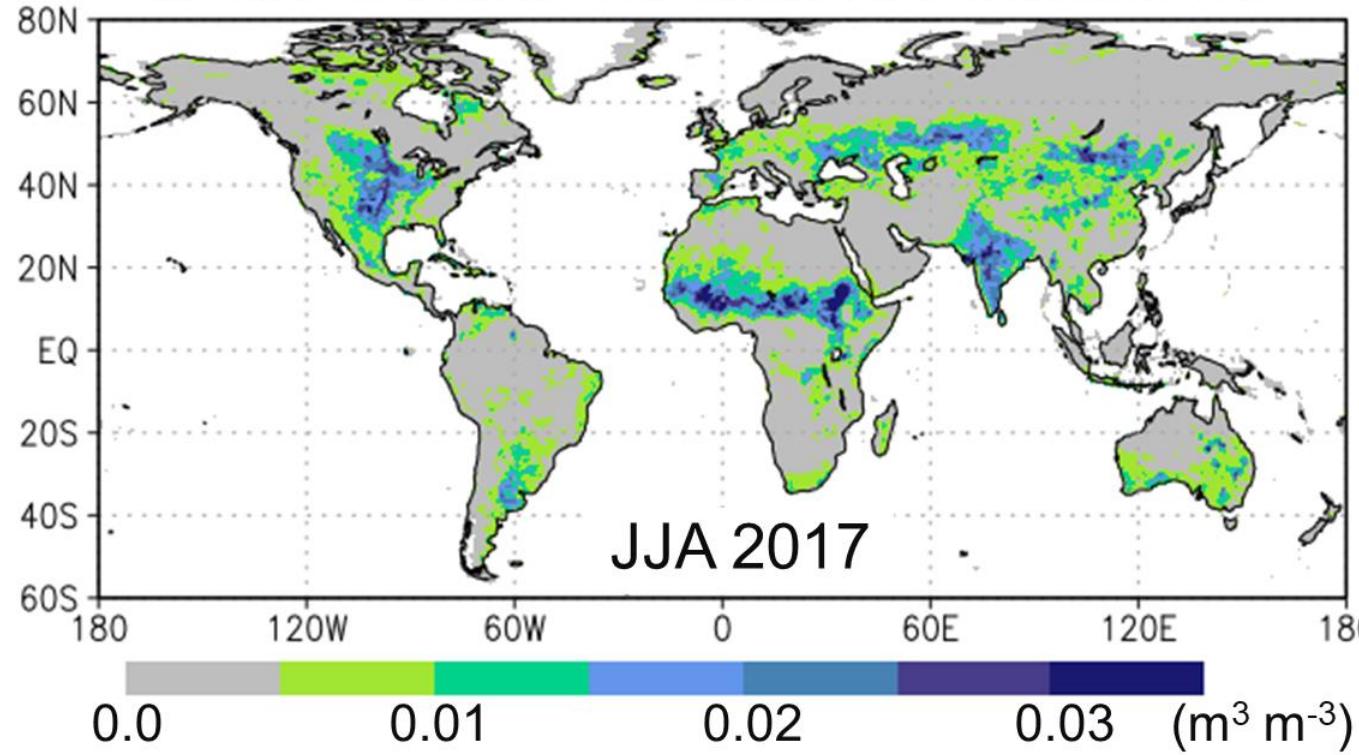
Somewhat more spatio-temporal variability than seen in (Draper & Reichle 2019).

Draper & Reichle 2019  
SMOS+ASCAT DA for 2013  
e) Mean SM incr Jun [mm/day]



# Soil Moisture Analysis Increments

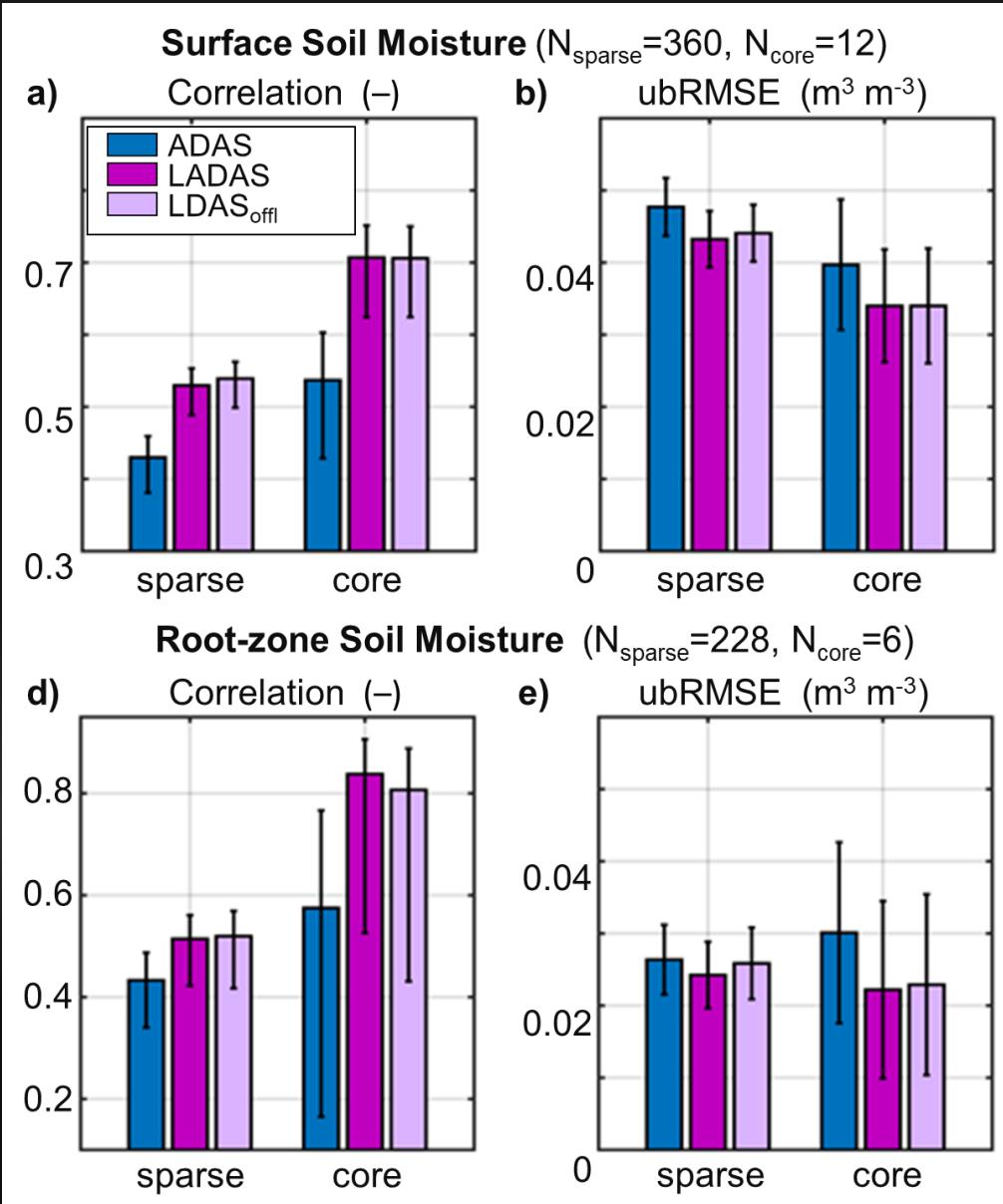
## Std-dev Surface Soil Moisture Increments



Largest impact from SMAP in transition zones between wet and dry climates (consistent with L4\_SM).

Pattern quite different from that seen in (Draper & Reichle 2019).

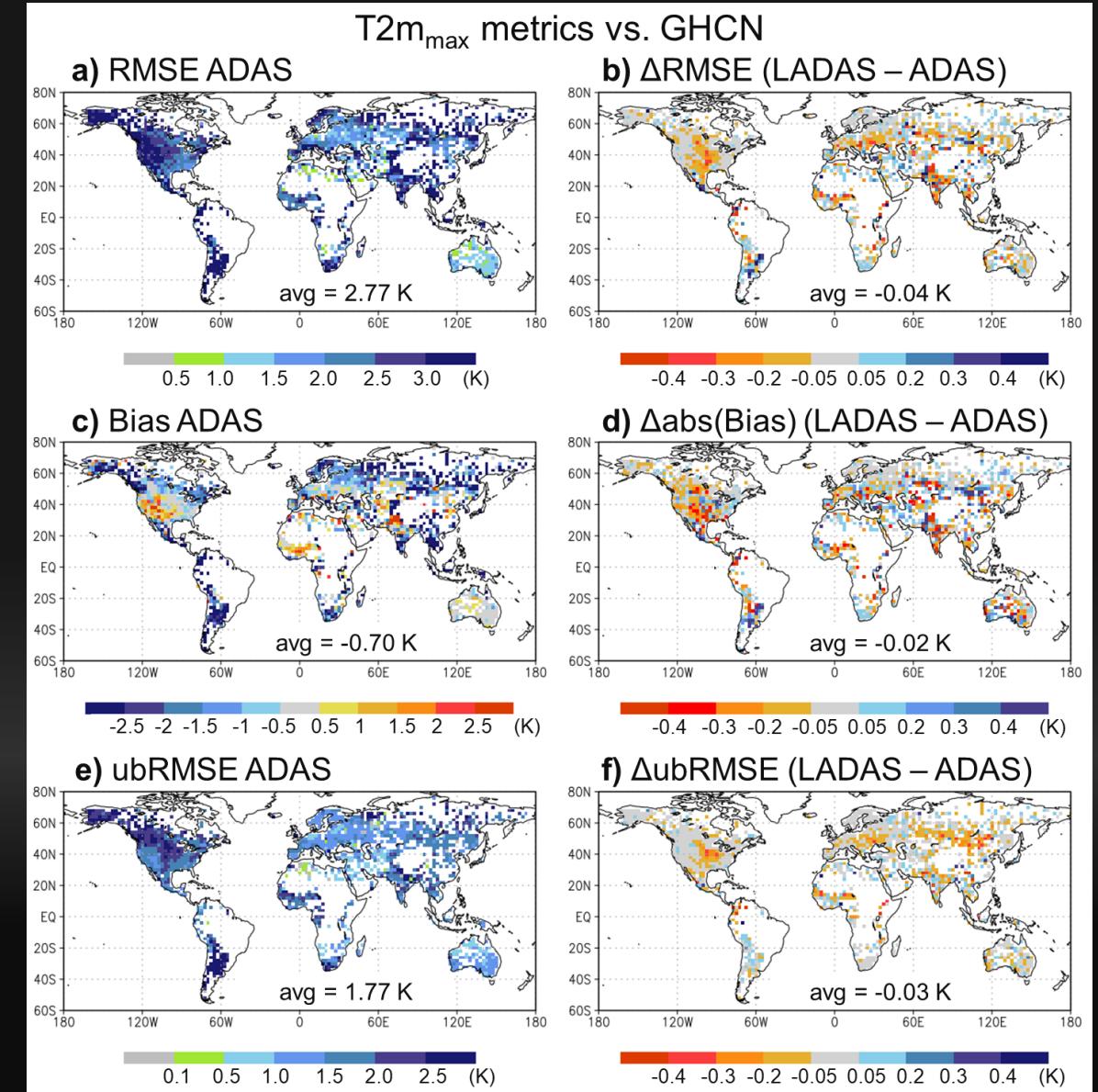
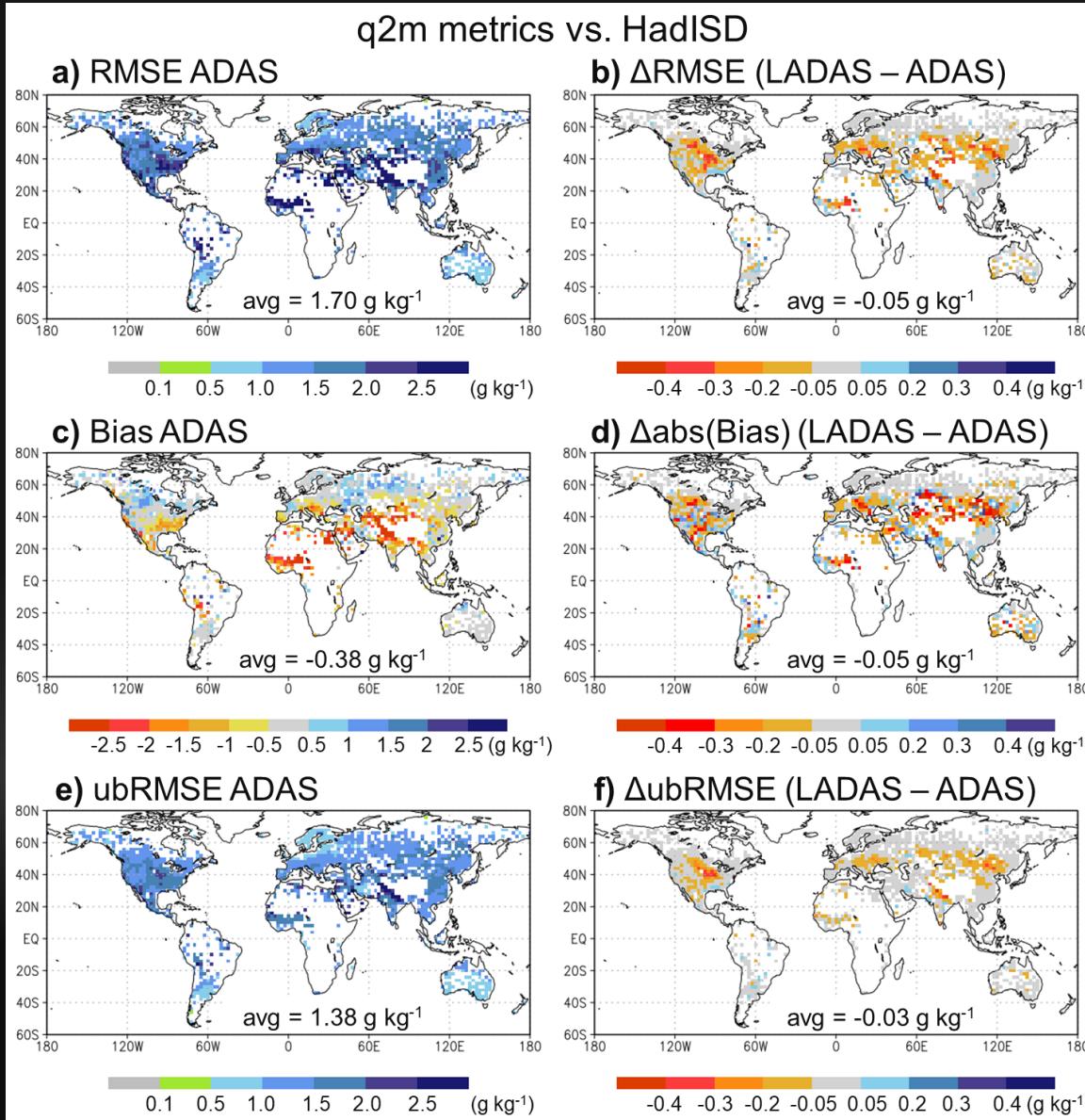
# In Situ Soil Moisture Validation



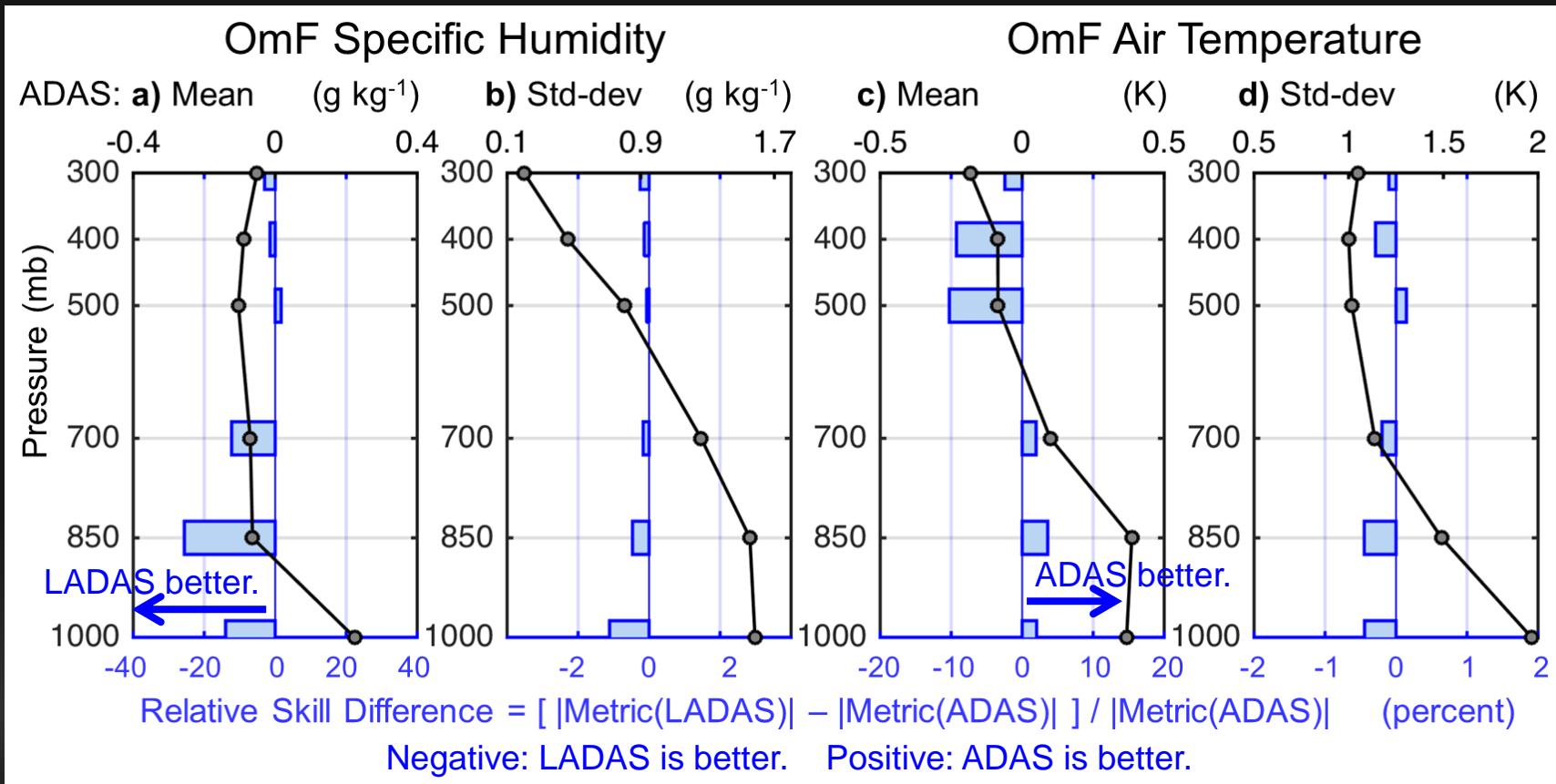
Soil moisture skill improvements over ADAS (consistent with L4\_SM improvements over land model-only simulation).

Improvements statistically significant for correlation.

# Screen-Level Humidity and Air Temperature



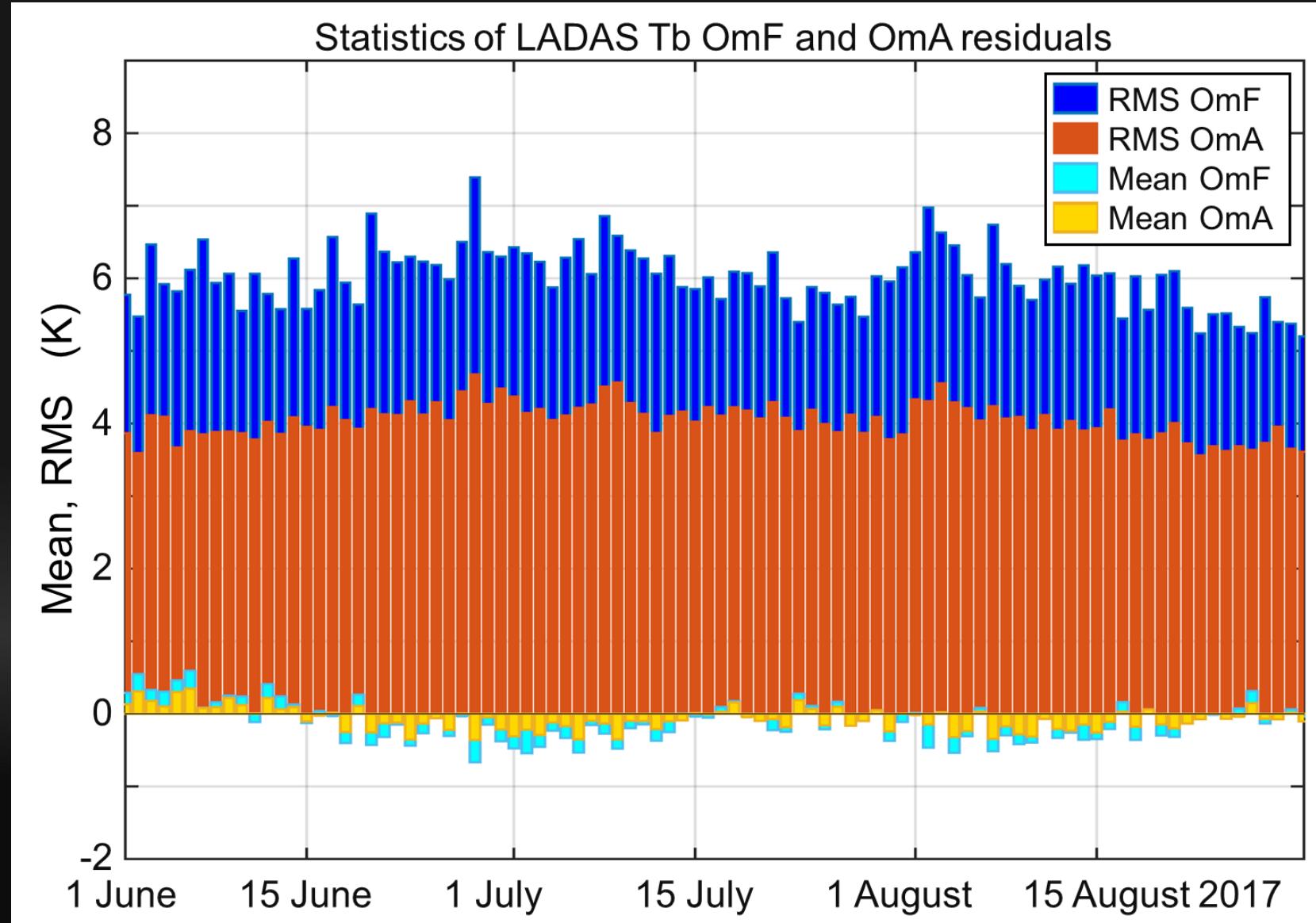
# Atmospheric Profiles



O-minus-F stats for radiosondes over continental land.

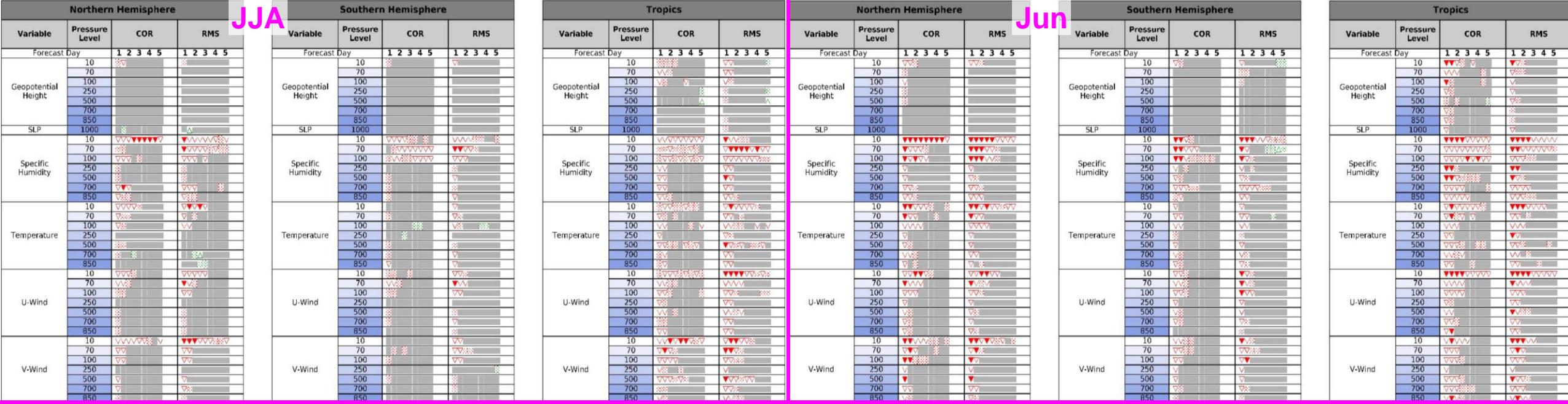
Improvements in specific humidity extend into the lower troposphere (below ~700 mb).

# SMAP Tb Assimilation Diagnostics



Consistent with Tb  
assimilation diagnostics  
in L4\_SM

**4D-Hybrid-EnVar LADAS and CTRL vs Self (JJA 2017)**



# 4D-Hybrid-EnVar LADAS and CTRL vs ECMWF (850 mb, NH)

