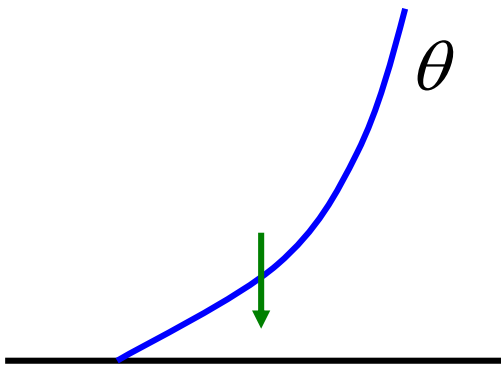


# Parameterization of surface fluxes: Outline

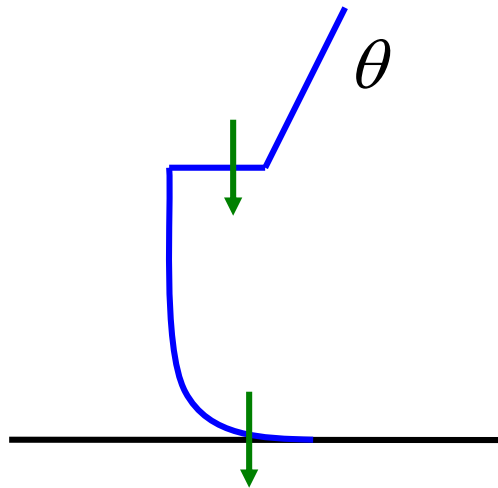
- Surface layer formulation according to Monin Obukhov (MO) similarity
- Roughness lengths
- Representation of the different sources of surface stress and impacts of the surface stress on the large-scale circulation

# Mixing across steep gradients

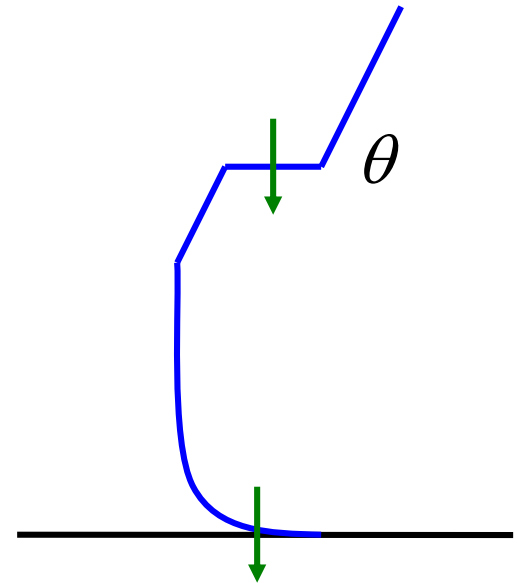
Stable BL



Dry mixed layer



Cloudy BL



Surface flux parametrization is sensitive because of large gradients near the surface.

# Why is the finite difference formulation in the surface layer different from the other layers?

$$F = \rho K(z) \frac{d\varphi}{dz} \quad (F = \overline{w'\varphi'})$$

Finite difference formulation:

$$F_{1.5} = \rho K(z_{1.5}) \frac{\varphi_2 - \varphi_1}{z_2 - z_1}$$

In surface layer integrate:

$$\varphi_1 - \varphi_s = \int_{z_{0\varphi}}^{z_1} \frac{F_{0\varphi}}{\rho K(z)} dz$$

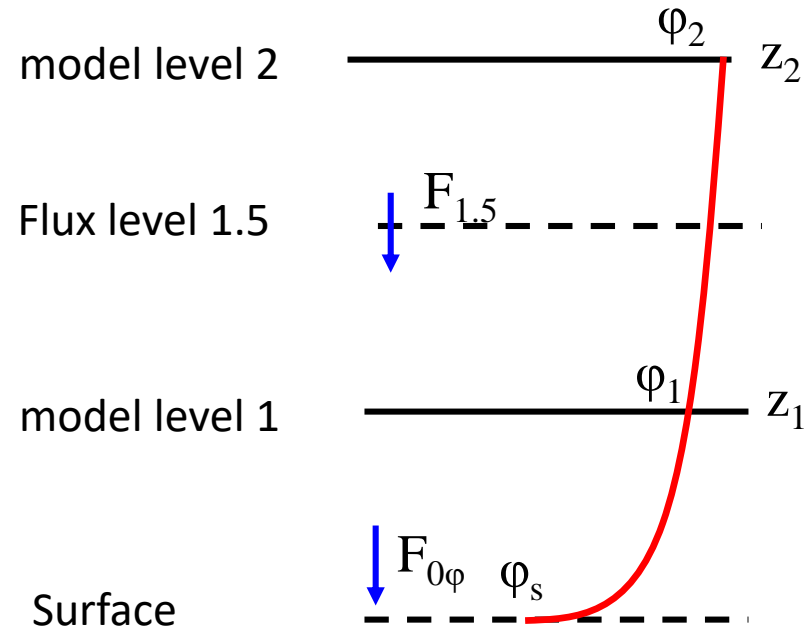
Constant flux layer:

$$\varphi_1 - \varphi_s \approx \frac{F_0}{\rho} \int_{z_{0\varphi}}^{z_1} \frac{1}{K(z)} dz$$

In neutral flow:

$$K(z) = \kappa z u_*$$

$$\varphi_1 - \varphi_s \approx \frac{F_{0\varphi}}{\rho \kappa u_*} \int_{z_{0\varphi}}^{z_1} \frac{dz}{z} \Rightarrow \varphi_1 - \varphi_s = \frac{F_{0\varphi}}{\rho \kappa u_*} \ln \left( \frac{z_1}{z_{0\varphi}} \right)$$



$u, v, T, q$

$\kappa$  : Von Karman constant (0.4)

$u_*$  : Friction velocity

$\rho$  : Density

# Log-profiles are directly related to neutral transfer laws

The log-profile for  $\varphi$

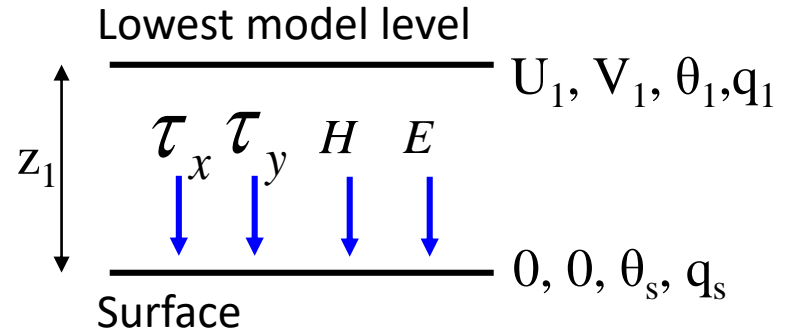
$$F_{0\varphi} = \frac{\rho \kappa u_*}{\ln(z_1 / z_{0\varphi})} (\varphi_1 - \varphi_s)$$

The log-profile for wind relates  $|U|$  to  $u_*$

$$u_* = \frac{\kappa |U|}{\ln(z_1 / z_{0m})}$$

where  $u_*^2 = \frac{1}{\rho} (\tau_x^2 + \tau_y^2)^{1/2}$

and  $|U_1| = (U_1^2 + V_1^2)^{1/2}$



$\tau_{x,y}$  : Surface stress components  $\overline{u'w'}$   $\overline{v'w'}$   
 $H'$  : Sensible heat flux  $\overline{w'\theta'}$   
 $E$  : Water vapour flux  $\overline{w'q'}$

Neutral transfer law for  $\varphi$  :

$$F_{0\varphi} = \rho C_{\varphi n} |U_1| (\varphi_1 - \varphi_s) \quad \text{where} \quad C_{\varphi n} = \frac{\kappa^2}{\ln(z_1 / z_{0\varphi}) \ln(z_1 / z_{0m})}$$

$C_{\varphi n}$  is called the neutral transfer coefficient for  $\varphi$

# MO similarity profiles are not limited to neutral transfer laws

neutral conditions: log-profile

$$\varphi_1 - \varphi_s = \frac{F_{0\varphi}}{\rho \kappa u_*} \ln\left(\frac{z_1}{z_{0\varphi}}\right)$$



non-neutral: log-profile + MO stability function

$$\varphi_1 - \varphi_s = \frac{F_{0\varphi}}{\rho \kappa u_*} \left[ \ln\left(\frac{z_1}{z_{0\varphi}}\right) - \Psi_\varphi\left(\frac{z}{L}\right) \right]$$



Obukhov length:

$$L = \frac{u_*^3 \rho c_p}{\kappa (g / T_v) H}$$

$$F_{0\varphi} = \rho C_\varphi |U| (\varphi_1 - \varphi_s)$$

$$C_\varphi = \frac{\kappa^2}{\ln\left(\frac{z_1}{z_{0\varphi}}\right) \ln\left(\frac{z_1}{z_{0m}}\right)}$$

$$C_\varphi = \frac{\kappa^2}{\left[ \ln\left(\frac{z_1}{z_{0\varphi}}\right) - \Psi_\varphi\left(\frac{z_1}{L}\right) \right] \left[ \ln\left(\frac{z_1}{z_{0m}}\right) - \Psi_m\left(\frac{z_1}{L}\right) \right]}$$

The non-neutral transfer laws are simply obtained by replacing the log-term by the log+ψ term. The ψ(z/L) functions are observationally based.

# Transfer coefficients

$$F_{0\varphi} = \rho C_\varphi |U| (\varphi_1 - \varphi_s)$$

Surface fluxes can be written explicitly as:

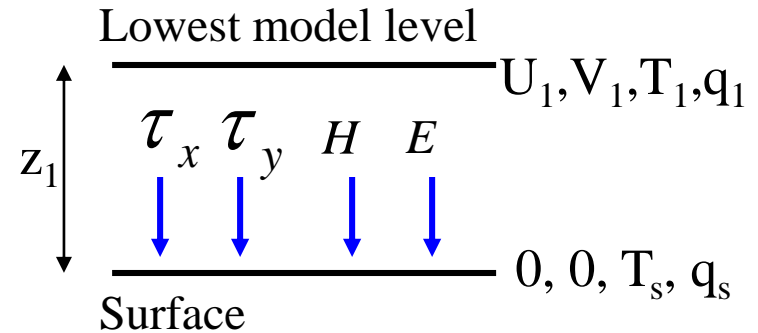
$$\overline{u'w'} \quad \tau_x = \rho C_M |U_1| U_1$$

$$\overline{v'w'} \quad \tau_y = \rho C_M |U_1| V_1$$

$$\overline{w'\theta'} \quad H = \rho c_p C_H |U_1| (\theta_1 - \theta_s)$$

$$\overline{w'q'} \quad E = \rho C_E |U_1| (q_1 - q_s)$$

where  $|U_1| = (U_1^2 + V_1^2 + \beta w_*^2)^{1/2}$



$$C_\varphi = \frac{\kappa^2}{\left[ \ln\left(\frac{z_1}{z_{0\varphi}}\right) - \Psi_\varphi\left(\frac{z_1}{L}\right) \right] \left[ \ln\left(\frac{z_1}{z_{0m}}\right) - \Psi_m\left(\frac{z_1}{L}\right) \right]}$$

$$\varphi = \begin{cases} M \\ H \\ E \end{cases}$$

$$\varphi = \begin{cases} m \\ h \\ q \end{cases}$$

# Numerical procedure: The Richardson number

The expressions for surface fluxes are implicit i.e they contain the Obukhov length which depends on fluxes. The stability parameter  $z/L$  can be computed from the bulk Richardson number by solving the following relation:

$$Ri_b = \frac{gz_1}{\theta} \frac{\theta_1 - \theta_s}{|U_1|^2} = \frac{z_1}{L} \frac{\{\ln(z_1 / z_{oh}) - \psi_h(z_1 / L)\}}{\{\ln(z_1 / z_{om}) - \psi_m(z_1 / L)\}^2}$$

This relation can be solved:

- Iteratively;
- Approximated with empirical functions;
- Tabulated.

# Surface fluxes: Summary

- MO-similarity provides solid basis for parametrization of surface fluxes
- Numerical procedure:

1. Compute bulk Richardson number:  $Ri_b = \frac{gz_1}{\theta} \frac{\theta_1 - \theta_s}{|U_1|^2}$

2. Solve iteratively for  $z/L$ :  $\frac{z_1}{L} = f(Ri_b, z_1/z_{0m}, z_1/z_{0\phi})$

3. Compute transfer coefficients:  $C_\phi = \frac{\kappa^2}{\left[ \ln\left(\frac{z_1}{z_{0\phi}}\right) - \Psi_\phi\left(\frac{z_1}{L}\right) \right] \left[ \ln\left(\frac{z_1}{z_{0m}}\right) - \Psi_m\left(\frac{z_1}{L}\right) \right]}$

4. Use expression for fluxes in solver:  $F_{0\phi} = \rho C_\phi |U| (\phi_1 - \phi_s)$

- Surface roughness lengths are crucial aspect of formulation.
- Transfer coefficients are typically 0.001 over sea and 0.01 over land, mainly due to surface roughness.



# Parameterization of surface fluxes: Outline

- Surface layer formulation according to Monin Obukhov (MO) similarity
- **Roughness lengths**
- Representation of the different sources of surface stress and impacts of the surface stress on the large-scale circulation

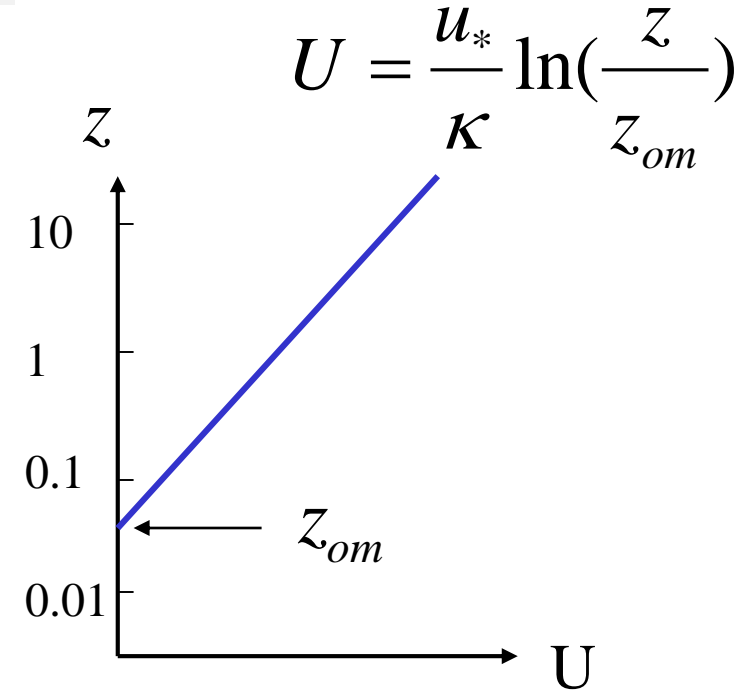
# Surface roughness length (definition)

## Example for wind:

- Surface roughness length is defined on the basis of logarithmic profile.
- For  $z/L$  small, profiles are logarithmic.
- Roughness length is defined by intersection with ordinate.

Often displacement height is used to obtain  $U=0$  for  $z=0$ :

$$U = \frac{u_*}{\kappa} \ln\left(\frac{z + z_{om}}{z_{om}}\right)$$



- Roughness lengths for momentum, heat and moisture are not the same.
- Roughness lengths are surface properties.

# Roughness lengths over the ocean

Roughness lengths are determined by molecular diffusion and ocean wave interaction e.g.

$$z_{om} = C_{ch} \frac{u_*^2}{g} + 0.11 \frac{v}{u_*}, \quad C_{ch} \text{ is Charnock parameter}$$

$$z_{oh} = 0.40 \frac{v}{u_*}$$

$$z_{oq} = 0.62 \frac{v}{u_*}$$

Current version of ECMWF model uses an ocean wave model to provide sea-state dependent Charnock parameter.

# Roughness length over land

Geographical fields based on land use tables:

Index	Vegetation type	H/L veg	$z_{0m}$	$z_{0h}$
1	Crops, mixed farming	L	0.25	$0.25 \cdot 10^{-2}$
2	Short grass	L	0.2	$0.2 \cdot 10^{-2}$
3	Evergreen needleleaf trees	H	2.0	2.0
4	Deciduous needleleaf trees	H	2.0	2.0
5	Deciduous broadleaf trees	H	2.0	2.0
6	Evergreen broadleaf trees	H	2.0	2.0
7	Tall grass	L	0.47	$0.47 \cdot 10^{-2}$
8	Desert	–	0.013	$0.013 \cdot 10^{-2}$
9	Tundra	L	0.034	$0.034 \cdot 10^{-2}$
10	Irrigated crops	L	0.5	$0.5 \cdot 10^{-2}$
11	Semidesert	L	0.17	$0.17 \cdot 10^{-2}$
12	Ice caps and glaciers	–	$1.3 \cdot 10^{-3}$	$1.3 \cdot 10^{-4}$
13	Bogs and marshes	L	0.83	$0.83 \cdot 10^{-2}$
14	Inland water	–	–	–
15	Ocean	–	–	–
16	Evergreen shrubs	L	0.100	$0.1 \cdot 10^{-2}$
17	Deciduous shrubs	L	0.25	$0.25 \cdot 10^{-2}$
18	Mixed forest/woodland	H	2.0	2.0
19	Interrupted forest	H	1.1	1.1
20	Water and land mixtures	L	–	–

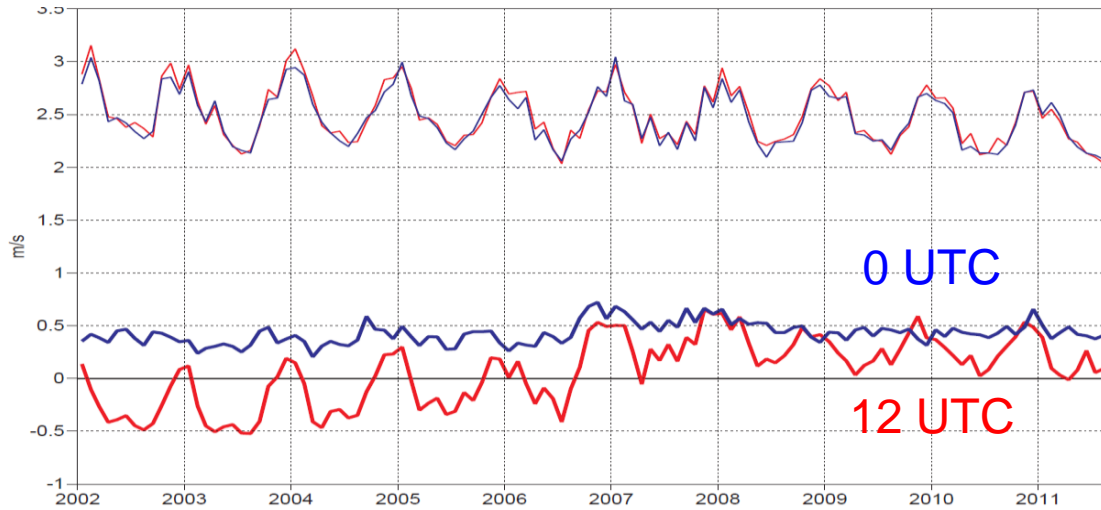


Llanthony valley, S. Wales

Many models use orographic roughness enhancement to represent drag from sub-grid orography. ECMWF also used this before 2006 with roughness lengths up to a maximum of 100 m.

# Longstanding near-surface wind (short-range) forecast errors

## 10m wind speed bias/st dev - Europe

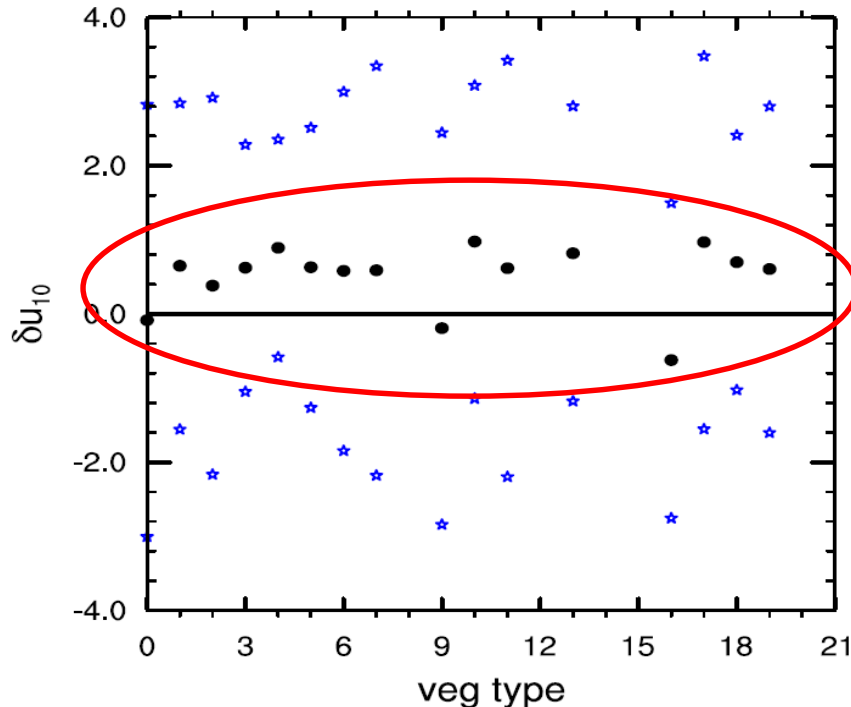


One of the main causes: the values of the roughness length for momentum

# Derivation of a new roughness length table

The 10m winds are mainly controlled by the roughness length values and are generally overestimated by the model.

*Forecast 10m winds error compared to synop obs.  
(daytime – T511 L91 analysis run August 2010)*



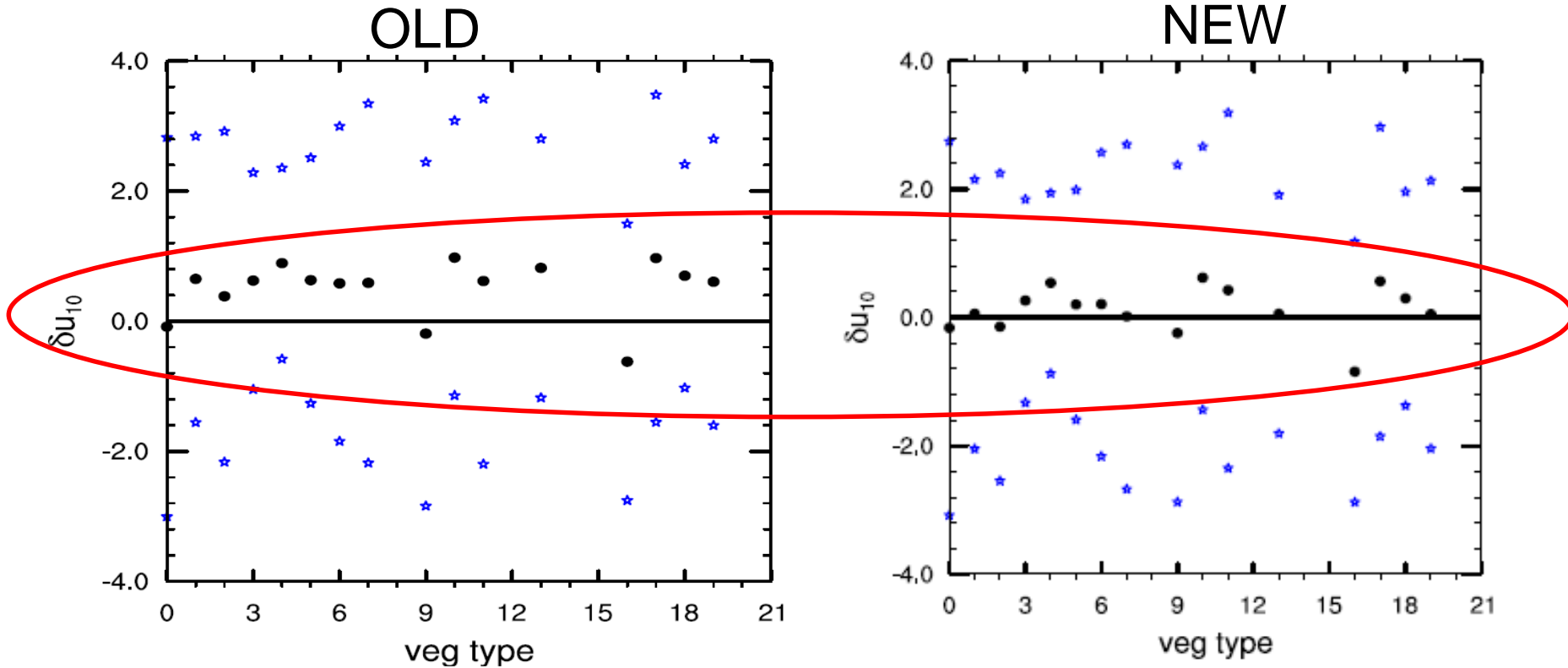
$$\frac{u_{100}}{u_{10}} = \frac{\ln 100 / z_0^m}{\ln 10 / z_0^m}$$

$$\frac{u_{100}}{u_{10}^{obs}} = \frac{\ln 100 / z_0^{m*}}{\ln 10 / z_0^{m*}}$$

The roughness length for momentum is increased for 10 vegetation types

# Derivation of a new roughness length table

*Forecast 10m winds error compared to synop obs.  
(daytime – T511 L91 analysis run August 2010)*



The 10 wind errors are reduced for the types for which the roughness was changed

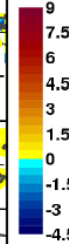
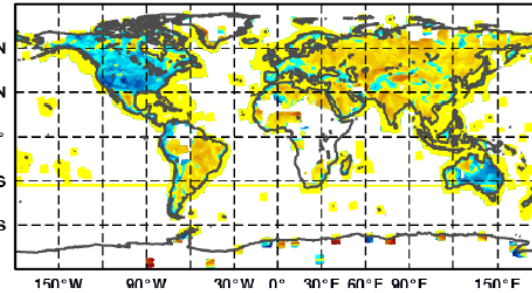
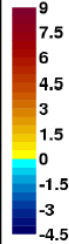
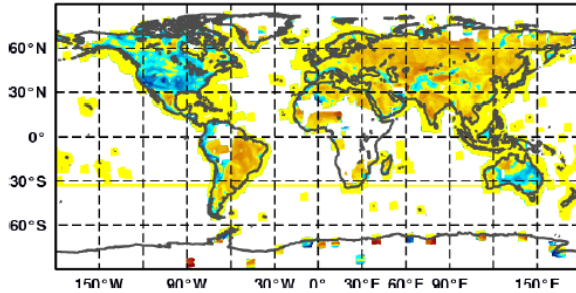
# Impact on 10m wind speed in short range forecasts

*FC - OBS*

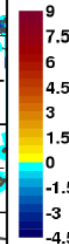
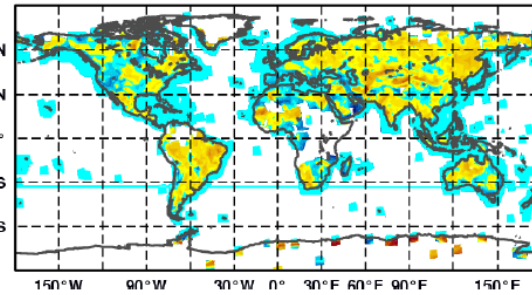
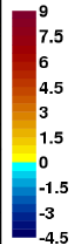
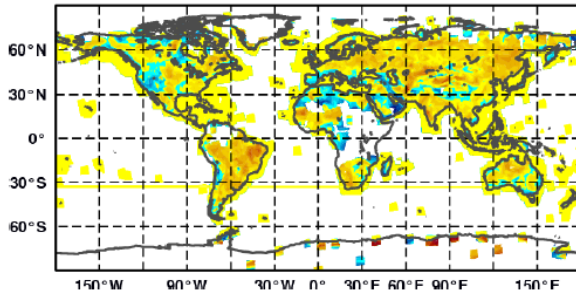
OLD

NEW

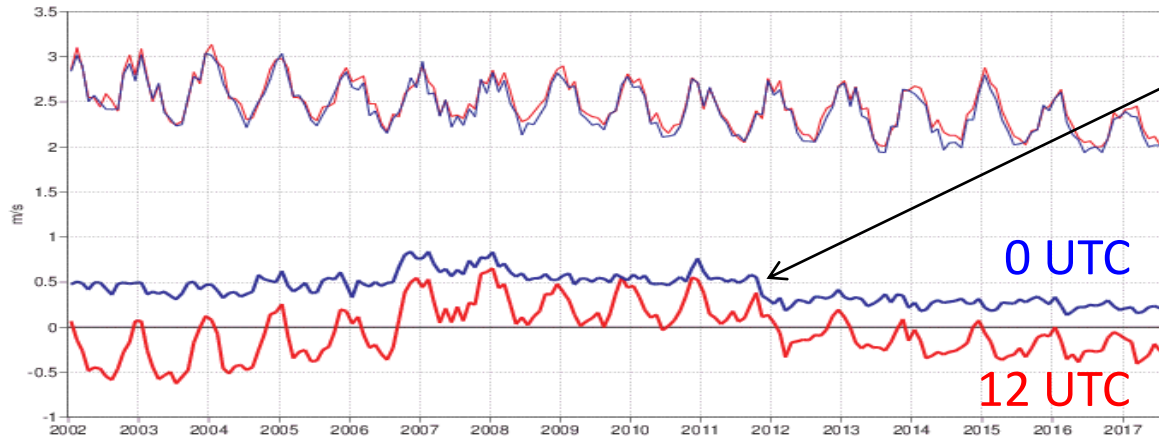
0UTC



12UTC



*10m wind speed bias/st dev - Europe*

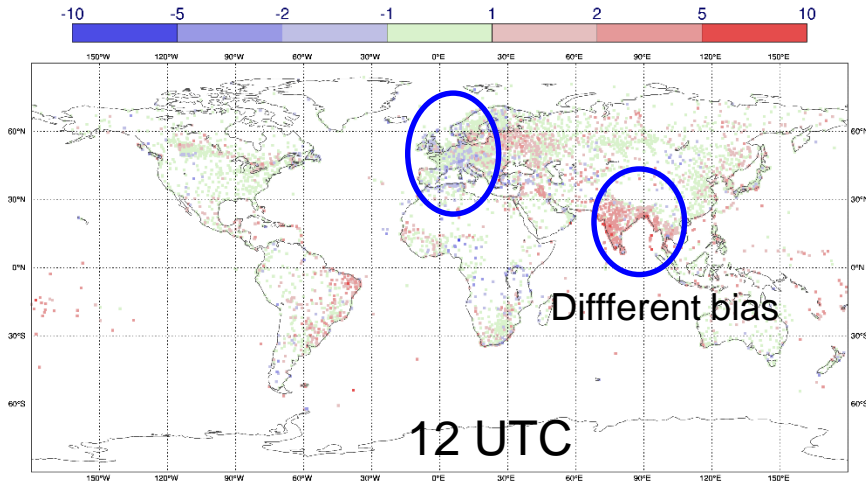
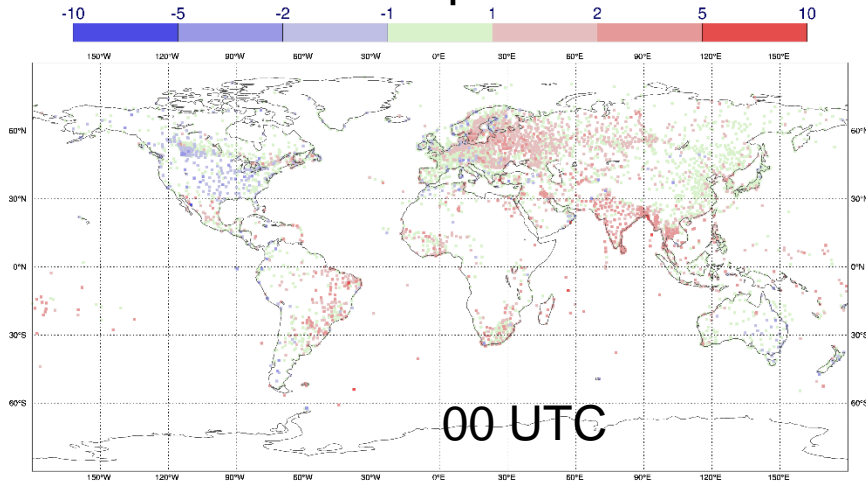


Implementation of the new table, Nov. 2011



NOTE: This exercise could be redone, but we have to keep in mind that this can only work to a certain extent – the success largely depends on the quality of the underlying vegetation maps

### 10 m wind speed bias



### Vegetation maps

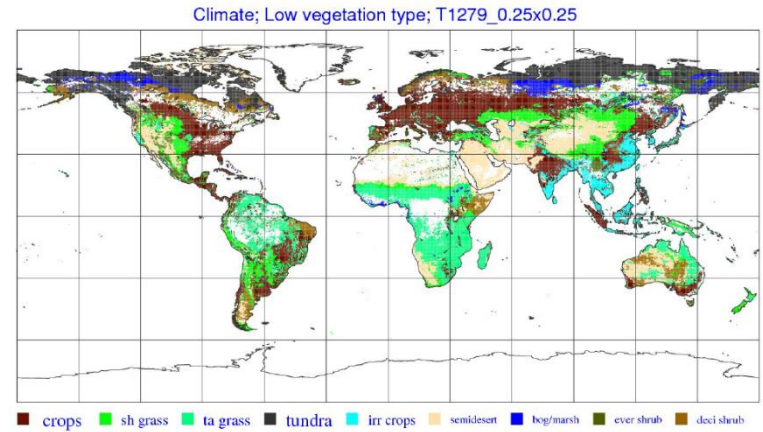


Figure 11.11 Low vegetation type.

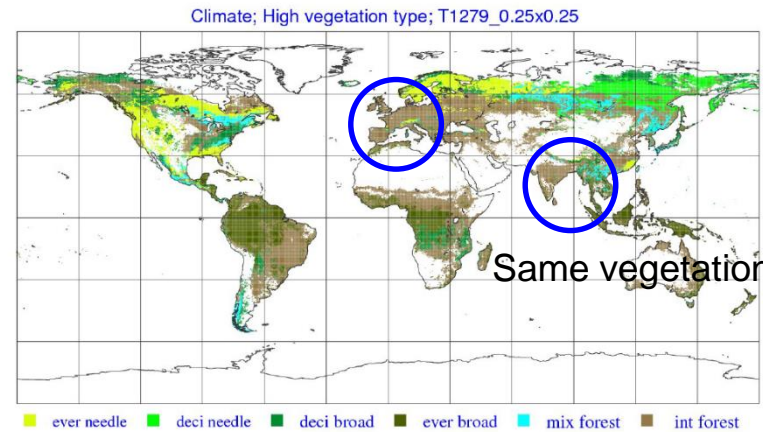
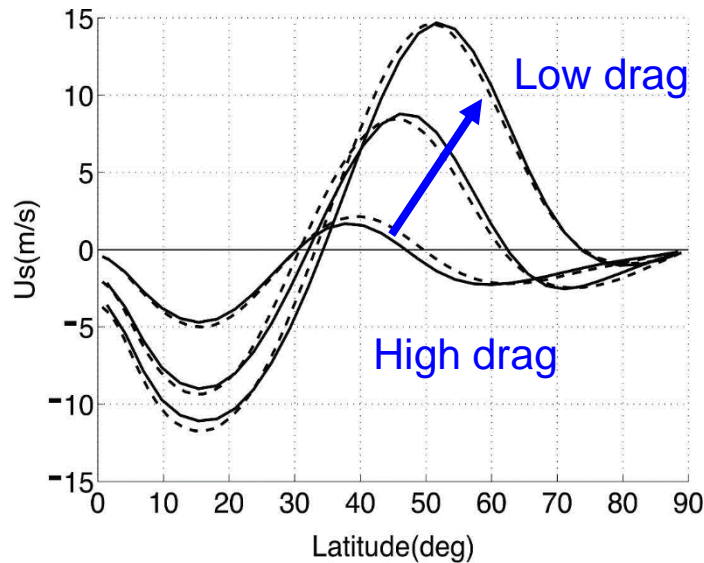


Figure 11.12 High vegetation type.

# Parameterization of surface fluxes: Outline

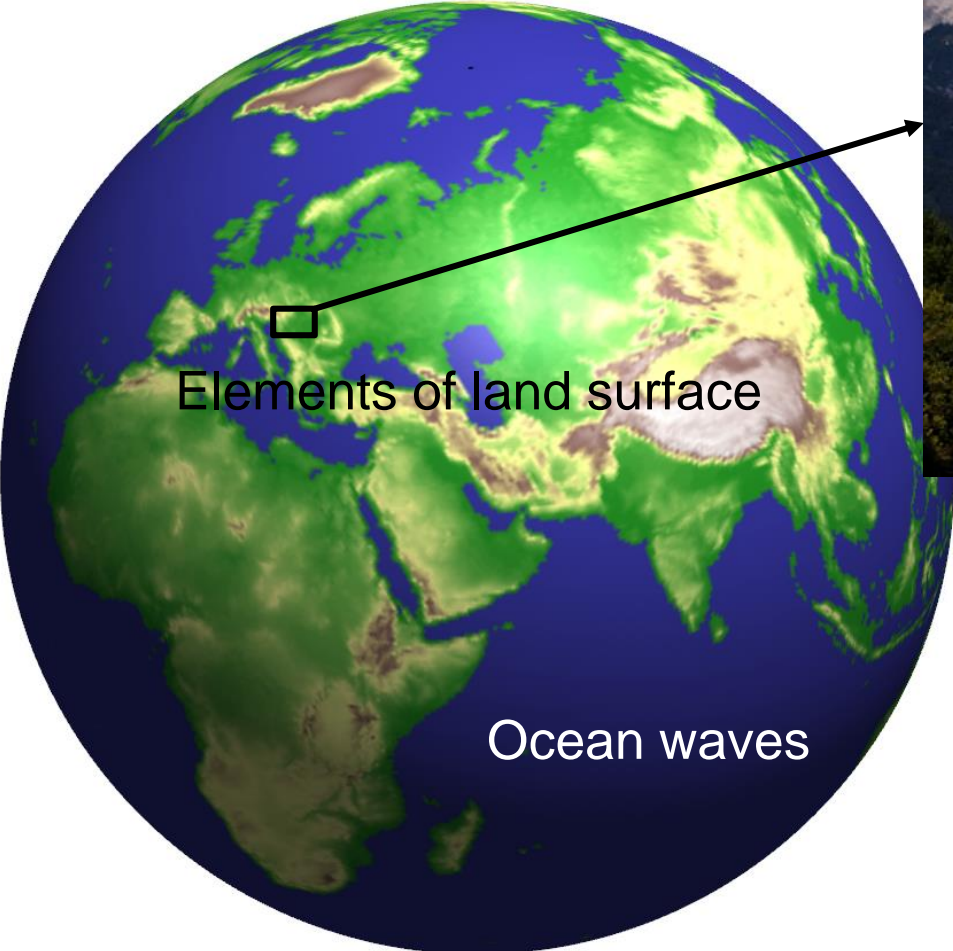
- Surface layer formulation according to Monin Obukhov (MO) similarity
- Roughness lengths
- Representation of the different sources of surface stress & impacts of the surface stress on the large-scale circulation



In idealized AGCMs, surface jet strength and latitude are highly sensitive to surface drag, via feedback on baroclinic eddies

*Chen, Held & Robinson (2007 JAS)*

# Subgrid drag (stress) mechanisms in the ECMWF model



# Subgrid drag (stress) mechanisms in the ECMWF model

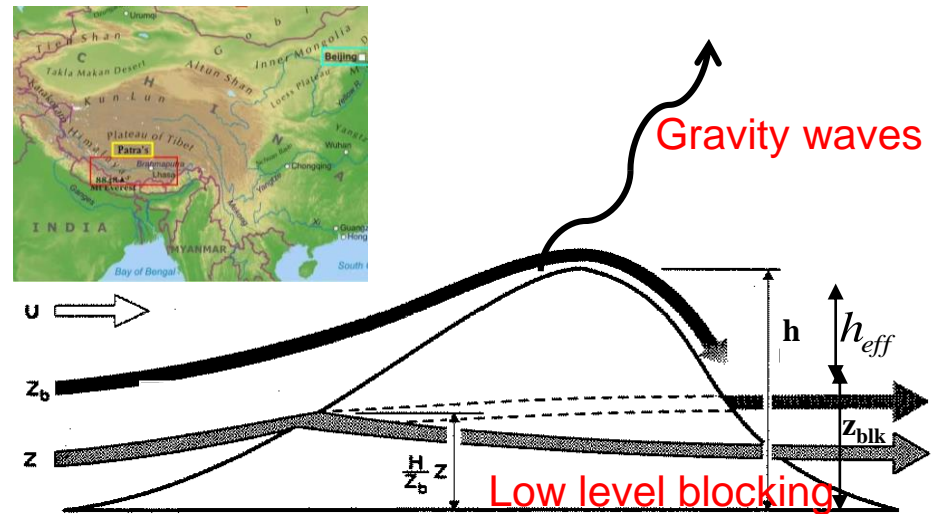
## Scales smaller than 5 km



**a) Turbulent Drag - TURB:** Traditional MO transfer law with roughness for land use and vegetation

**b) Turbulent Orographic Form Drag - TOFD:** drag from small scale orography (Beljaars et al. 2004); Other models use orographic enhancement of roughness.

## Scales larger than 5 km

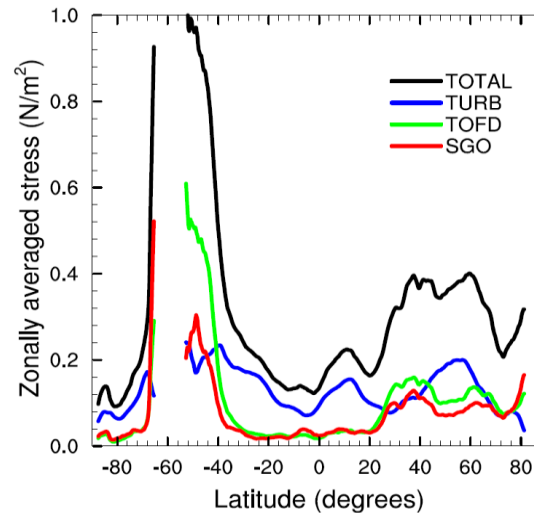
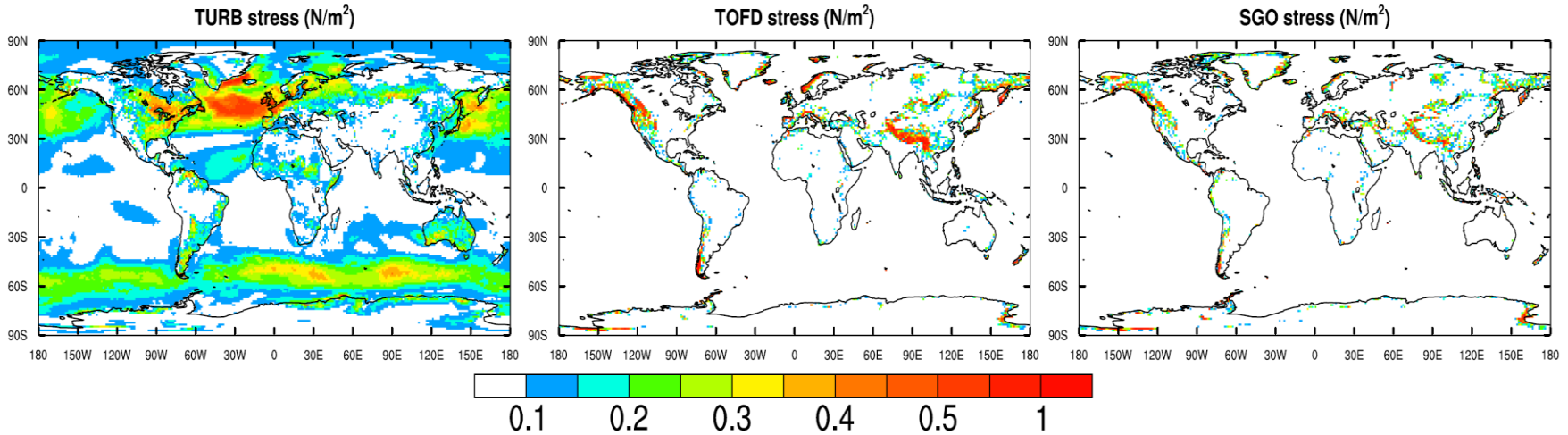


**a) Gravity Wave Drag - GWD:** gravity waves are excited by the “effective” sub-grid mountain height, i.e. height where the flow has enough momentum to go over the mountain

**b) Orographic low level blocking - BLOCK:** strong drag at lower levels where the flow is forced around the mountain

# An illustration of the surface stress from the different schemes (u-component)

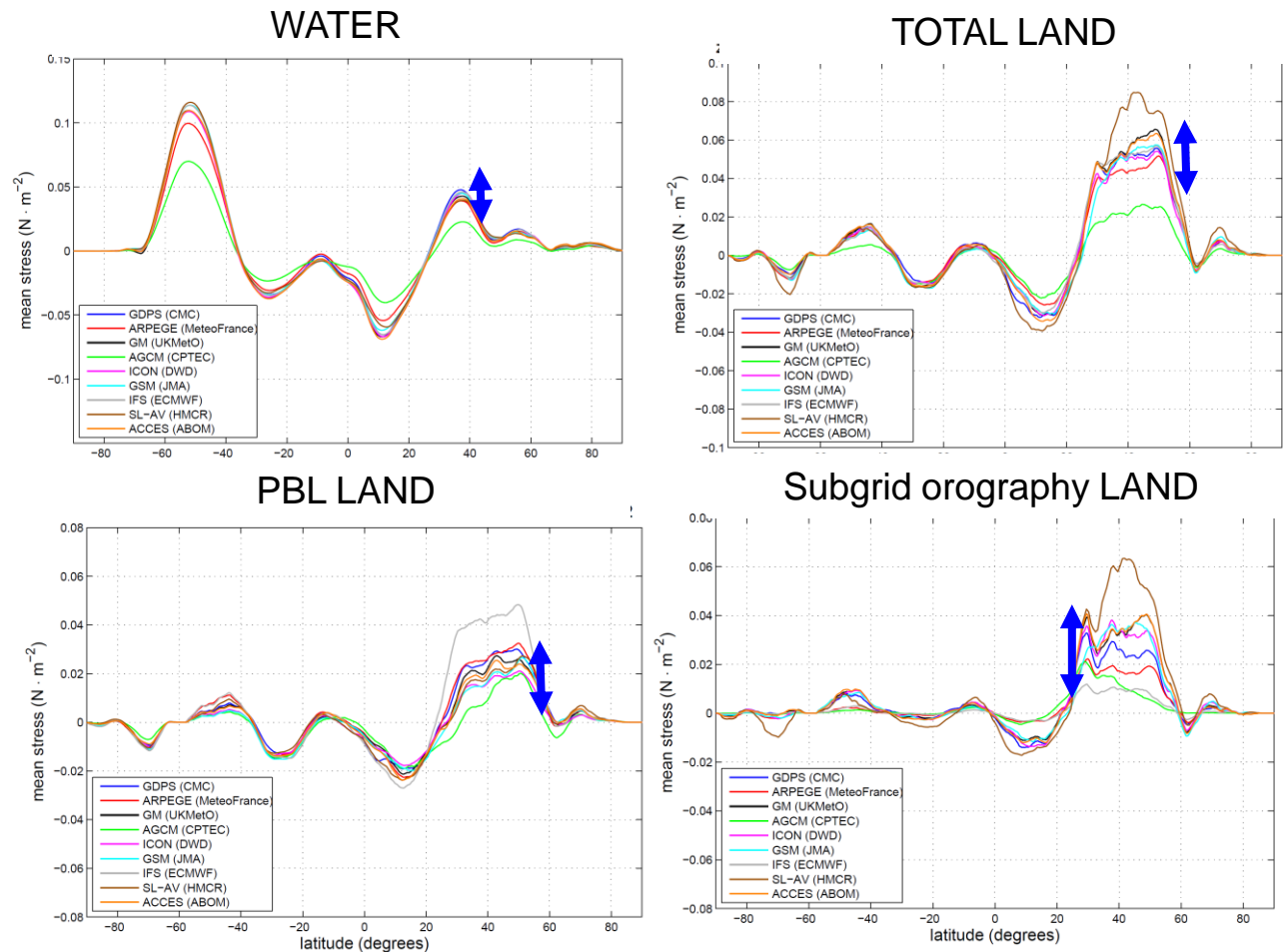
u-component TURB stress (N/m<sup>2</sup>)    u-component TOFD stress (N/m<sup>2</sup>)    u-component SO stress (N/m<sup>2</sup>)



Total  
TURB  
TOFD  
SO

Similar zonal  
average but  
different zonal  
distribution

# WGNE Drag project – comparison of subgrid surface stress



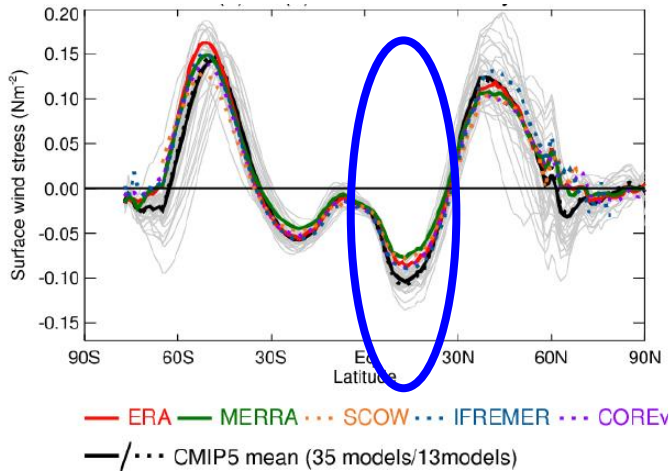
Major  
NWP  
models

- Much better agreement over water than over land !
- UKMO BL term < EC BL term, but SGO term >> EC SO term, and relative difference in total stress is 10-20% in NH midlatitudes

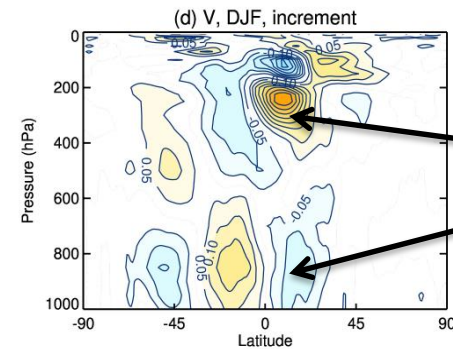
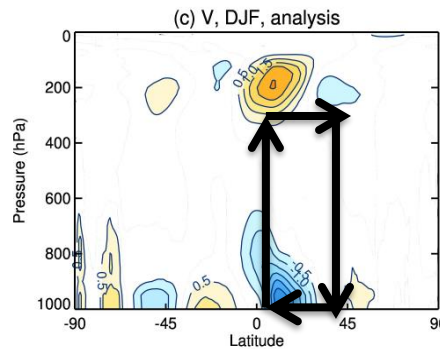
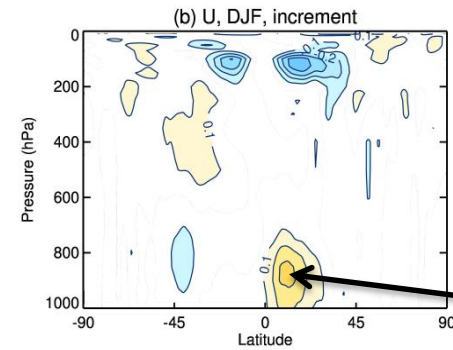
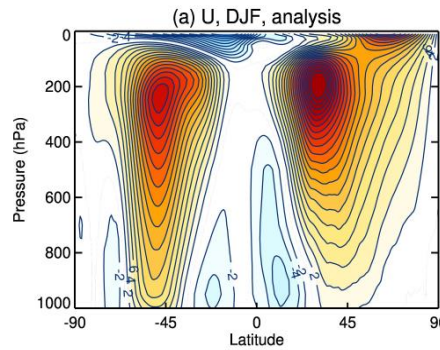
Link to Drag Project website\* (A. Zadra and J. Bacmeister):

[http://collaboration.cmc.ec.gc.ca/science/rpn/drag\\_project/index.html](http://collaboration.cmc.ec.gc.ca/science/rpn/drag_project/index.html)

# Missing ocean drag in the low level zonal flow can explain systematic biases in CFMIP5 models



*Simpson et al (2014, JAS)*

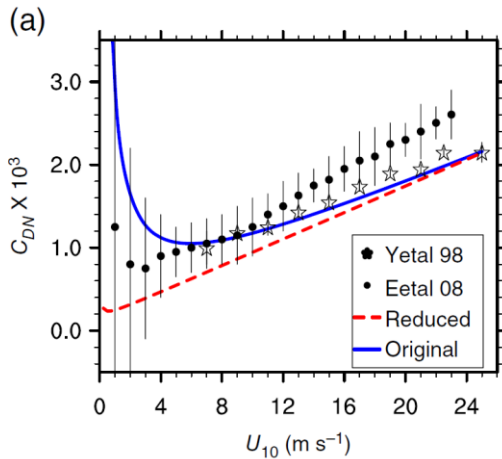


ERA-I analysis increments correcting for:

- too strong tropical easterlies
- too weak Hadley circulation

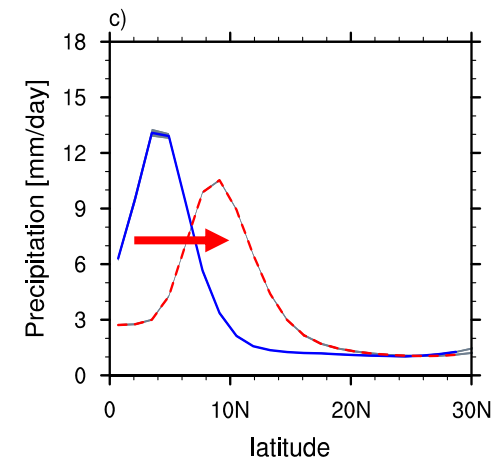
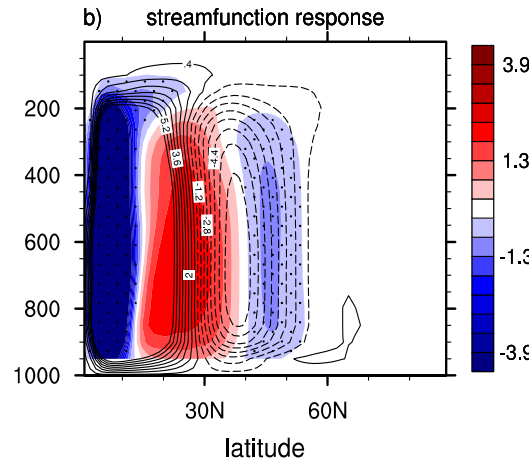
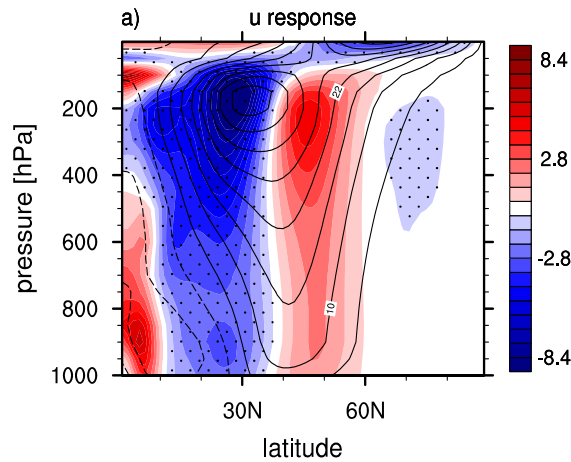
*Simpson et al. (J.Clim, 2018), also see Politchouck and Shepherd, QRMS (2016)*

# Response of the zonal-mean circulation to reduced ocean drag in an aquaplanet model



A poleward shift of the tropical surface easterlies, and of mid-latitude westerlies

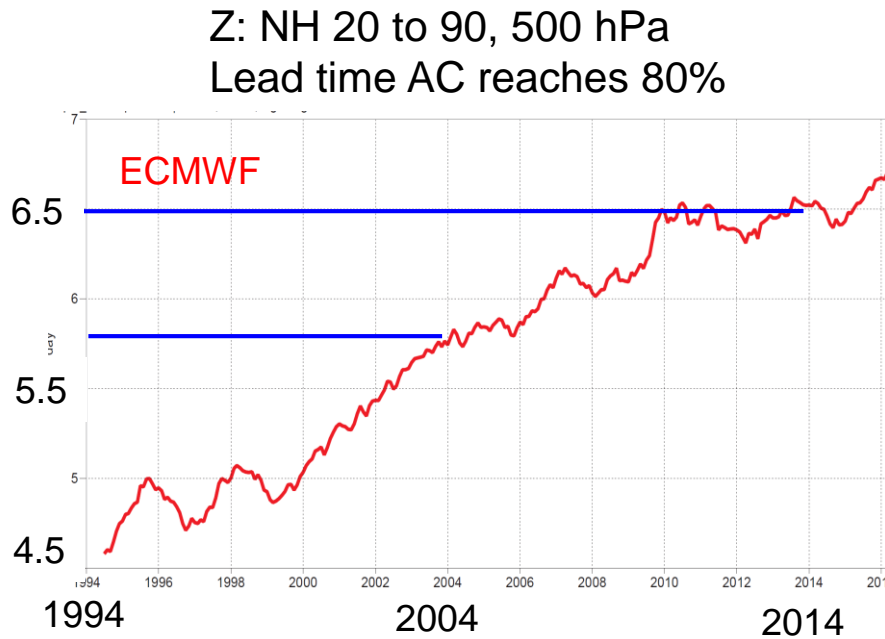
A weakening of the HC and a poleward shift of the ITCZ.



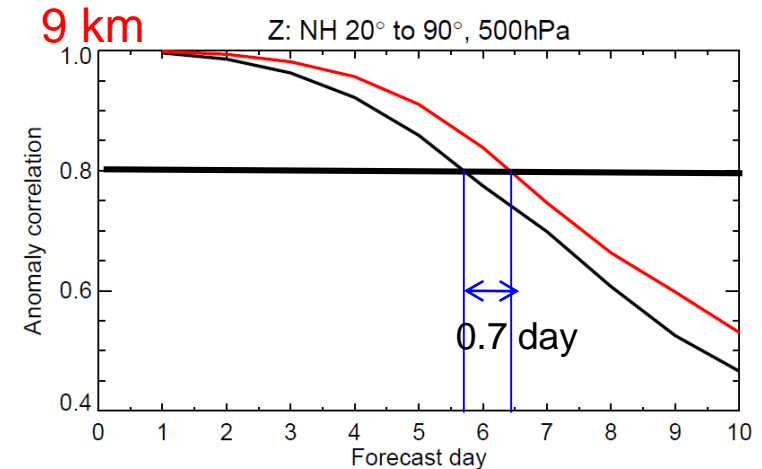
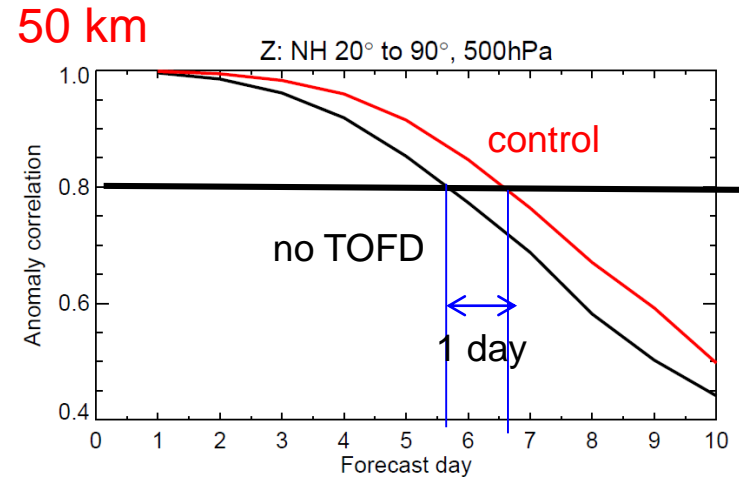
*Polichtchouk & Shepherd (2016, QJRMS)*



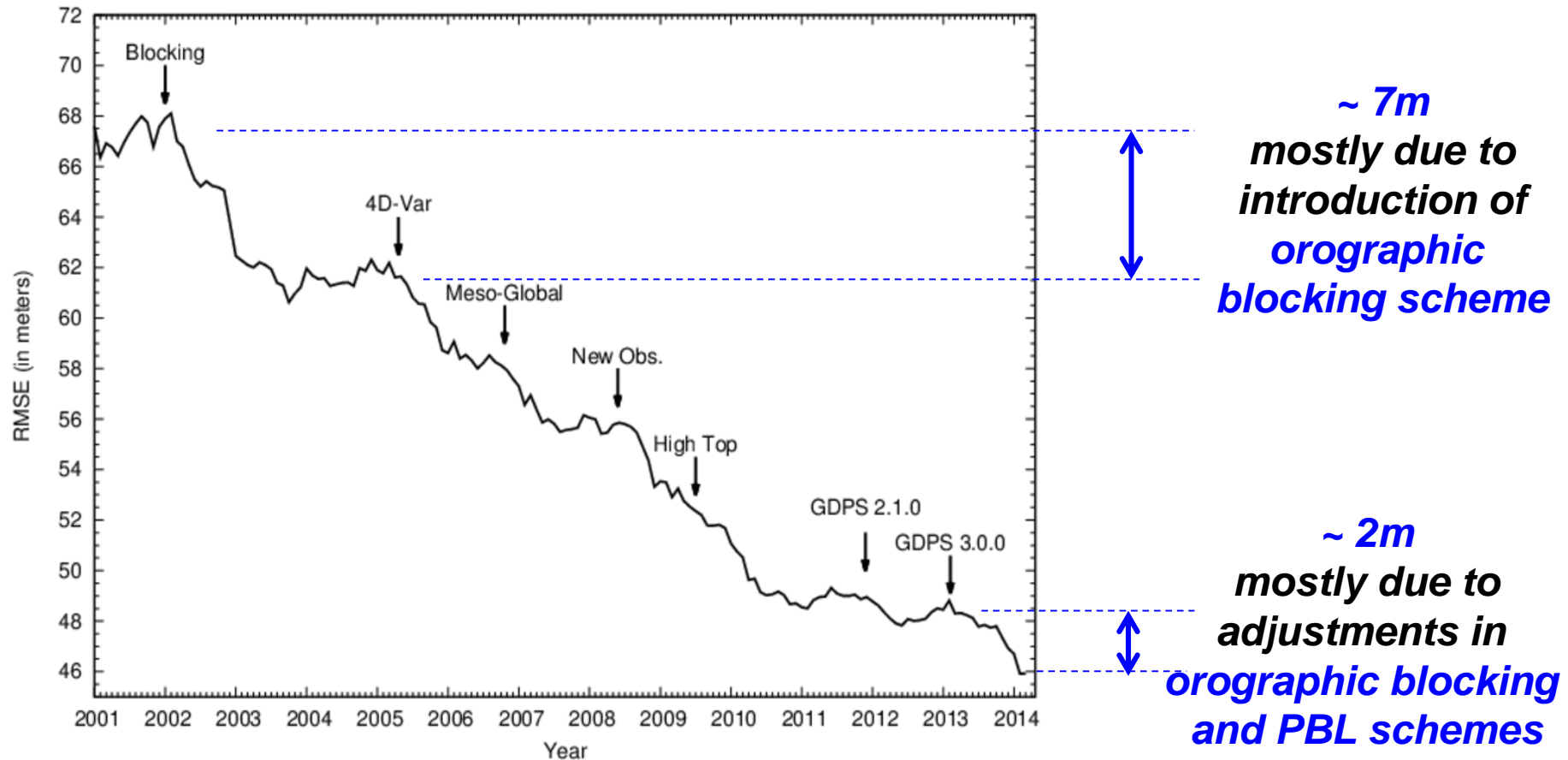
# Each of the drag parametrizations is key for the large-scale skill : Impact of the turbulent orographic form drag parameterization in NWP



Bauer, P., A. Thorpe, and G. Brunet. "The quiet revolution of numerical weather prediction." Nature (2015)

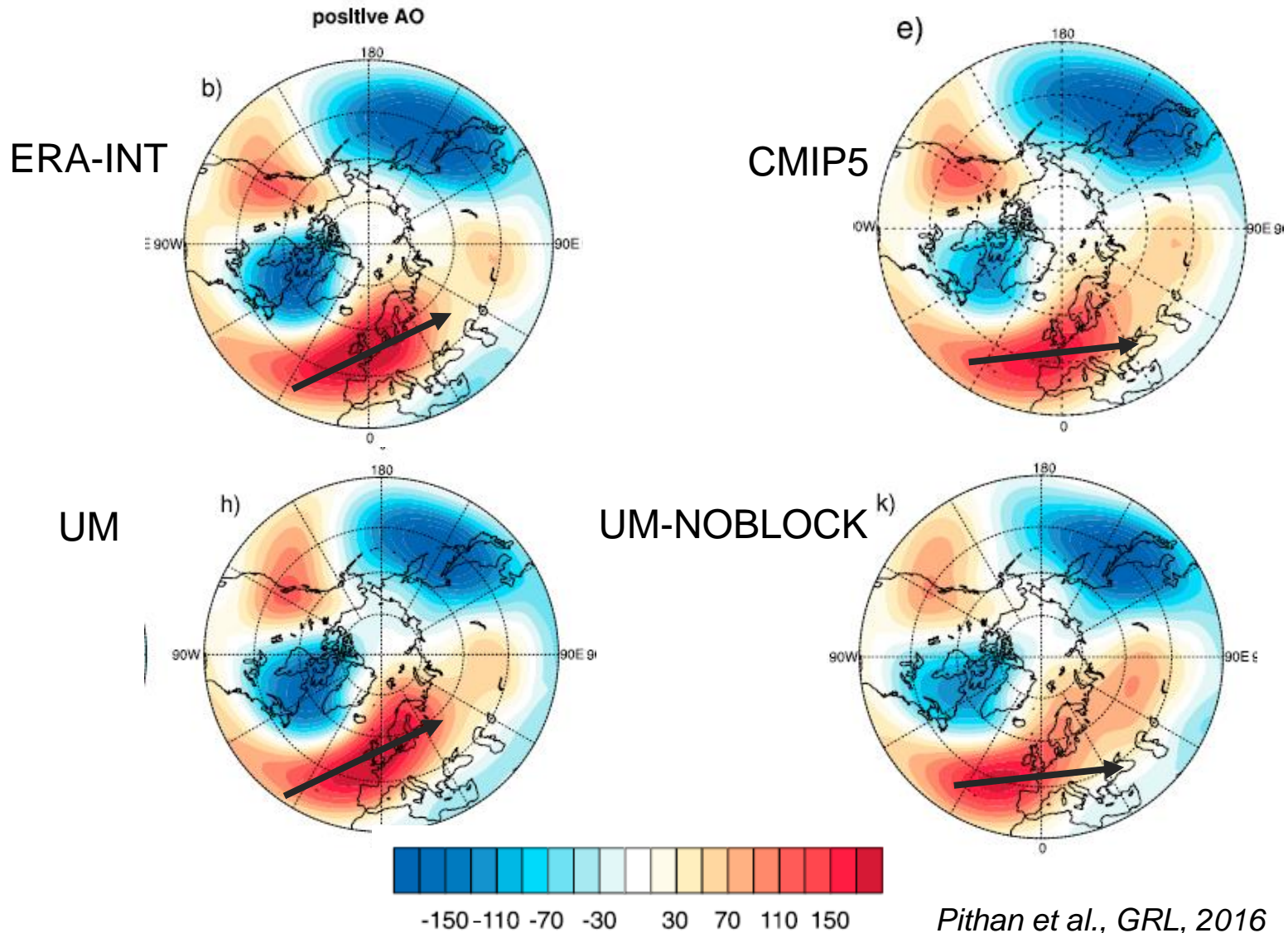


# Impact of changes to drag-related schemes at the Canadian center



*Evolution of 500-hPa RMS errors ver the N. Hemisphere:  
12-month running mean, from 2001 to 2014.*

# Climate model biases in jet streams resulting from missing orographic blocking



## In summary:

### Models don't agree:

- in total subgrid drag, nor in its partition between different processes and the diurnal cycle, particularly over orography
- The differences in subgrid drag and in its partition are partly the result of repeated tuning exercises designed to improve model skill (NWP or climate) – length scales are an example, coefficients in various schemes are another example

### Subgrid drag processes:

- have a large impact on the large-scale circulation, at all timescales
- are responsible for known systematic circulation biases
- the orographic drag parametrizations are fairly simplistic and especially poorly constrained, and don't necessarily behave well with resolution (van Niekerk, 2016, Vosper, 2016) - more in the Friday lecture

**Thank you**