

**Richard Allard<sup>1</sup>**

Contributors:

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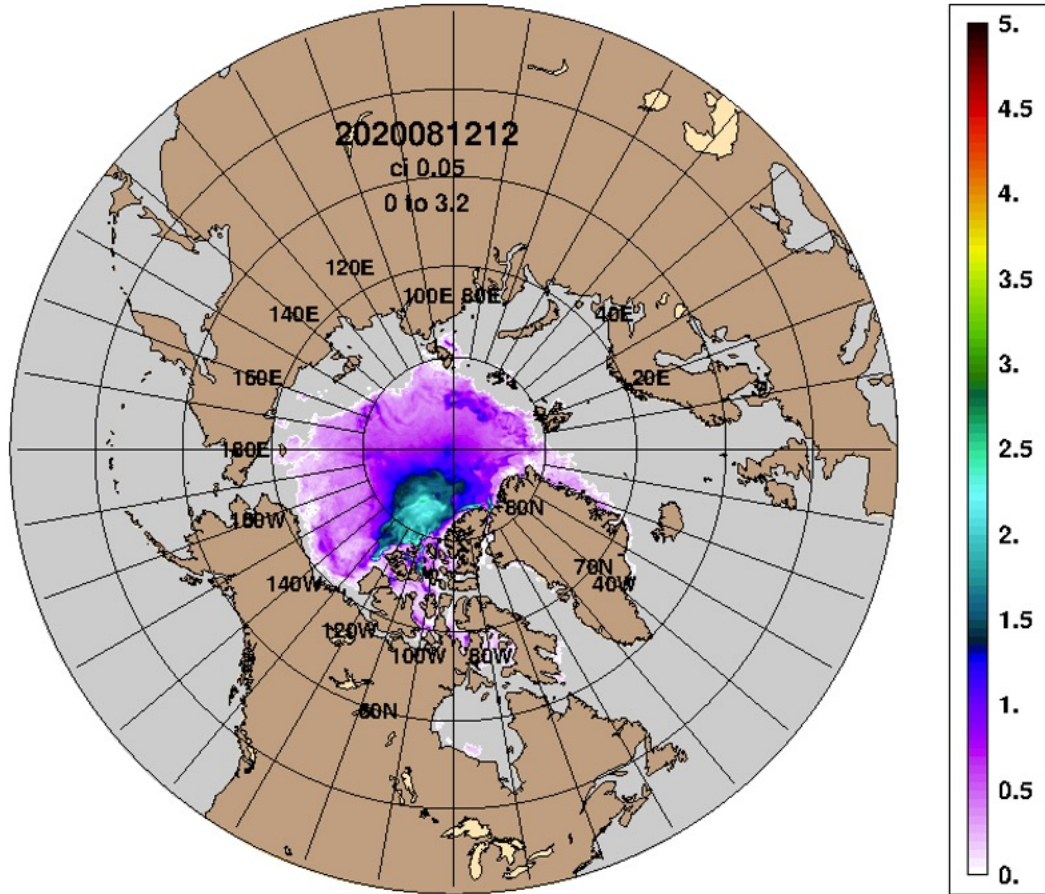
- Navy Ice Modeling Systems
  - GOFS 3.1
  - GOFS 3.5
  - Navy ESPC
- Ice Concentration Assimilation
- Use of CryoSat-2 to initialize models
- Testing assimilation of CryoSat-2 data in GOFS 3.5

# Global Ocean Forecast System (GOFS 3.1)

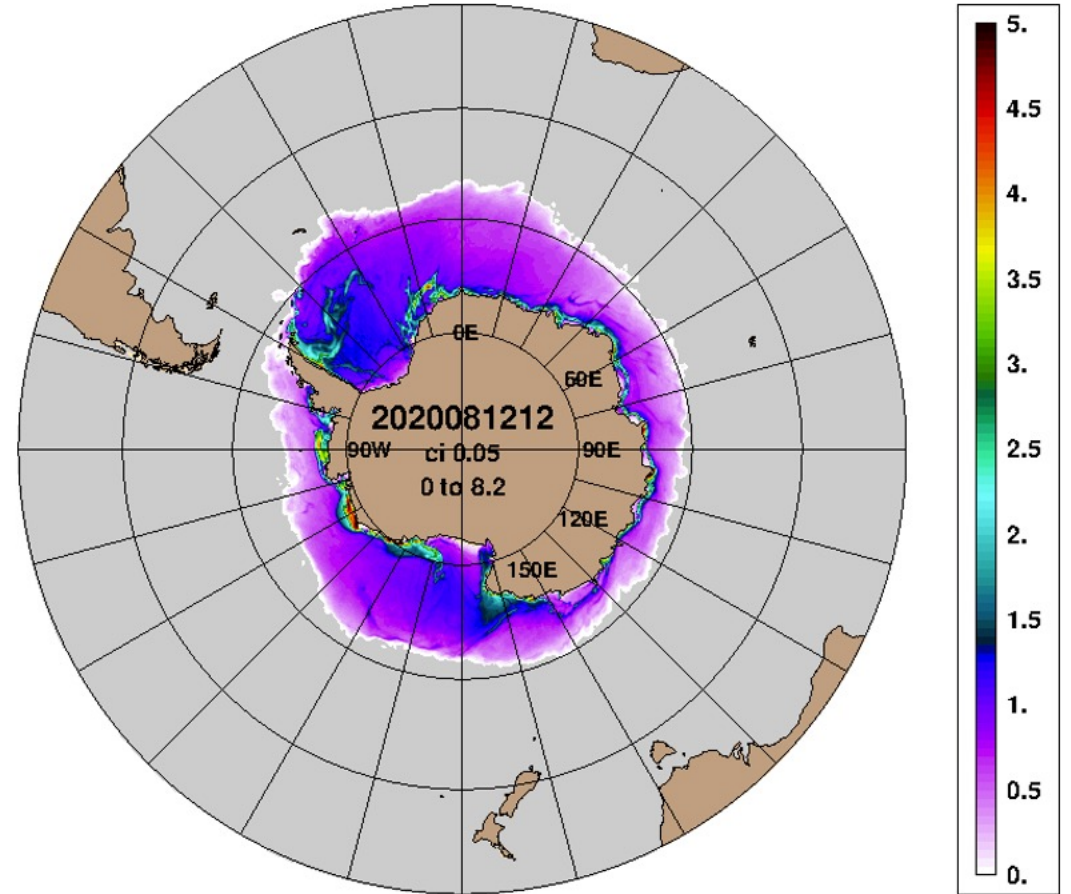
- Global Ocean Forecast System (GOFS) 3.1 was declared operational on 7 November 2018
- Navy's global ocean prediction system to provide first look information "anywhere, anytime"
- Provides boundary conditions to regional (ice and ocean) models
- 1/12° HYCOM two-way coupled to Community Ice CodE (CICEv4)
- Uses the Navy Coupled Ocean Data Assimilation (NCODA) to assimilate available real-time observations: satellite altimeter, SST and sea ice concentration data, in-situ SST, profile data (Argo profiles, XBTs, CTDs, gliders, marine mammals)
- Atmospheric forcing from NAVy Global Environmental Model (NAVGEM)
- Runs daily at Navy DSRC under FNMOC control: 7-day forecasts

# Global Ocean Forecast System (GOFS 3.1)

GLBb0.08-93.0 Ice Thickness (m): 20200813



GLBb0.08-93.0 Ice Thickness (m): 20200813

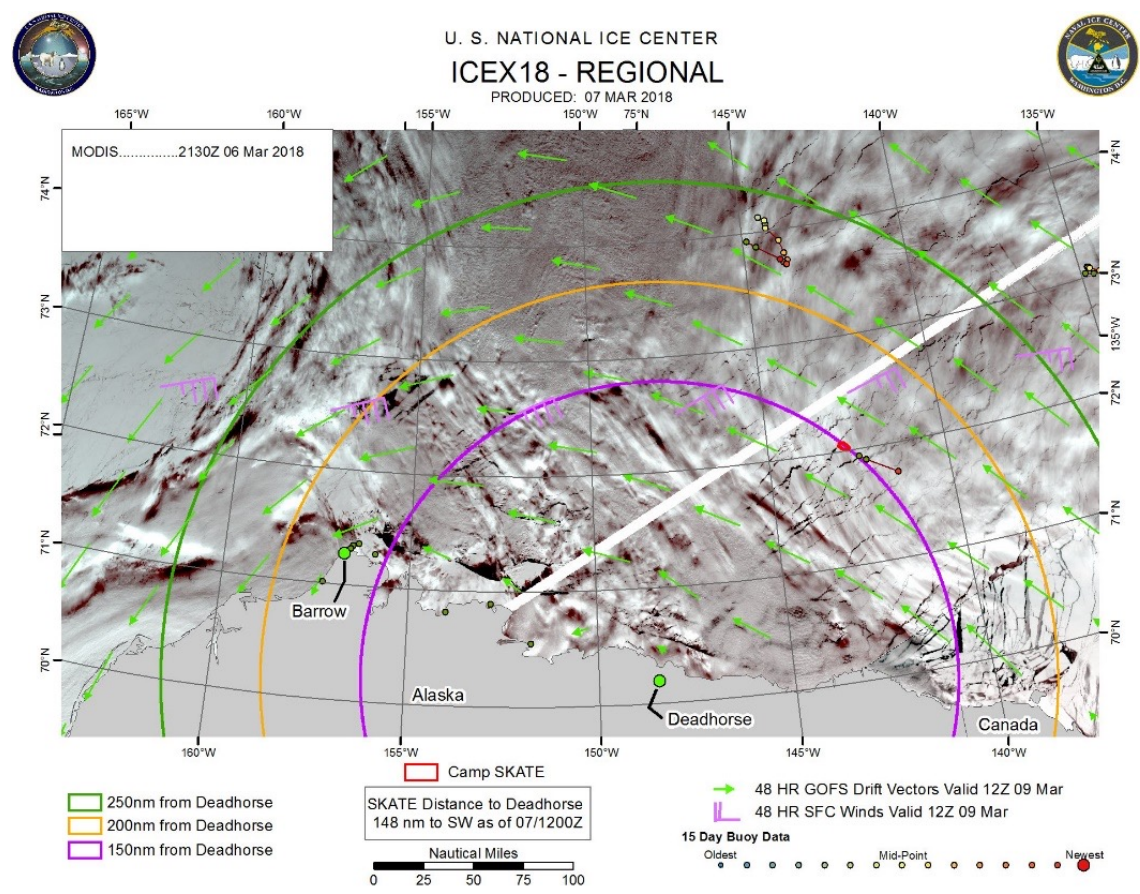


<https://www7320.nrlssc.navy.mil/GLBhycomcice1-12/skill.html>

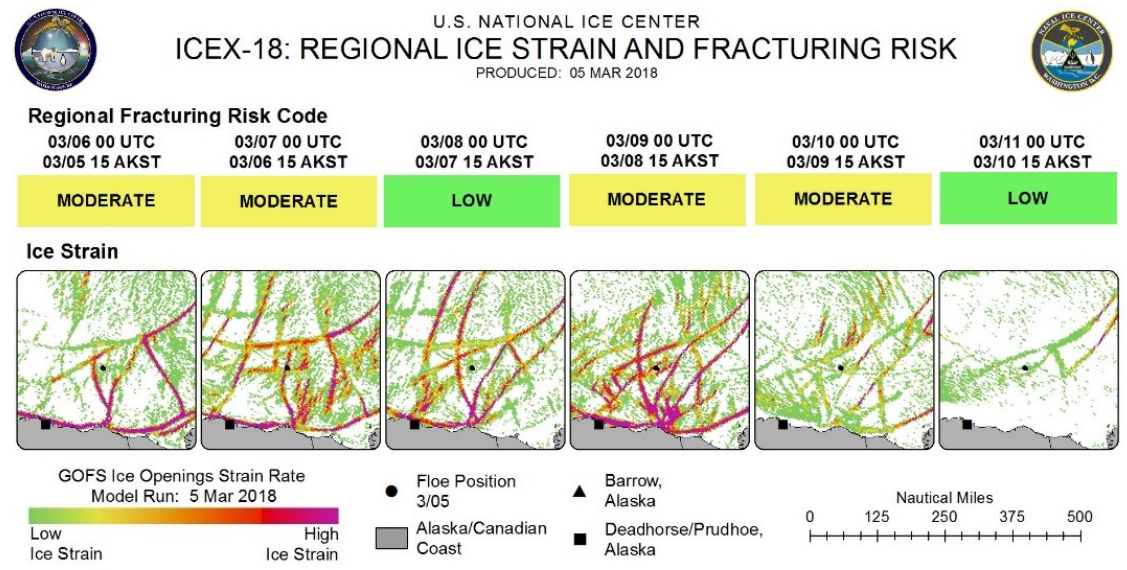
HYCOM output available at [hycom.org](http://hycom.org)

Int. Earth System Working Group

# GOFS 3.1 Support for ICEX 2018



GOFS 3.1 (green arrows) used to forecast ice camp (red polygon) drift



**Regional Fracturing Risk Code Guide**

|                          |   |
|--------------------------|---|
| <b>LOW</b>               | Low risk of new fractures: No sign of ice strain in environmental conditions AND model data.  |
| <b>MODERATE</b>          | Moderate risk of new fractures: Environmental conditions indicate ice strain developing OR model data suggests elevated ice strain. |
| <b>HIGH</b>              | High risk of new fractures: Environmental conditions AND model data indicate high ice strain.                                       |
| <b>ACTIVE FRACTURING</b> | Fracturing imminent or underway: Environmental conditions AND model data indicate fracturing OR fracturing has been observed.       |

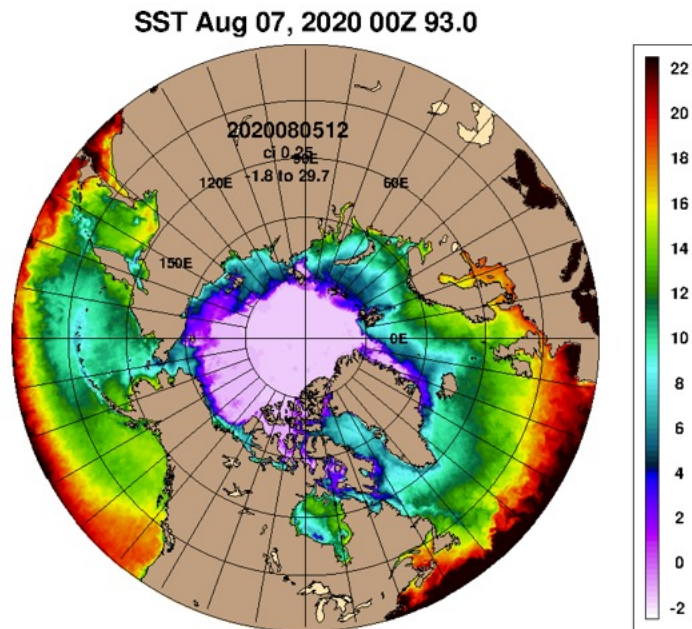
**Analyst Notes**

Current imagery shows small leads that are open and refreezing outside of 10nm from the ice floe. The strain rate model is picking up low strain but with the shifting winds we will hold a moderate rating for this afternoon, Alaska standard time. This will continue through tomorrow with winds out of the east and the drift speed increasing to the WNW. On the 7th into the 8th, the forecasted risk will decrease down as currents and winds move in the same SW direction, leading to a more compressed ice field. By late in the 8th through the 9th, currents and strain increase with faster E winds. Moderate risk of new fractures is expected for that time period.

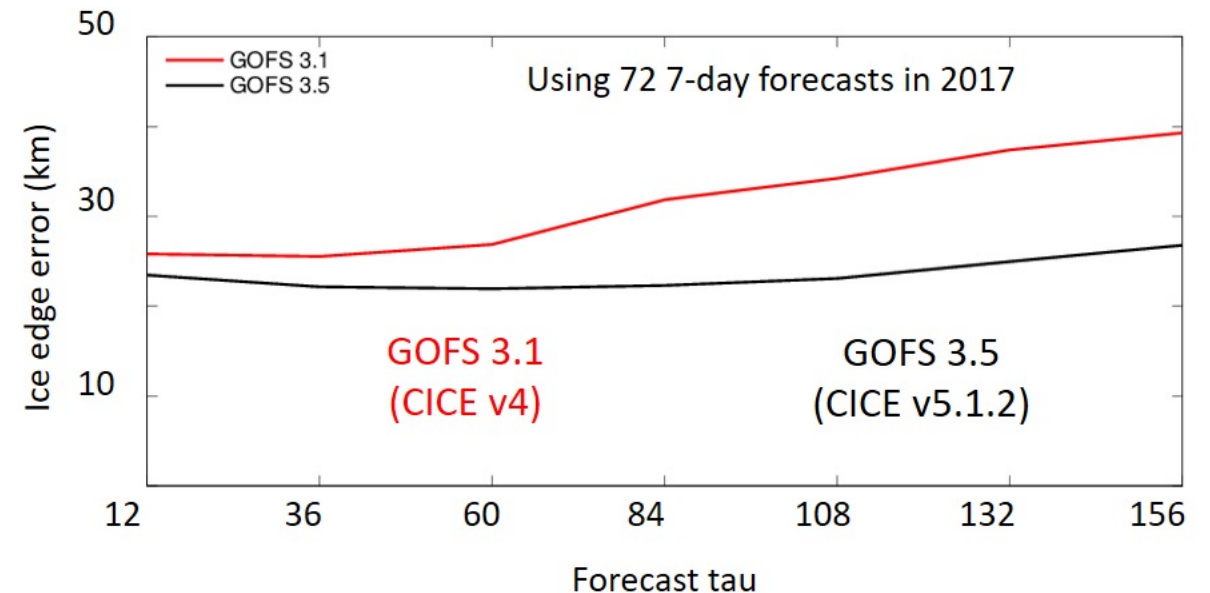
GOFS 3.1 forecasts used in the ice fracturing analysis produced at NIC

# Global Ocean Forecast System (GOFS 3.5)

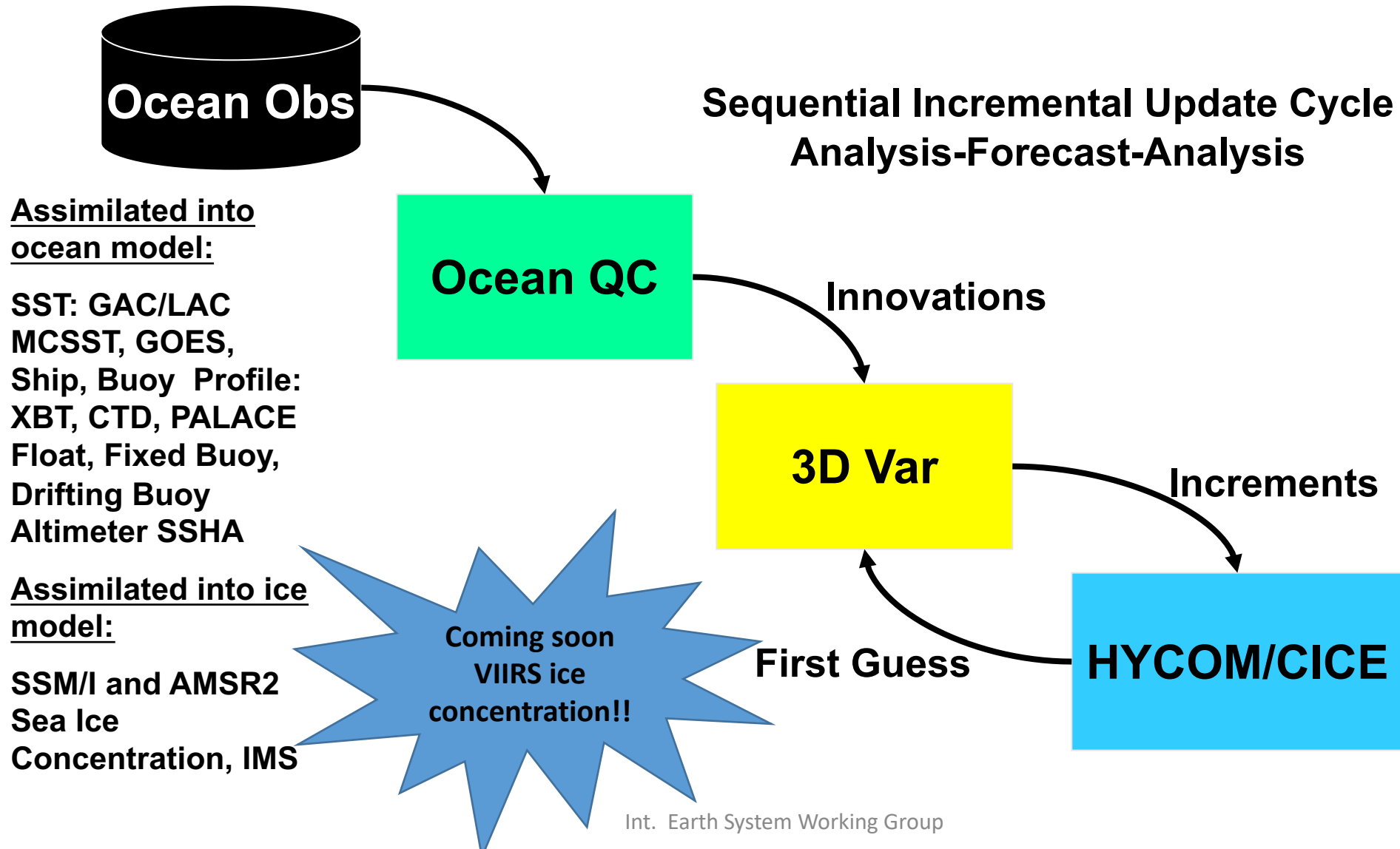
- Global Ocean Forecast System (GOFS) 3.5 scheduled for transition in next few months.
  - Will replace GOFS 3.1
- Resolution increase from  $1/12^\circ$  to  $1/25^\circ$  (1.75 km at North Pole)
- Inclusion of tides in HYCOM → internal waves at tidal frequencies
- Coupled HYCOM – CICE (v5.1.2)



Sea ice edge error (km) as a function of forecast length vs. the independent NIC ice edge: Pan-Arctic domain



# Navy Coupled Ocean Data Assimilation (NCODA)

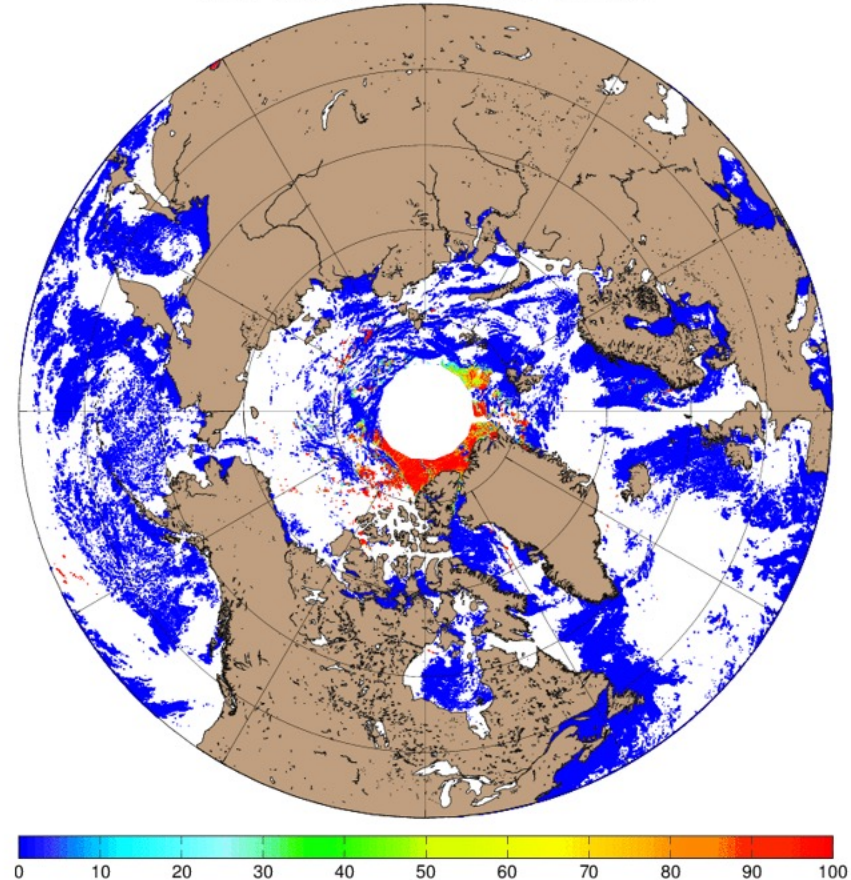


## New data source: VIIRS

- High resolution (375-750m) vs AMSR2 (10km), SSMI (25km)
- Does not see through clouds
- Ice concentration data available during visible light periods (spring, summer, autumn)
- Does not misclassify melt ponds as open water (passive microwave issue). Most problematic in melt season (spring/summer).
- VIIRS provides observations during melt seasons which help overcome meltpond issue

## NAVOCEANO in-house VIIRS Ice Concentration

VIIRS | Ice Concentration (%) | 20160916

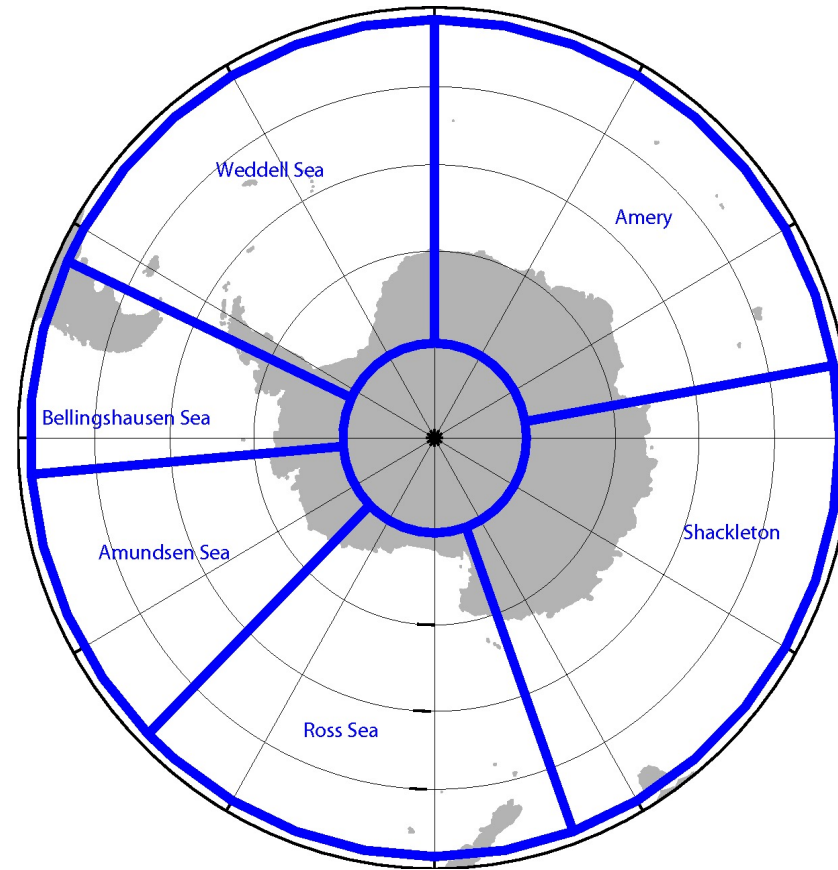




# Defined regions for ice edge error analysis



**Arctic**



**Antarctic**

# Mean ice edge errors (km) between the observed ice edge and 12-hr GOFS 3.1 for the time period of Nov 2016 – Oct 2017

| Arctic                  | GOFS 3.1<br>SSMI/AMSR2 | GOFS 3.1<br>SSMI/AMSR2/VIIRS | Total<br>improvement<br>over pre-<br>operational<br>GOFS 3.1 |
|-------------------------|------------------------|------------------------------|--|
| Greenland               | 31 km                  | 21 km                        | 31%  |
| Barents                 | 24 km                  | 22 km                        | 8%   |
| Laptev                  | 28 km                  | 23 km                        | 16%  |
| Sea of Okhotsk          | 20 km                  | 18 km                        | 8%   |
| Bering/Beaufort/Chukchi | 24 km                  | 22 km                        | 9%   |
| Canadian Archipelago    | 31 km                  | 25 km                        | 21%  |
| Pan-Arctic              | 27 km                  | 22 km                        | <b>19%</b>   |

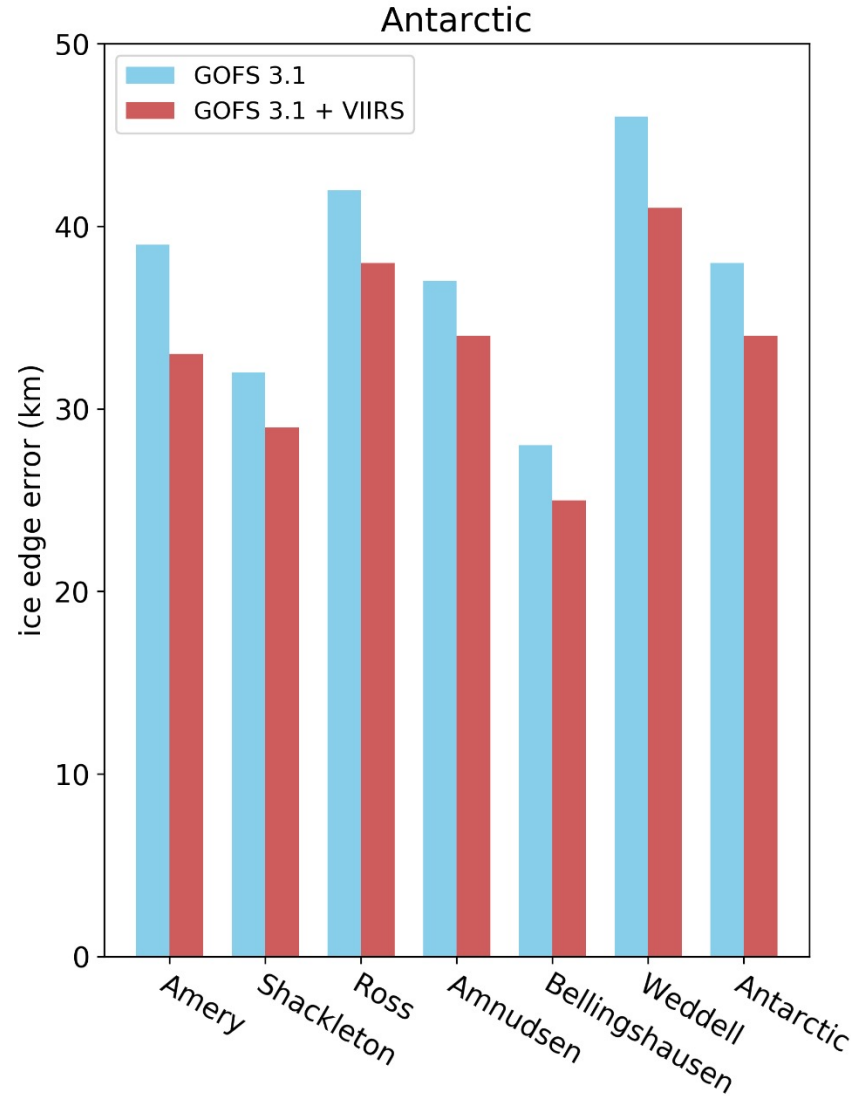
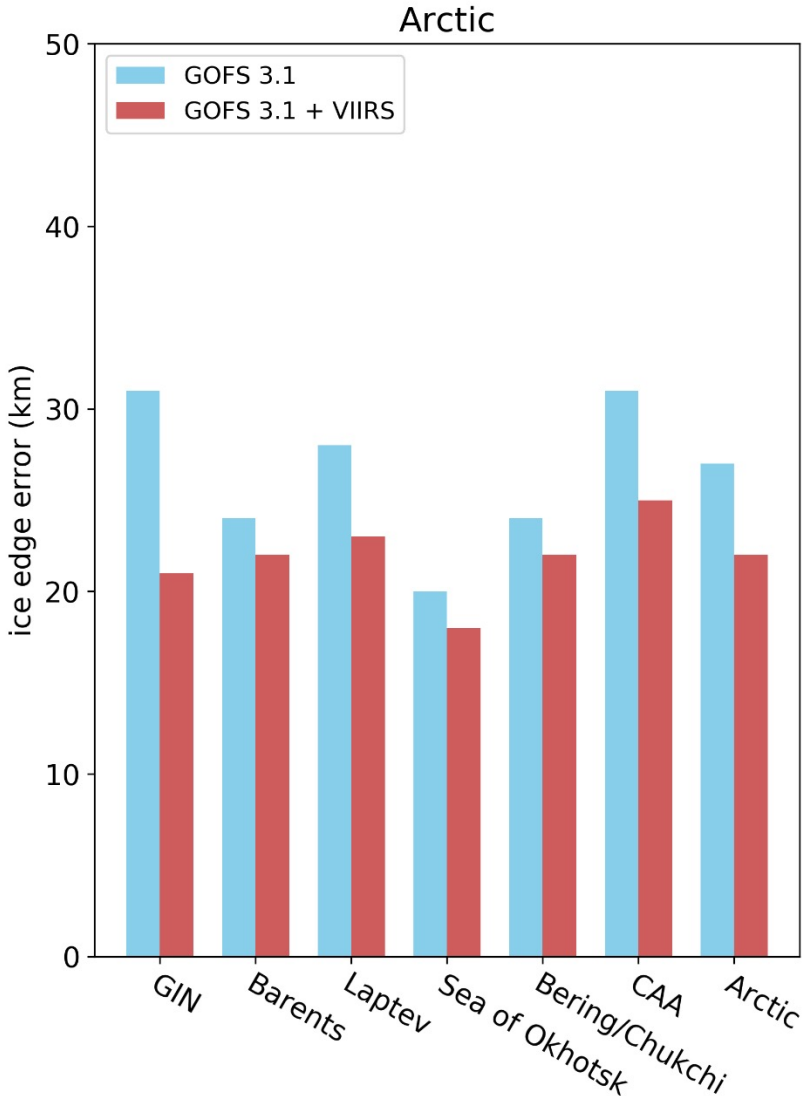
Pan-Arctic improvement of 19% over current operational capability adding in NAVOCEANO in-house AMSR2 and VIIRS data.

## Mean ice edge errors (km) between the observed ice edge and 12-hr GOFS 3.1 for the time period of Nov 2016 – Oct 2017

| Antarctic      | GOFS 3.1 SSMI/AMSR2 | GOFS 3.1 SSMI/AMSR2/VIIRS | Total improvement over pre-operational GOFS 3.1 |
|----------------|---------------------|---------------------------|---|
| Amery          | 39 km               | 33 km                     | 15%   |
| Shackleton     | 32 km               | 29 km                     | 8%  |
| Ross           | 42 km               | 38 km                     | 9%  |
| Amundsen       | 37 km               | 34 km                     | 9%  |
| Bellingshausen | 28 km               | 25 km                     | 9%  |
| Weddell        | 46 km               | 41 km                     | 12%   |
| Pan-Antarctic  | 38 km               | 34 km                     | <b>11%</b>                                      |

Pan-Antarctic improvement of 11% over current operational capability adding in NAVOCEANO in-house AMSR2 and VIIRS data.

# Mean ice edge errors (km) between the observed ice edge and GOFS 3.1+VIIRS for the time period of Nov 2016 – Oct 2017



PanArctic error reduction 19%

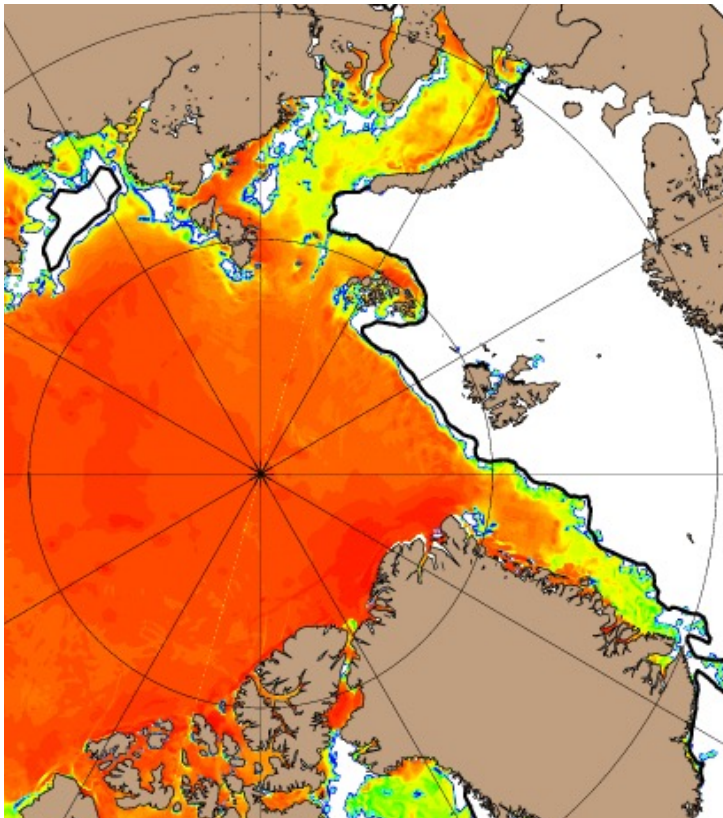
PanAntarctic error reduction 11%

Same as above previous slides but in graphical form

# Cloud Contamination in VIIRS

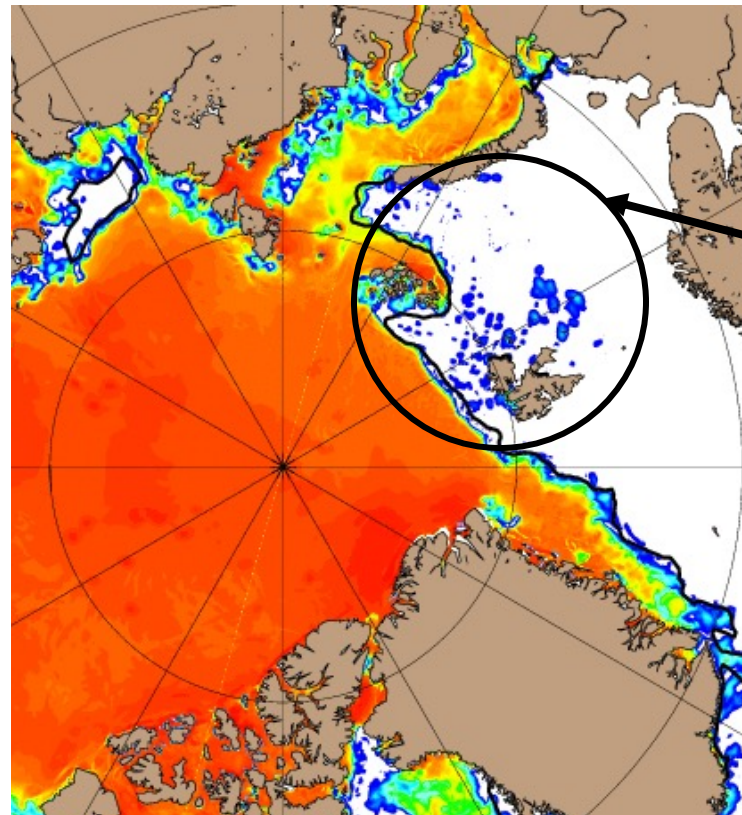
## Operational GOFS 3.1:

- AMSR2, SSMI, No VIIRS,
- IMS applied to NCODA analysis



## Test GOFS 3.1:

- AMSR2, SSMI, +VIIRS,
- +IMS in NCODA as QC flag



Clouds misidentified as ice.

This is being addressed in work by Dr. Li at NRL-DC

Interactive Multisensor Snow and Ice Mapping System (IMS)

# Toward Next-Gen Earth System Prediction ESPC

## Current Operational Global Atmosphere Model

NAVGEM 1.4.3

Atmosphere:  
NAVGEM

## Current Operational Global Ocean/Sea Ice Models

Global Ocean Forecast System (GOFS) 3.1/3.5

Ocean:  
HYCOM

Sea Ice:  
CICE



Mediator/Coupler (ESMF, NUOPC)

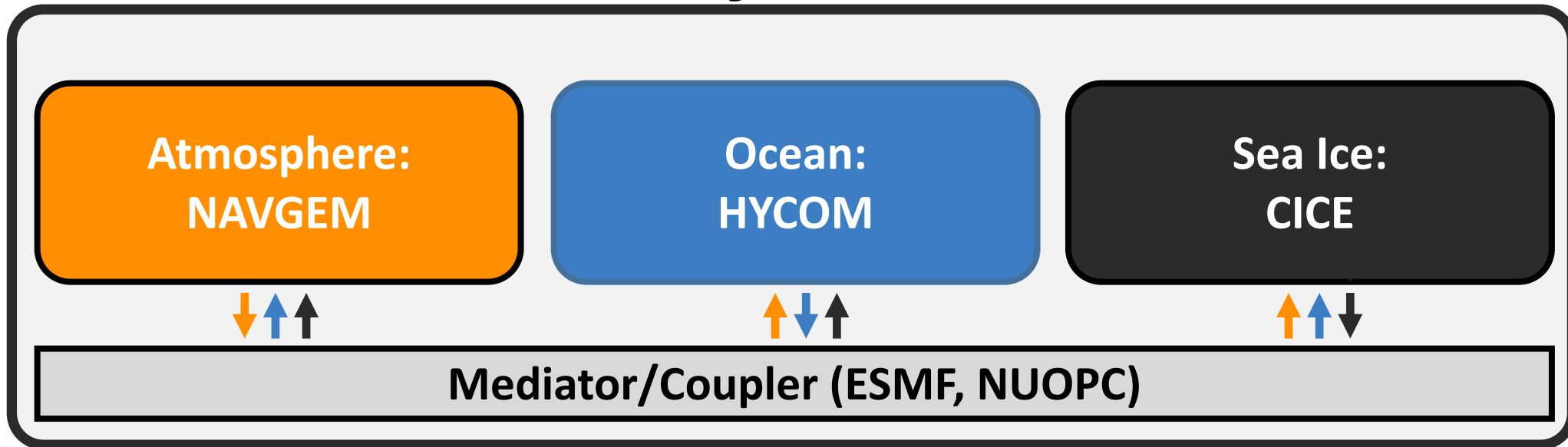
NAVGEM = NAVy Global Environmental Model

HYCOM = HYbrid Coordinate Ocean Model

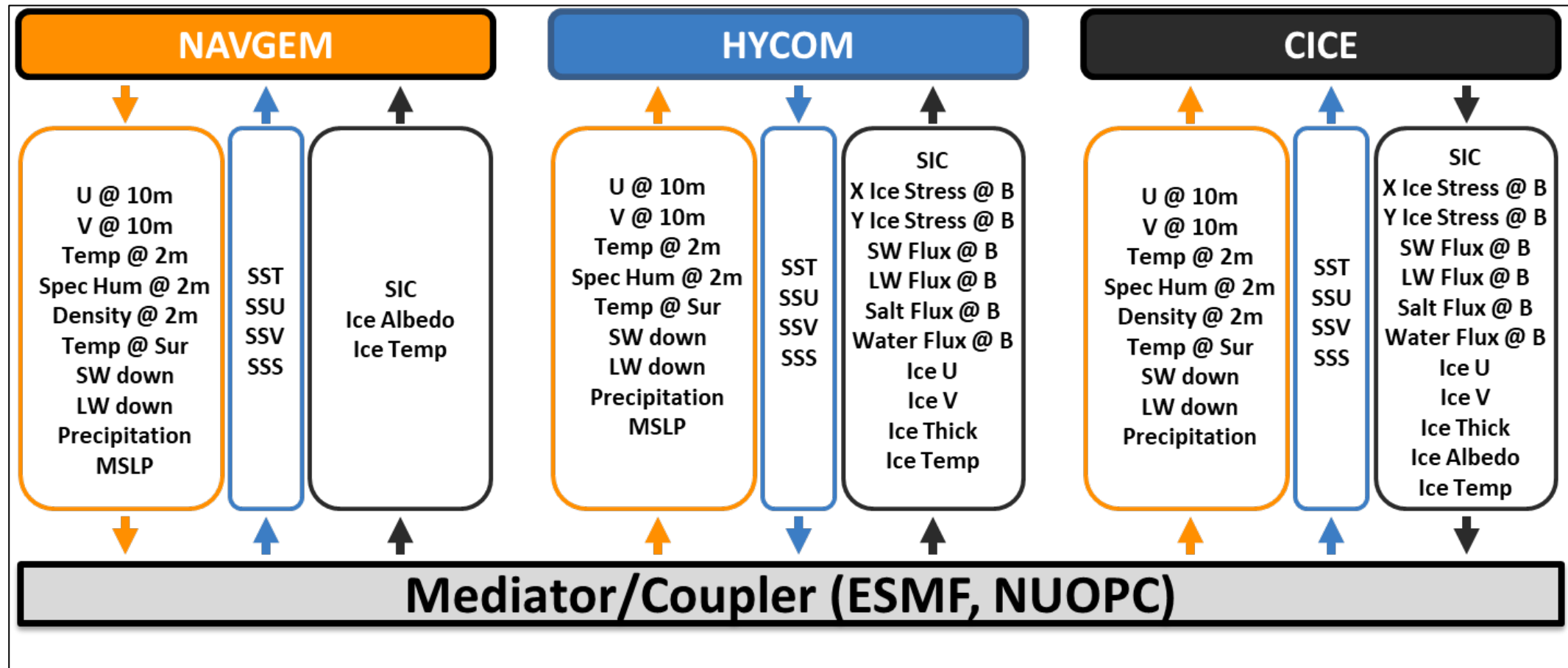
CICE = Community Ice Code

## The Navy's Global Coupled System Based on Current Operational Systems

### Navy ESPC



# ESPC Overview





# Navy ESPC Overview

## Ensemble ESPC: V1 vs V2

| ESPC Version Number | Time Scale, Frequency                               | Atmosphere NAVGEM               | Ocean HYCOM  | Sea Ice CICE                              | Waves <sup>1</sup> WW3 | Land Surface LSM     | Aerosol <sup>2</sup> |
|---------------------|---|---------------------------------|--|---|------------------------|----------------------|----------------------|
| V1                  | 0-45 days<br>weekly<br>16 members                   | T359L60<br>(37 km)<br>60 levels | 1/12°<br>(9 km) <sup>3</sup><br>41 layers          | 1/12°<br>(3.5 km) <sup>4</sup><br>CICE V4 |                        | Module within NAVGEM |                      |
| V2                  | 0-45 days<br>(2x) weekly<br>16 members <sup>5</sup> | T681L100<br>(19 km)<br>L143 HA  | 1/12°<br>(9 km) <sup>3</sup><br>41 layers<br>Tides | 1/12°<br>(3.5 km) <sup>4</sup><br>CICE V6 | 1/4°<br>(28 km)        | Module within NAVGEM | Module within NAVGEM |

<sup>1</sup> One-way coupling to waves only.

<sup>2</sup> Atmosphere-aerosol coupling only.

<sup>3</sup> Horizontal resolution at the equator.

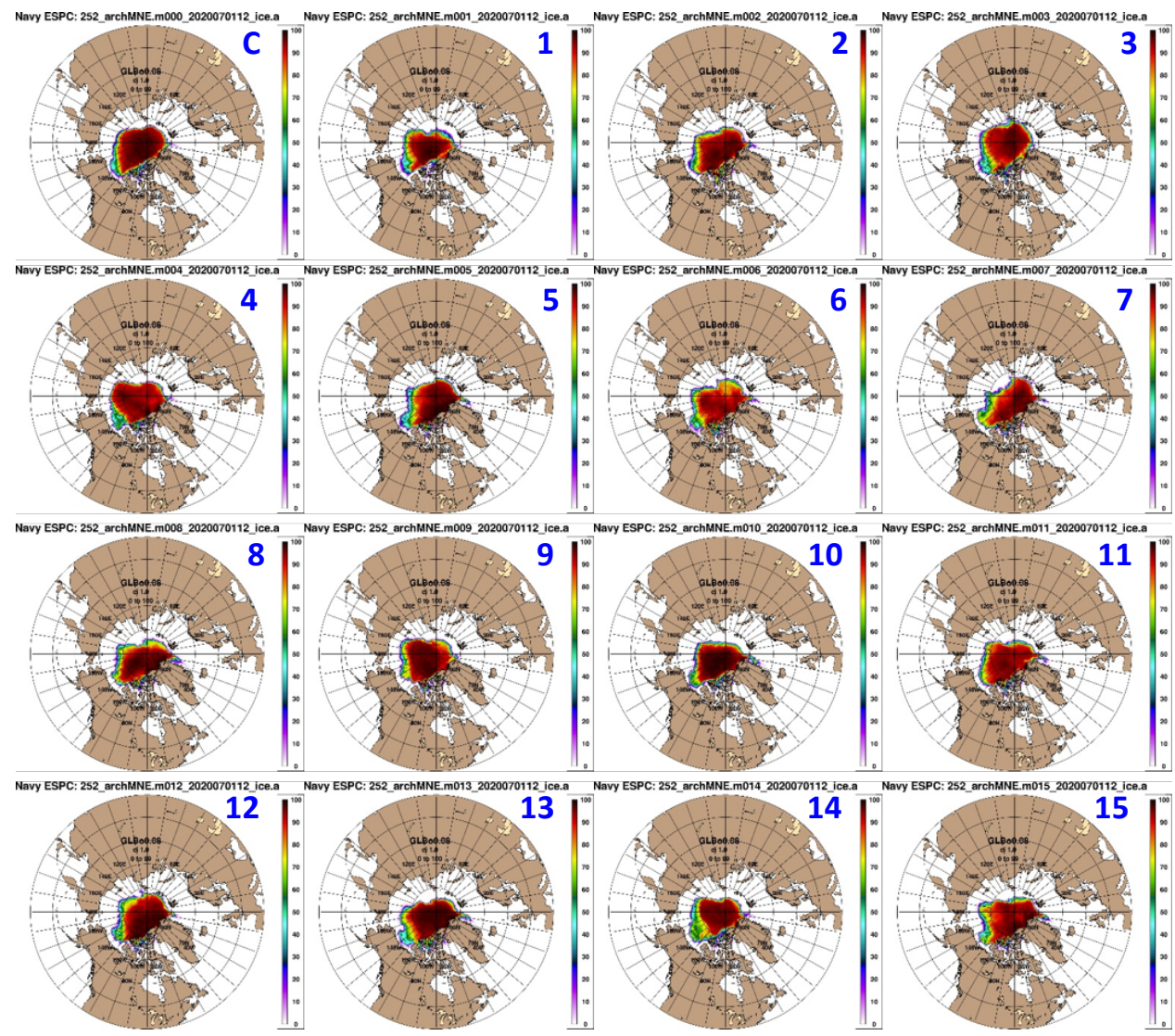
<sup>4</sup> Horizontal resolution at the North Pole.

<sup>5</sup> The exact configuration determined by operational resources available.

*Navy ESPC V1 Description: Barton, N., et al. 2020: The Navy's Earth System Prediction Capability. Earth and Space Science. e2020EA001199. doi.org/10.1029/2020EA001199*

# August Report Initialized 1 July 2020 (SIPN2)

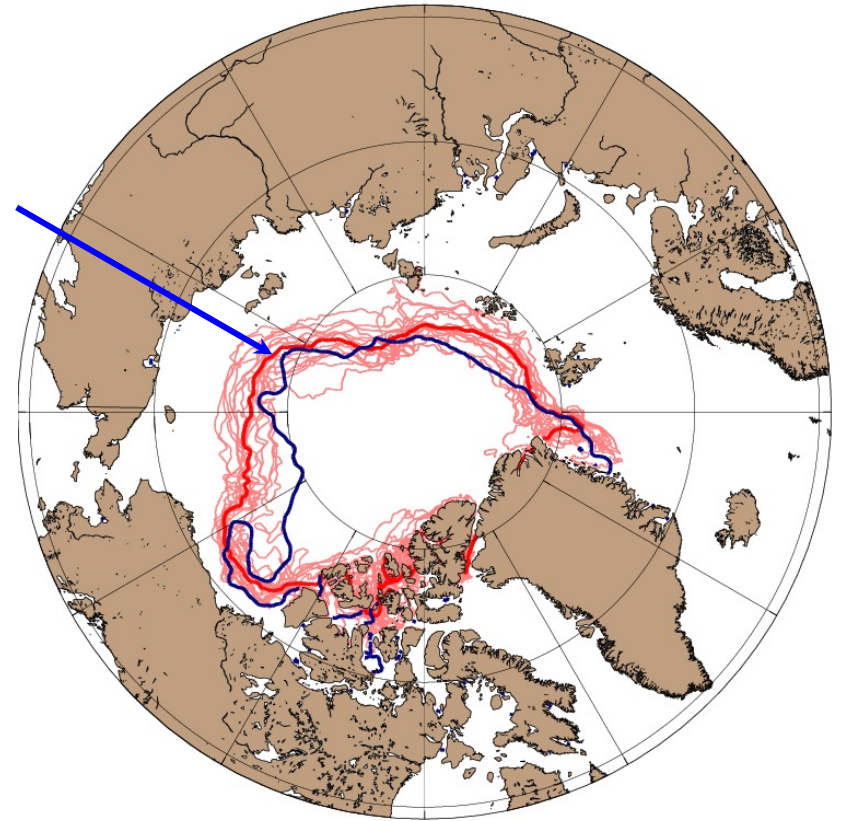
## Navy ESPC used to predict Sept sea ice minimum extent



## Predicted September Minimum Sea Ice Extent Initialized 1 July 2020

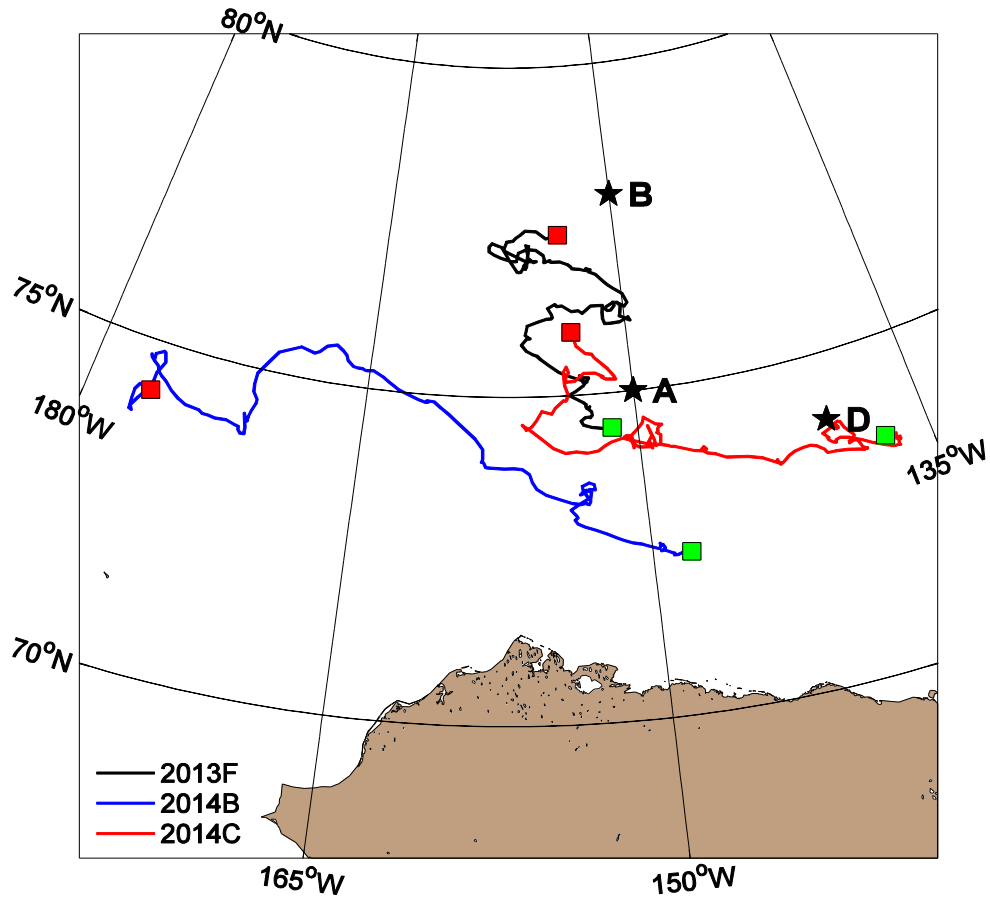
Navy ESPC | Ice Extent | July ICs

NSIDC  
minimum  
extent for  
13 Sep  
shown in  
blue

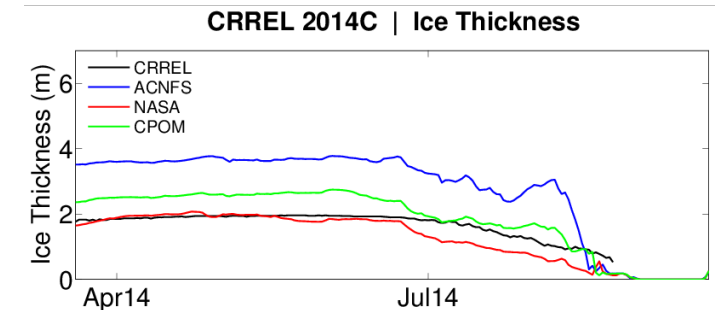
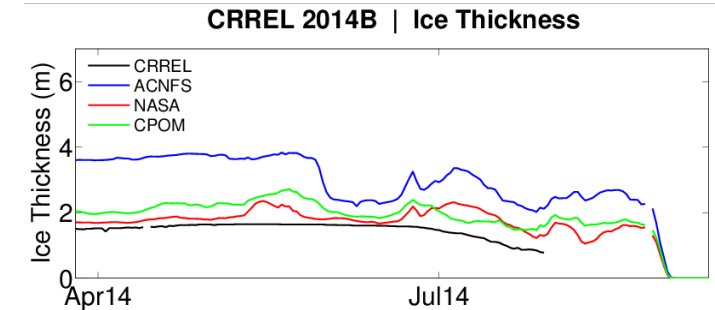
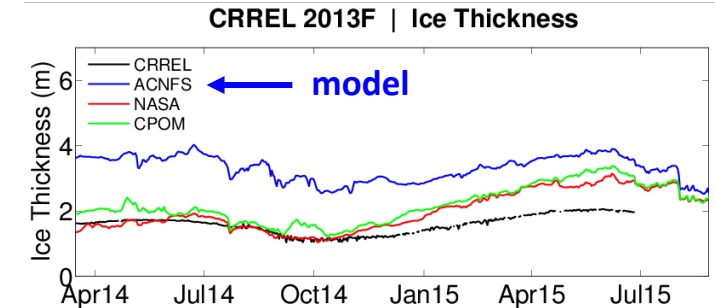


16 Ensemble members shown in red

# Motivation: Hindcast Study With CS2 Initialization

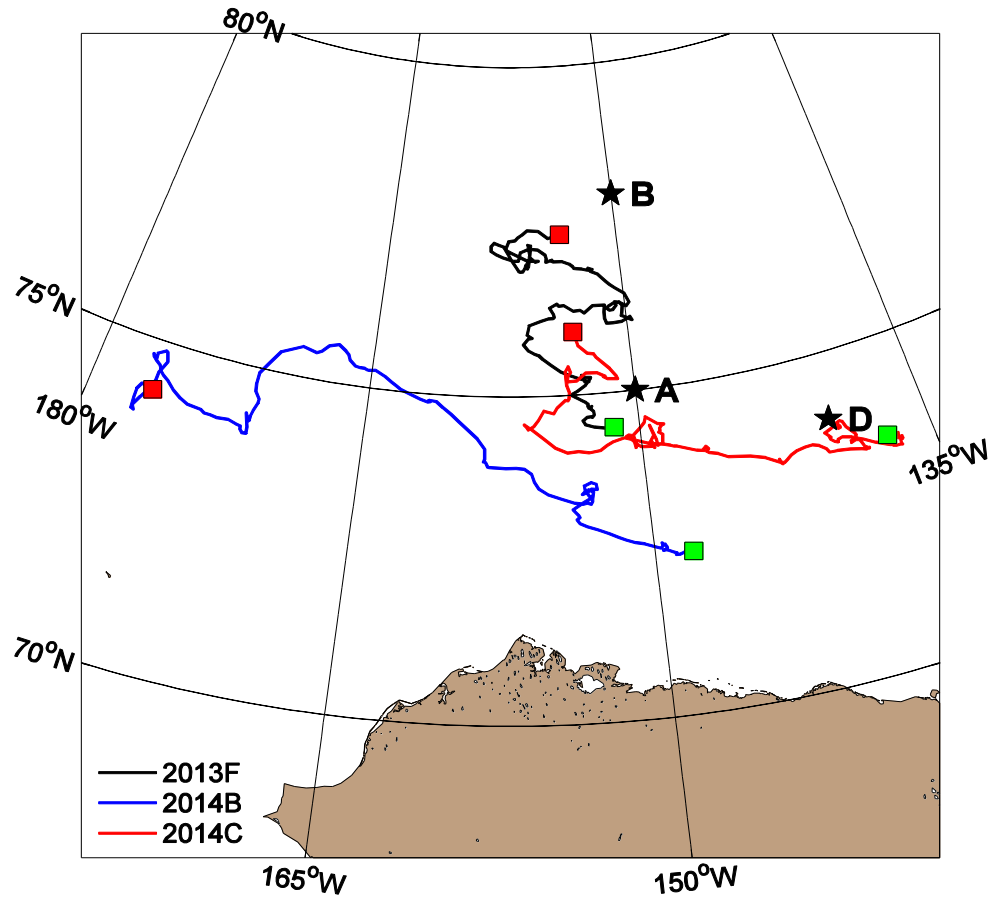


Significant reduction in ice thickness bias in ice-ocean modeling system when reinitializing with CyoSat-2 ice thickness data.

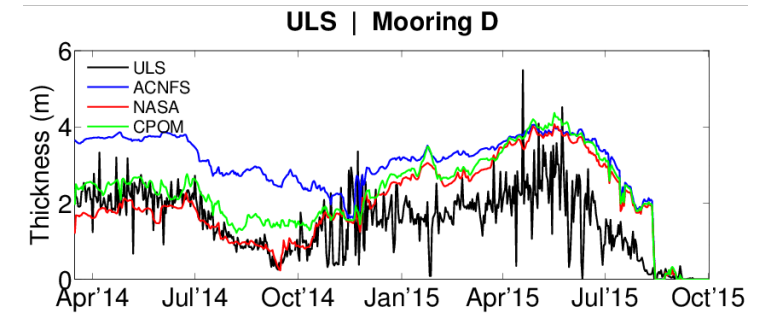
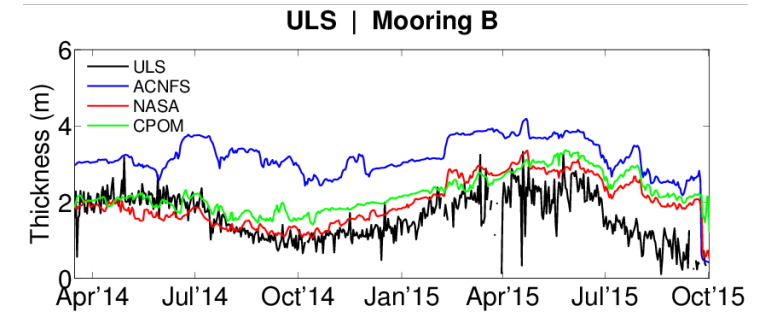
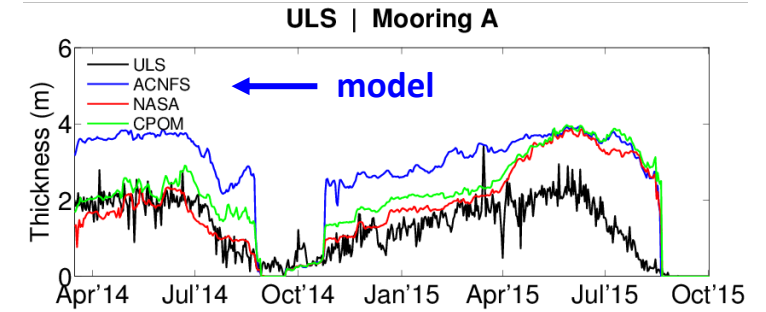


Allard, R. A., Farrell, S. L., Hebert, D. A., Johnston, W. F., Li, L., Kurtz, N. T., Phelps, M. W., Posey, P. G., Tilling, R., Ridout, A., and Wallcraft, A. J. Utilizing CryoSat-2 sea ice thickness to initialize a coupled ice-ocean modeling system, *Adv. Space Res.*, 62, 1265–1280, <https://doi.org/10.1016/j.asr.2017.12.030>, 2018.

# Motivation: Hindcast Study With CS2 Initialization

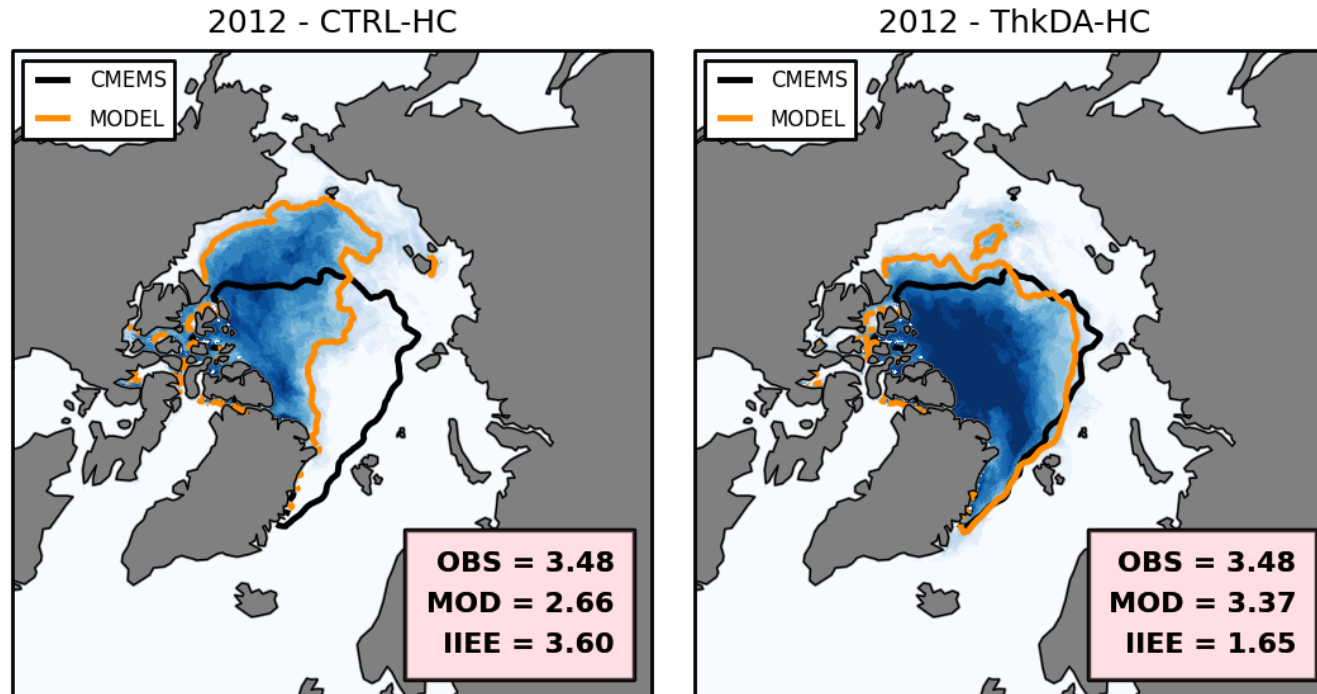


Significant reduction in ice thickness bias in ice-ocean modeling system when reinitializing with CyoSat-2 ice thickness data.



Allard, R. A., Farrell, S. L., Hebert, D. A., Johnston, W. F., Li, L., Kurtz, N. T., Phelps, M. W., Posey, P. G., Tilling, R., Ridout, A., and Wallcraft, A. J.: Utilizing CryoSat-2 sea ice thickness to initialize a coupled ice-ocean modeling system, *Adv. Space Res.*, 62, 1265–1280, <https://doi.org/10.1016/j.asr.2017.12.030>, 2018.

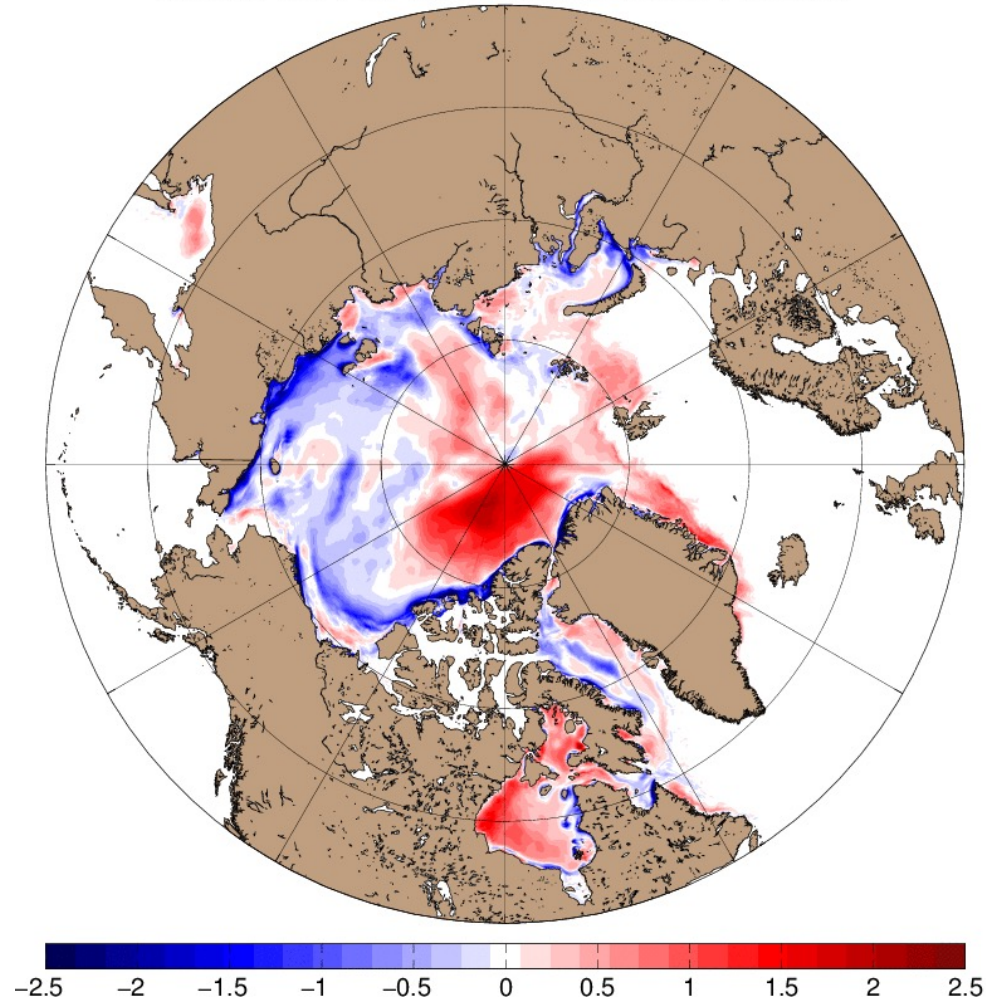
# Motivation: Seasonal Prediction Using Coupled Modeling System Initialized with CS2



Significant improvement in September forecast probability of ice (conc > 15%) when running seasonal fully coupled forecast system with CS2.

Blockley, E. W. and Peterson, K. A.: Improving Met Office seasonal predictions of Arctic sea ice using assimilation of CryoSat-2 thickness, *The Cryosphere*, 12, 3419-3438, <https://doi.org/10.5194/tc-12-3419-2018>, 2018.

# Ensemble Ice Thickness Difference (m) for May 15, 2018 (CS2 – Control)

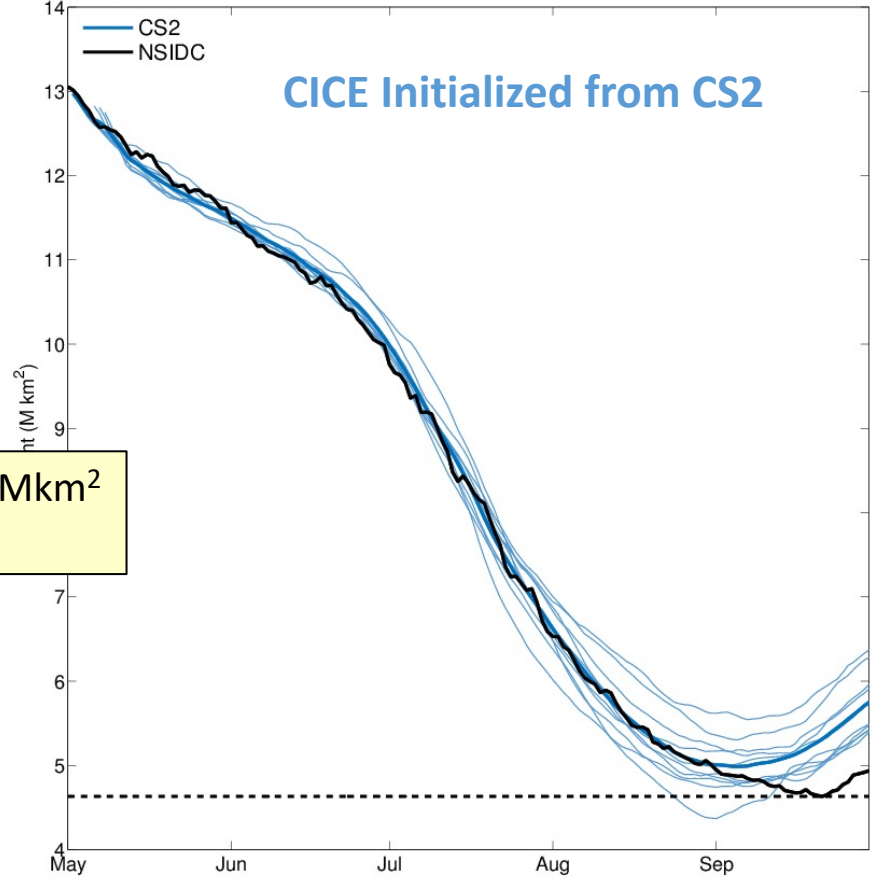
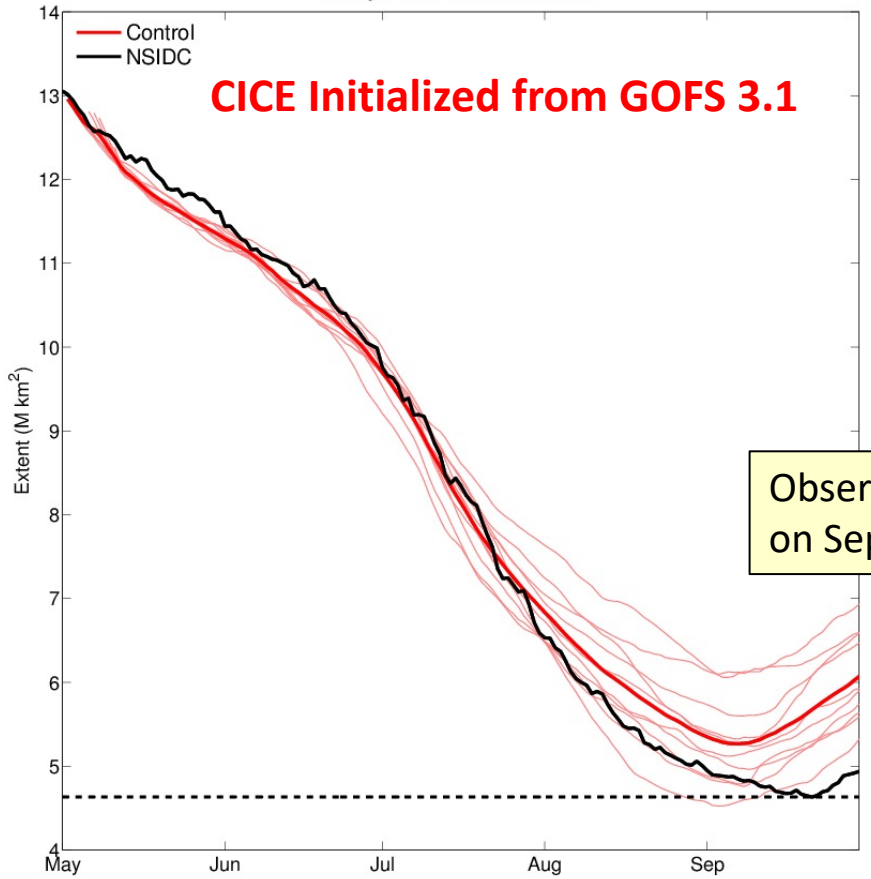


- Blue regions indicate CS2 initialization results in thinner ice than GOFs 3.1-based initialization.
- Red regions indicate thicker ice with CS2 initialization.

**Global Ocean Forecast System (GOFs 3.1);  
Navy's operational HYCOM/CICE modeling system**

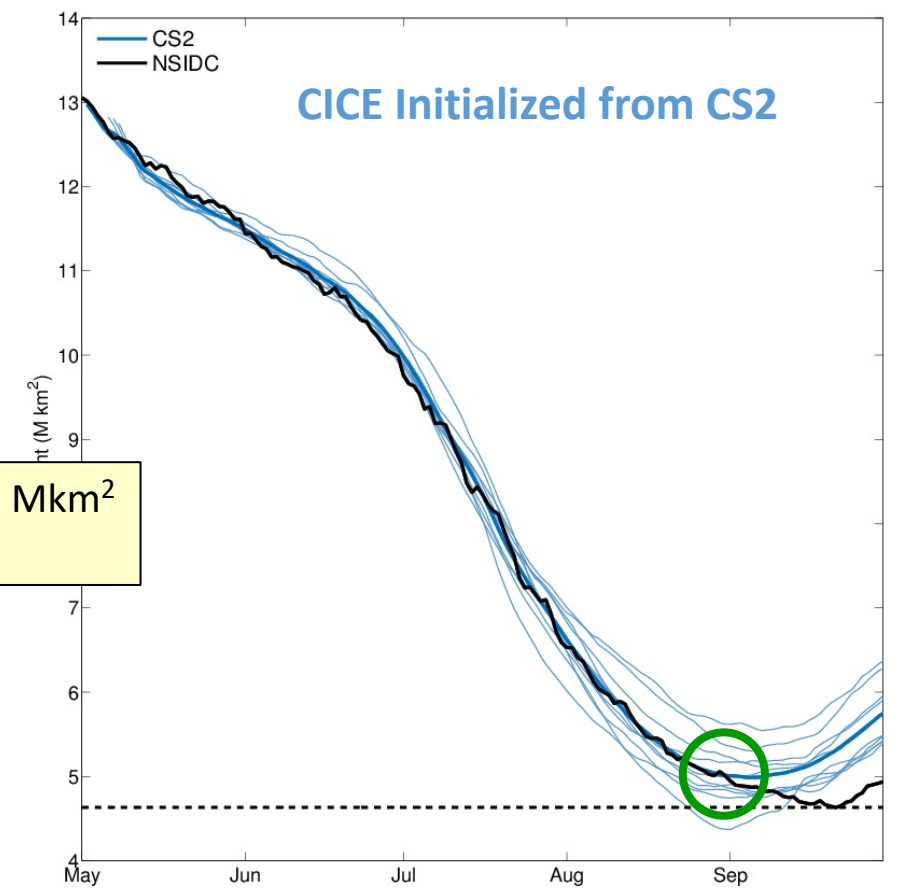
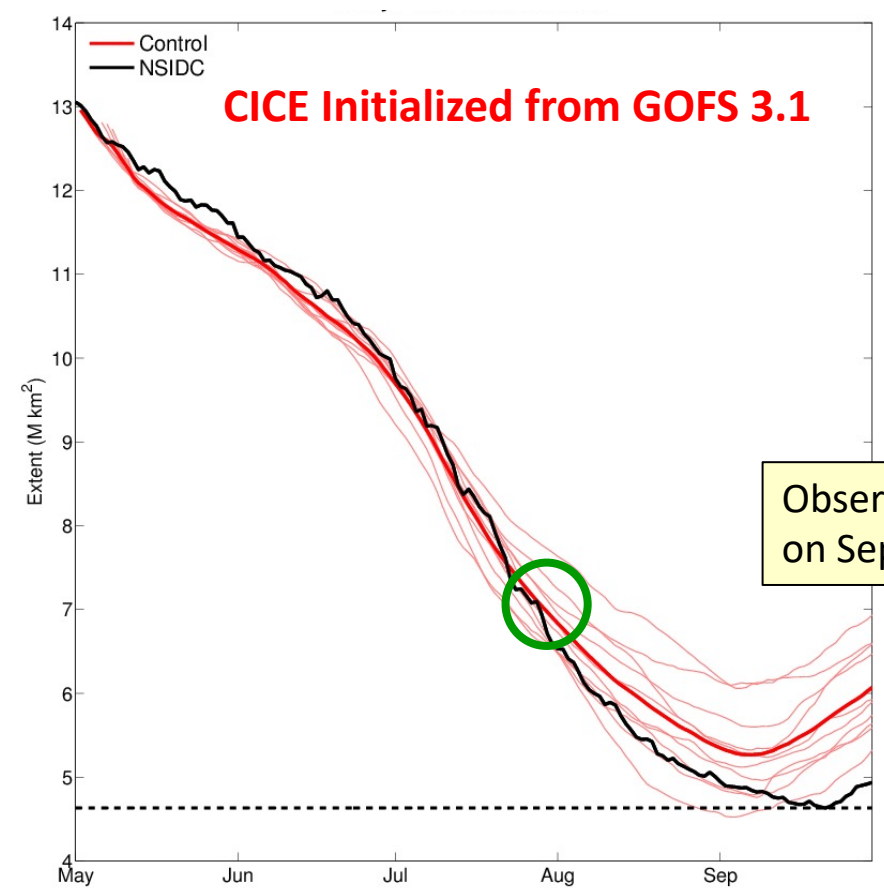
Allard, R., Metzger, E., Barton, N., Li, L., Kurtz, N., Phelps, M., Posey, P. (2020). Analyzing the impact of CryoSat-2 ice thickness initialization on seasonal Arctic Sea Ice prediction. *Annals of Glaciology*, 61(82), 78-85. doi:10.1017/aog.2020.15

# 2018 Arctic Sea Ice Extent



Navy ESPC ensemble mean September 2018 minimum sea ice extent initialized with GOF3.1 ice thickness was over-predicted by 0.64 M km<sup>2</sup> (5.27 M km<sup>2</sup>) versus the ensemble set of runs initialized with CS2 ice thickness which had an error of 0.36 M km<sup>2</sup> (4.99 M km<sup>2</sup>), a 56% reduction in error.

# 2018 Arctic Sea Ice Extent



Observed minimum of 4.63 Mkm<sup>2</sup>  
on September 23

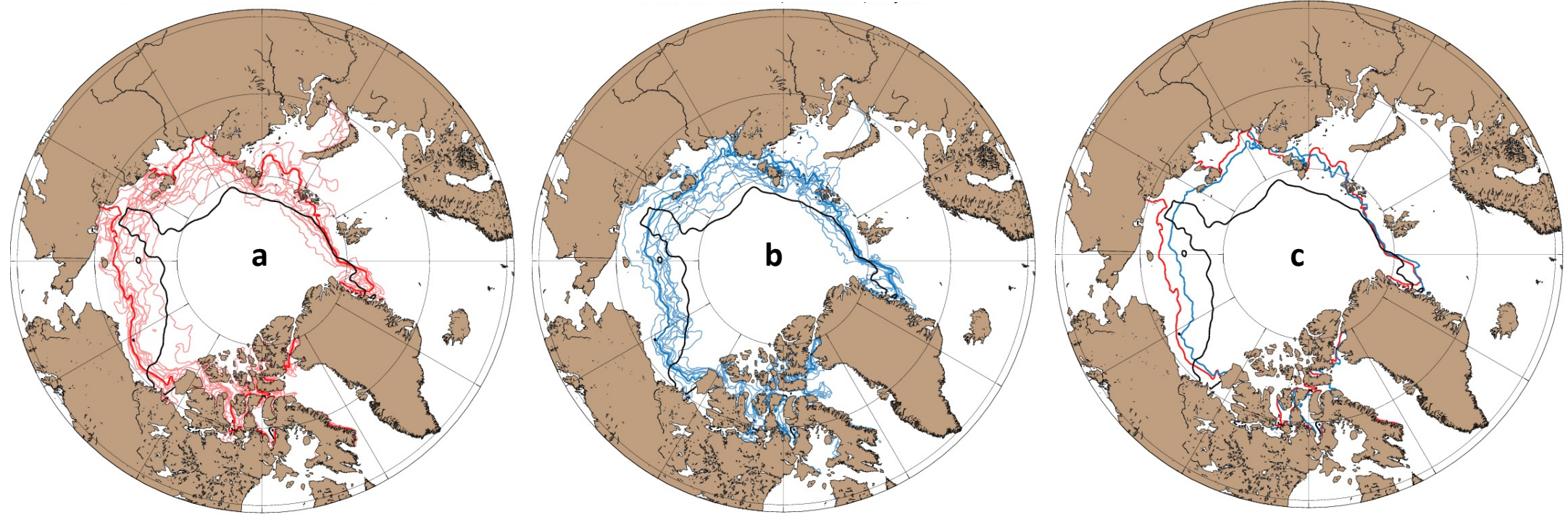
In control forecasts, ensemble mean separates from NSIDC extent on July 29; in CS2 forecasts, separation occurs on Aug 31, an improvement of 33 days.



# September, 2018 Sea Ice Extent

No CS2 Initialization

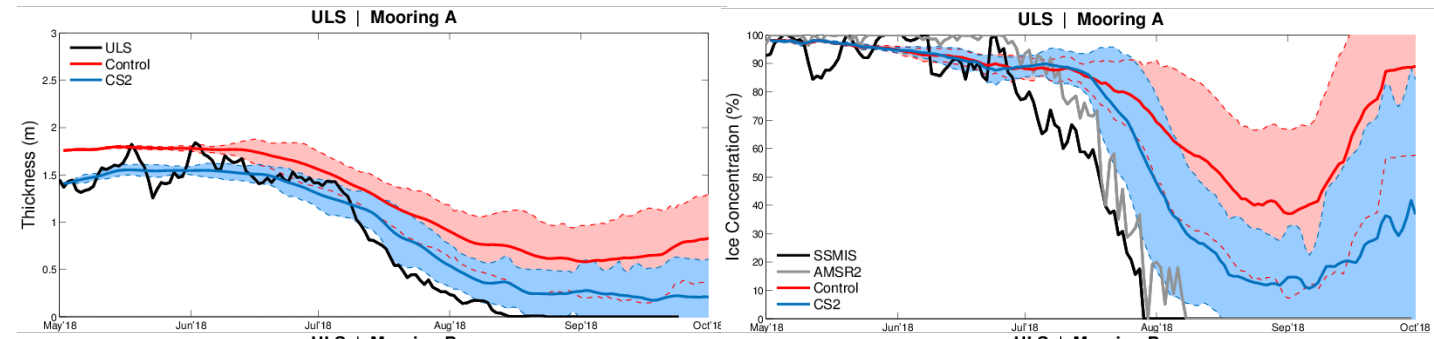
CS2 Initialization



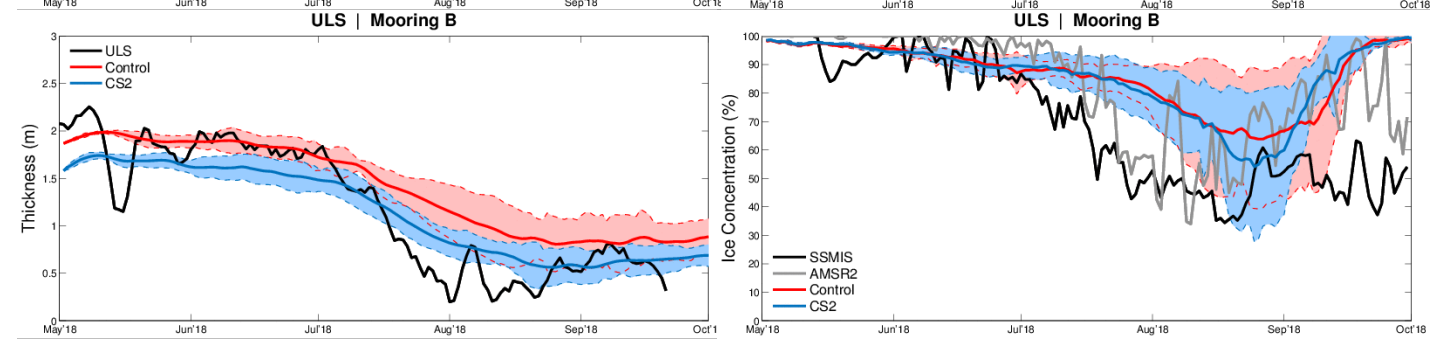
(a) September mean sea ice extent prediction for 10 ensemble members from control run; **dark red line** denotes ensemble mean. (b) Same as (a) but based on CS2 initialization; **dark blue line** represents ensemble mean. (c) Ensemble mean for control (red) and CS2 (blue). **Black lines** denote NSIDC observed mean September extent.

# Ensemble mean ice thickness, concentration from models versus observations (black)

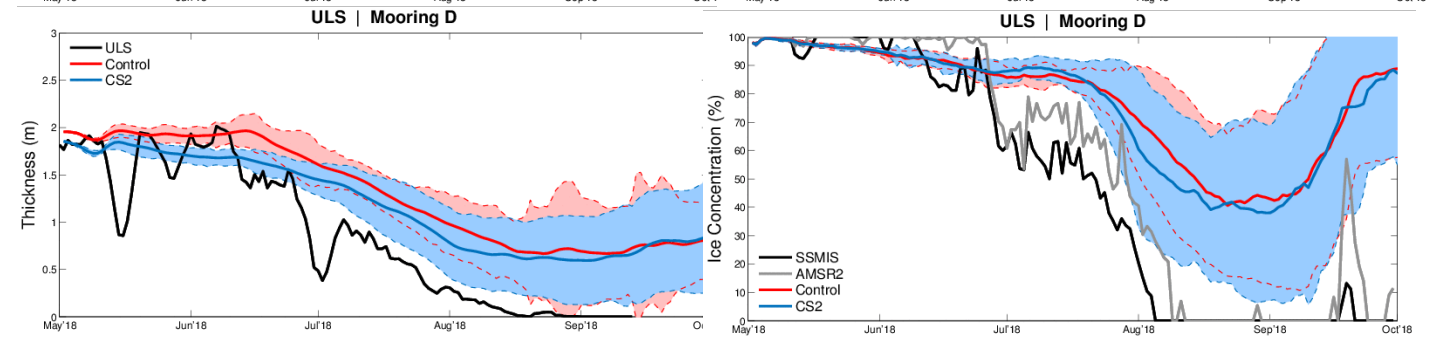
Mooring A



Mooring B



Mooring C



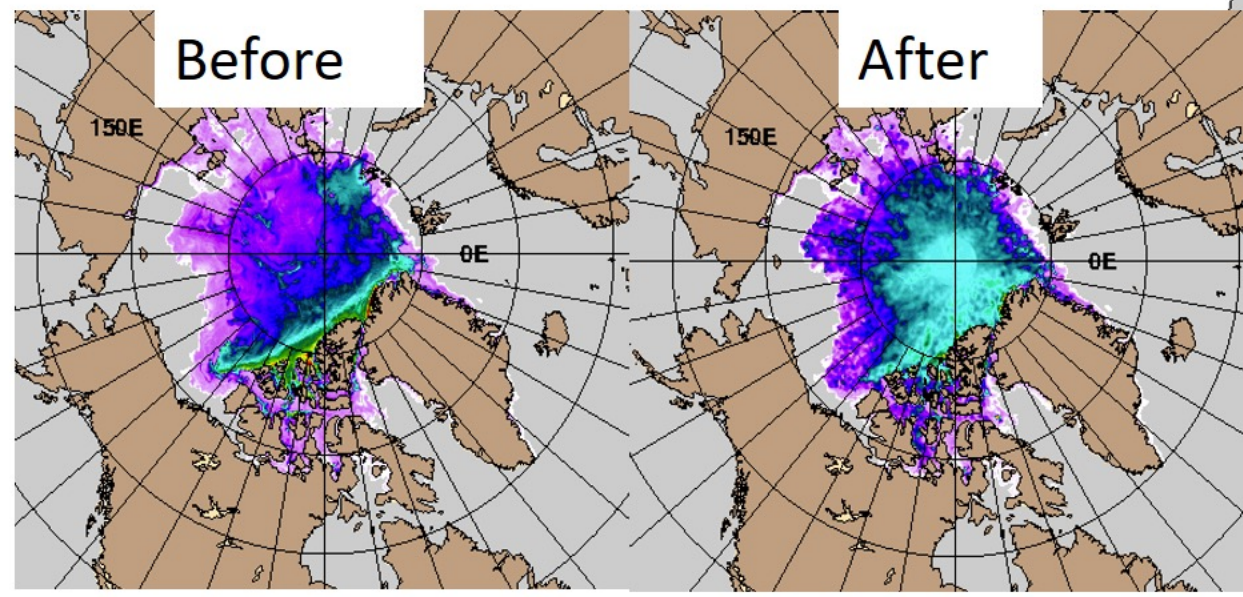
Ice Thickness

Ice Concentration

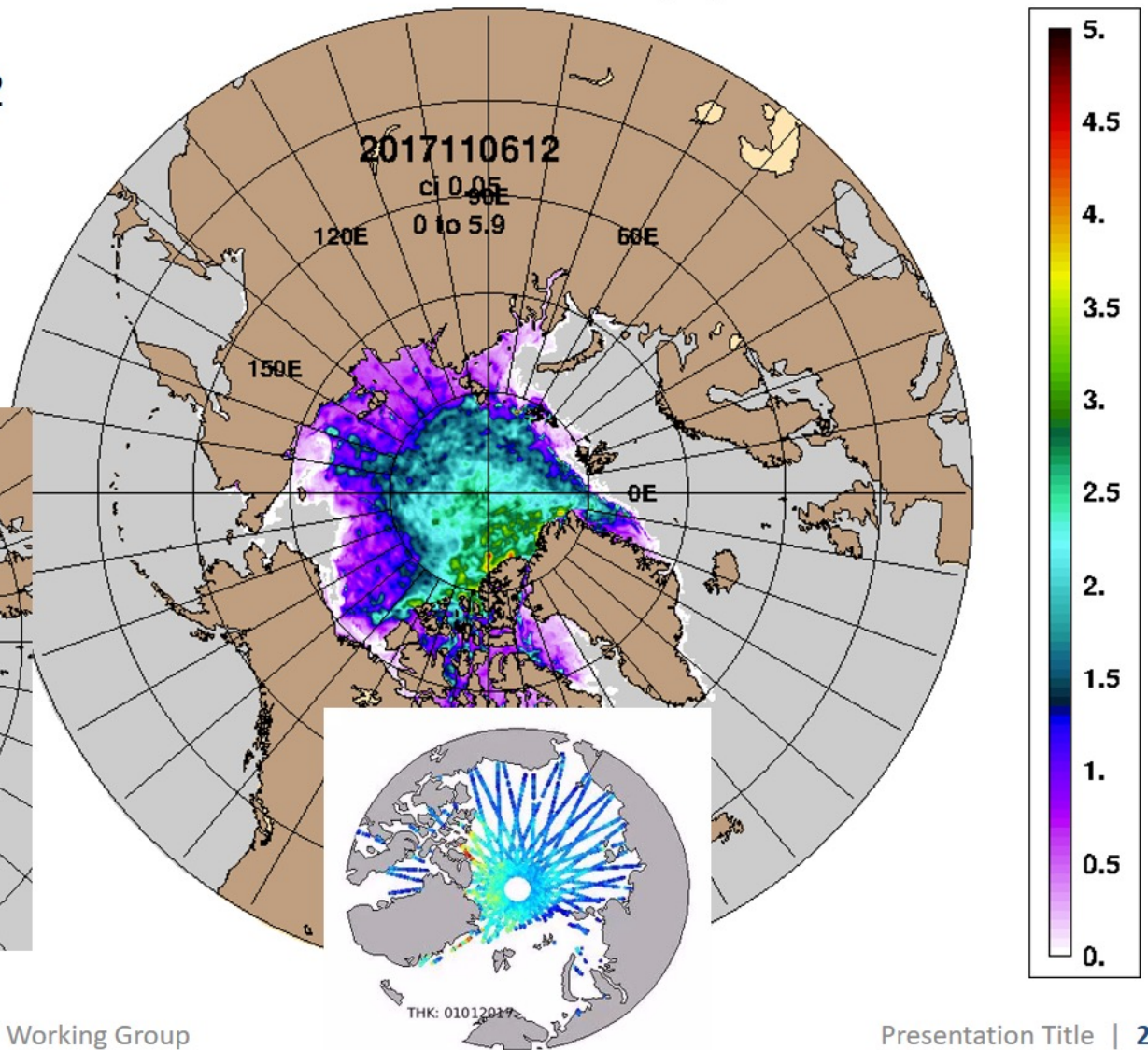
Initialization with CryoSat-2 shows improvement over control run, especially at Mooring A in this 5-month forecast.

# Operationally implementing satellite-derived ice products within the Navy's ice forecast systems: Assimilate CryoSat-2 2-day tracks.

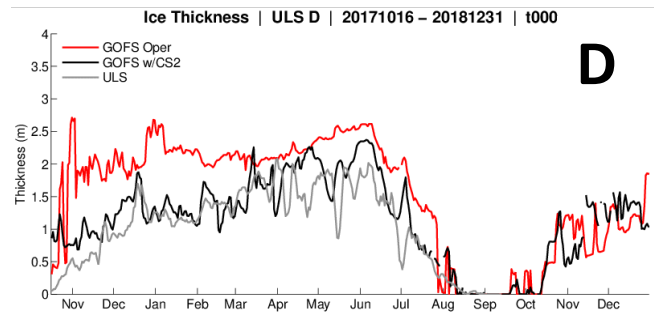
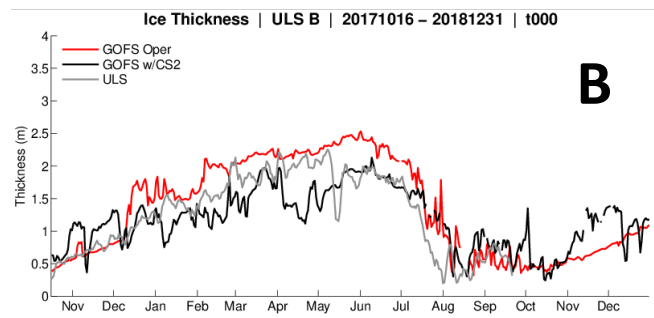
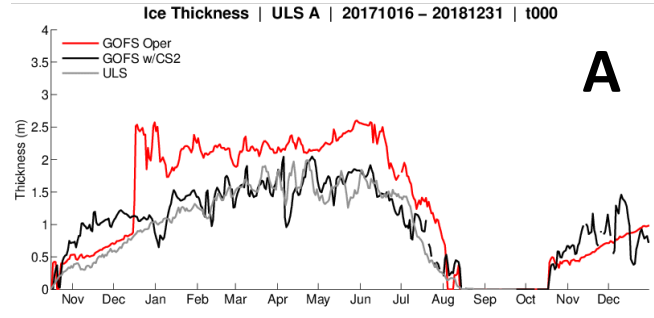
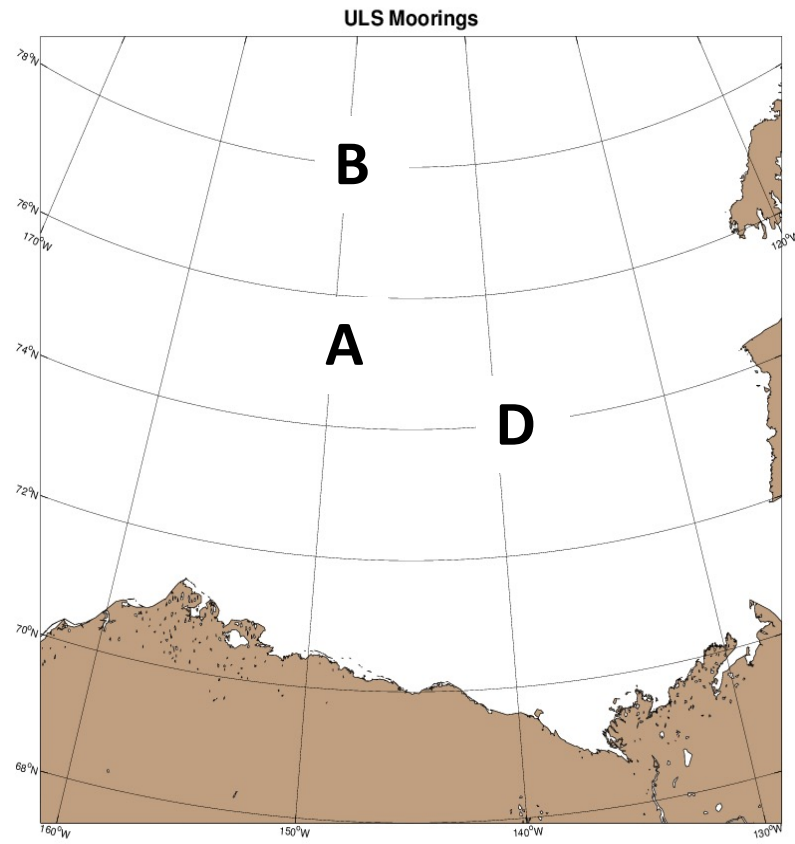
- Ice thickness type added to NCODA.
- Started from GOFS 3.5, but reinitialized with CryoSat-2 2 Day data 15 OCT 2017
  - Reduce large difference when starting to assimilate tracks.
  - Here we assimilate CPOM 2-day along-track data



GLBc0.04-30.2 Ice Thickness (m): 20171107



# Comparison of GOFS 3.5 Ice thickness versus ULS Mooring Data

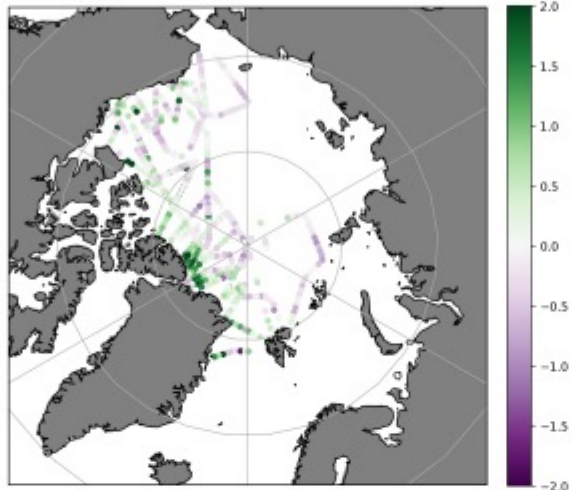


Significant reduction in RMSE and bias when CS2 along-track data (grey) is assimilated versus unassimilated GOFS 3.5 (red)

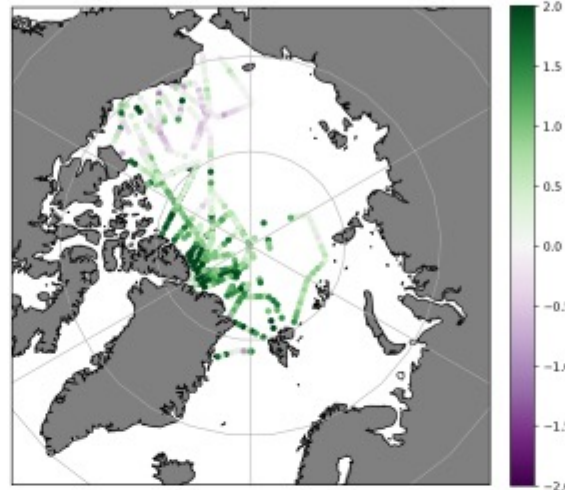
*Hebert et al., in preparation.*

# Related Research

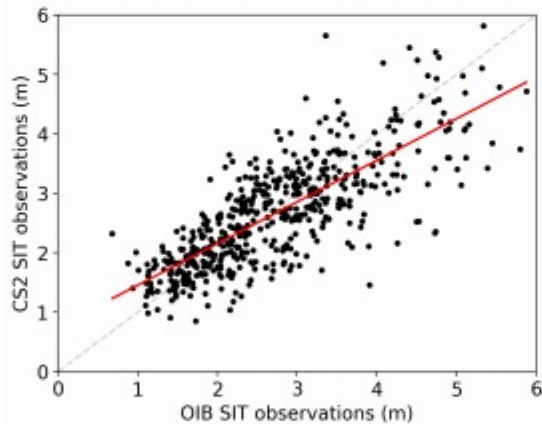
(a) OIB SIT observations minus forecast (m), SIT assimilation experiment



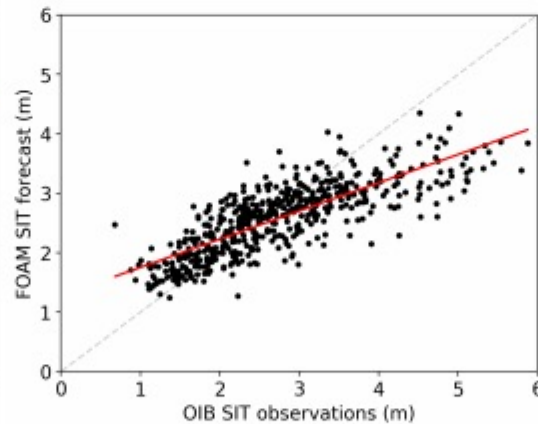
(b) OIB SIT observations minus forecast (m), control



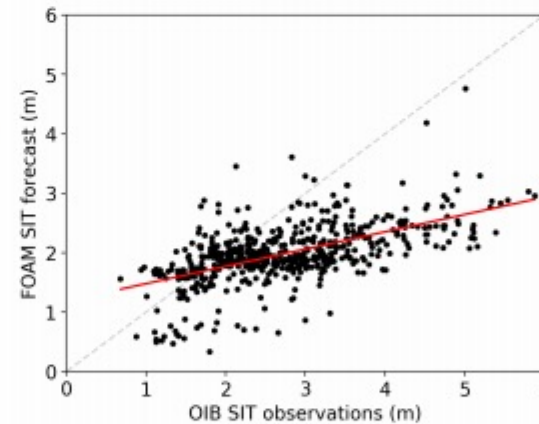
(c) Assimilated observations



(d) SIT assimilation experiment



(e) Control

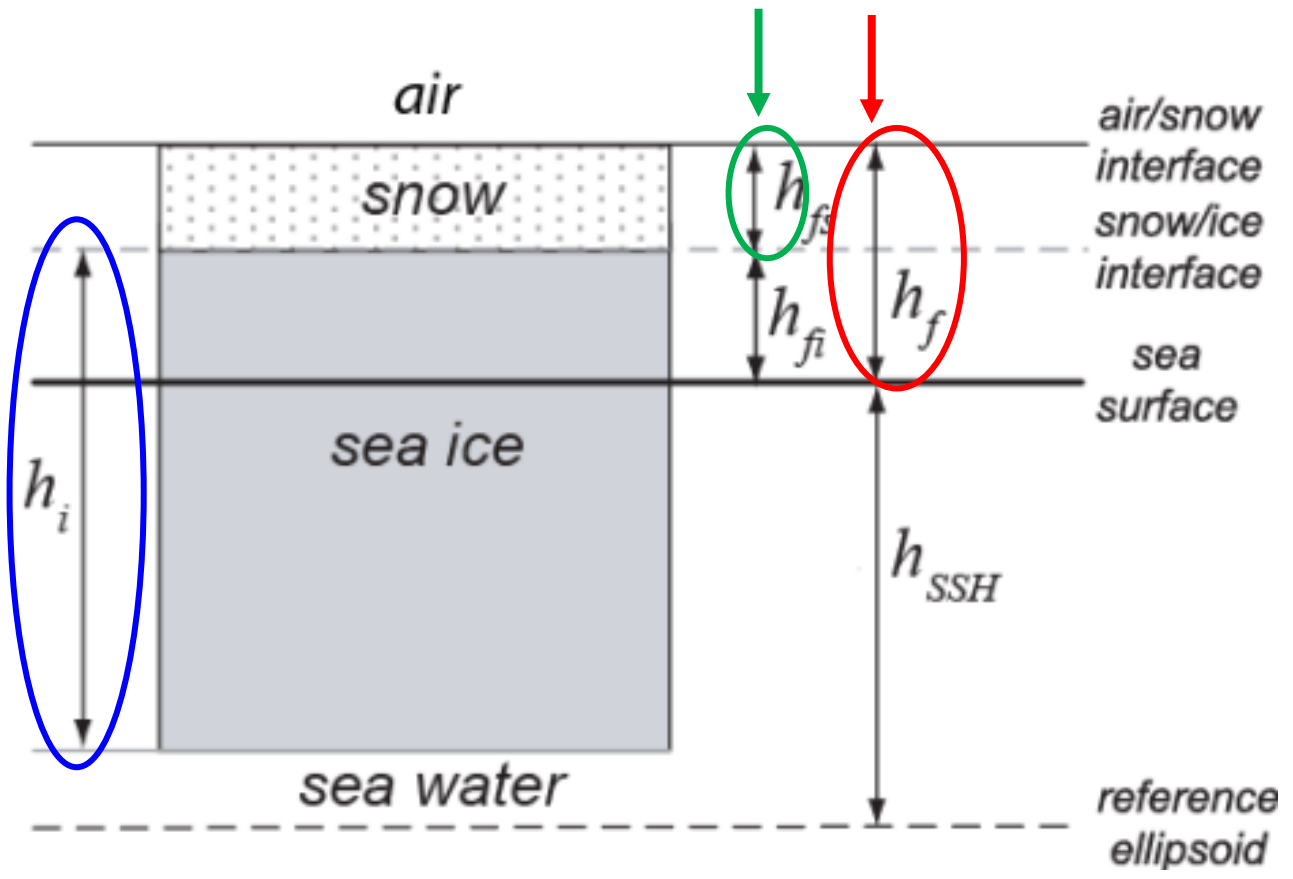


*Fiedler, E. K., Martin, M., Blockley, E., Mignac, D., Fournier, N., Ridout, A., Shepherd, A., and Tilling, R.: Assimilation of sea ice thickness derived from CryoSat-2 along-track freeboard measurements into the Met Office's Forecast Ocean Assimilation Model (FOAM), The Cryosphere Discuss. [preprint], <https://doi.org/10.5194/tc-2021-127>, in review, 2021.*

CPOM CS2 along-track ice freeboard data is assimilated into FOAM. CS2 freeboard is converted to ice thickness using model's snow depth.

# Determining Ice thickness from freeboard (IceSat-2)

Measured by CryoSat-2      Measured by ICESat-2



$$h_i = \left( \frac{\rho_w}{\rho_w - \rho_i} \right) h_f - \left( \frac{\rho_w - \rho_s}{\rho_w - \rho_i} \right) h_{fs}$$

New research uses both CryoSat-2 and IceSat-2 to determine Arctic snow depth; then that snow depth can be used to produce ice thickness (as opposed to using climatology).

NSIDC should be making IceSat-2 freeboard data (ATL07) with **3-day latency** available soon.

Kwok, R., Kacimi, S., Webster, M. A., Kurtz, N. T., & Petty, A. A. (2020). Arctic snow depth and sea ice thickness from ICESat-2 and CryoSat-2 freeboards: A first examination. Journal of Geophysical Research: Oceans, 125, e2019JC016008. <https://doi.org/10.1029/2019JC016008>

## Summary

- Navy modeling systems have been assimilating ice concentration for many years using SSMIS, AMSR and more recently AMSR2. The addition of VIIRS will complement the existing ice concentration data and should improve our forecast skill.
- Initialization of derived ice thickness from CryoSat-2 has demonstrated improved ice thickness predictions in our coupled HYCOM/CICE and ESPC modeling systems.
- Testing of the assimilation of 2-day along-track CryoSat-2 ice thickness for a 15-month period has shown reduced errors and bias in the system. Recent paper (in review) by UKMO shows improved results when assimilating ice freeboard in their FOAM modeling system.
- Further testing of assimilation of ice thickness/freeboard data in *fully coupled systems* could show improved ice drift predictions.
- NASA's IceSat-2 ice freeboard data should be available through NSIDC with a 3-day latency, paving the way for future gains in forecast skill.